



# Greening EU Development Cooperation

Crash course for managers - Policy basis and key tools

# Agenda

## BLOCK 1

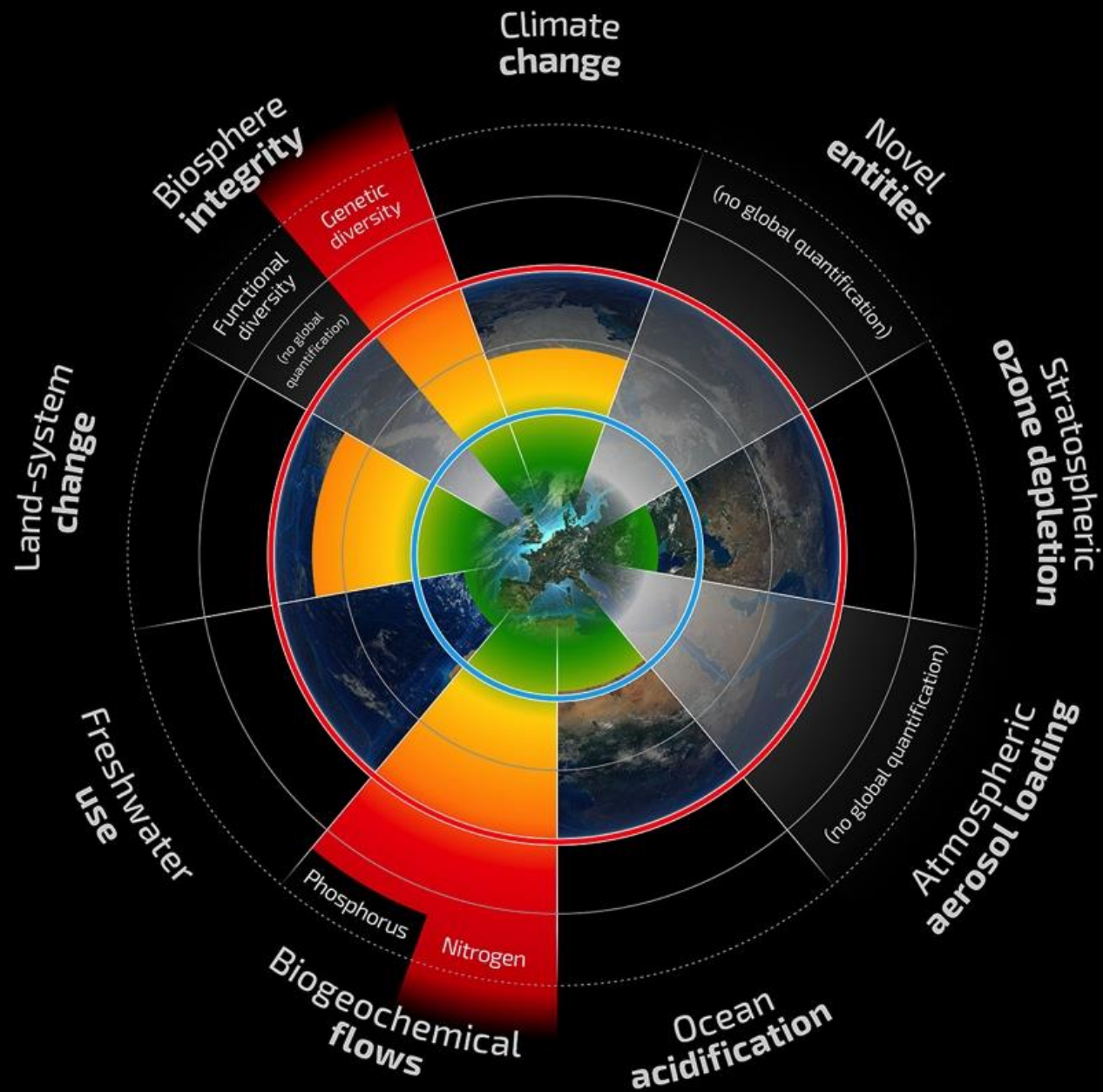
- The climate and ecological crisis
- Responding to the climate and ecological crisis: the policy basis
- Financial commitments to environment and climate action
- The European Green Deal and its implications for programming
- Aligning programming to NDCs
- Q&A

## BLOCK 2

- Promoting transformative action “beyond do no harm”
- Tools across the Intervention Cycle
- Tools and instruments in the context of budget support
- Tools and instruments in the context of investments
- Guidance and support
- Q&A

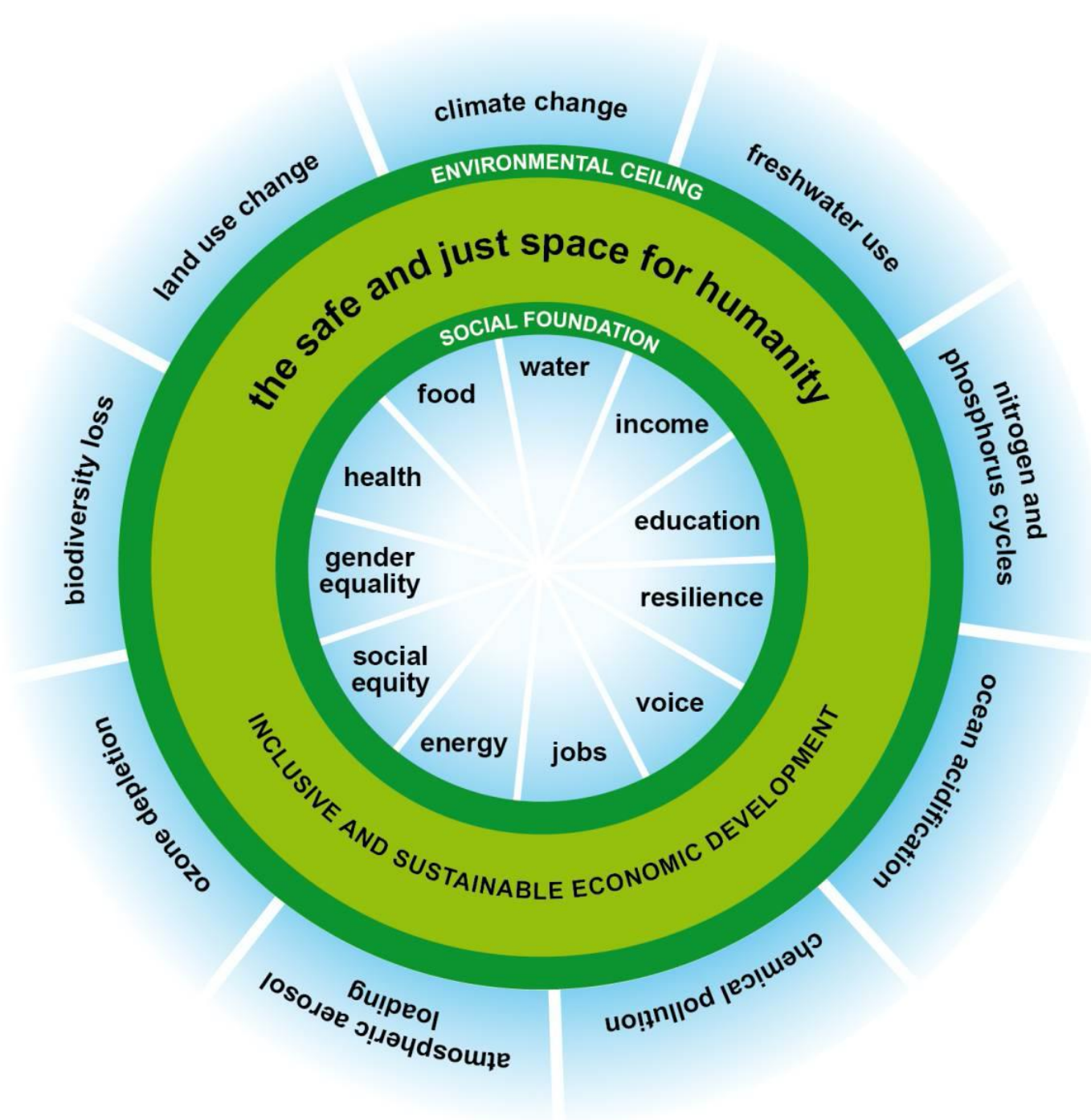
# The climate and ecological crises

Key facts and figures

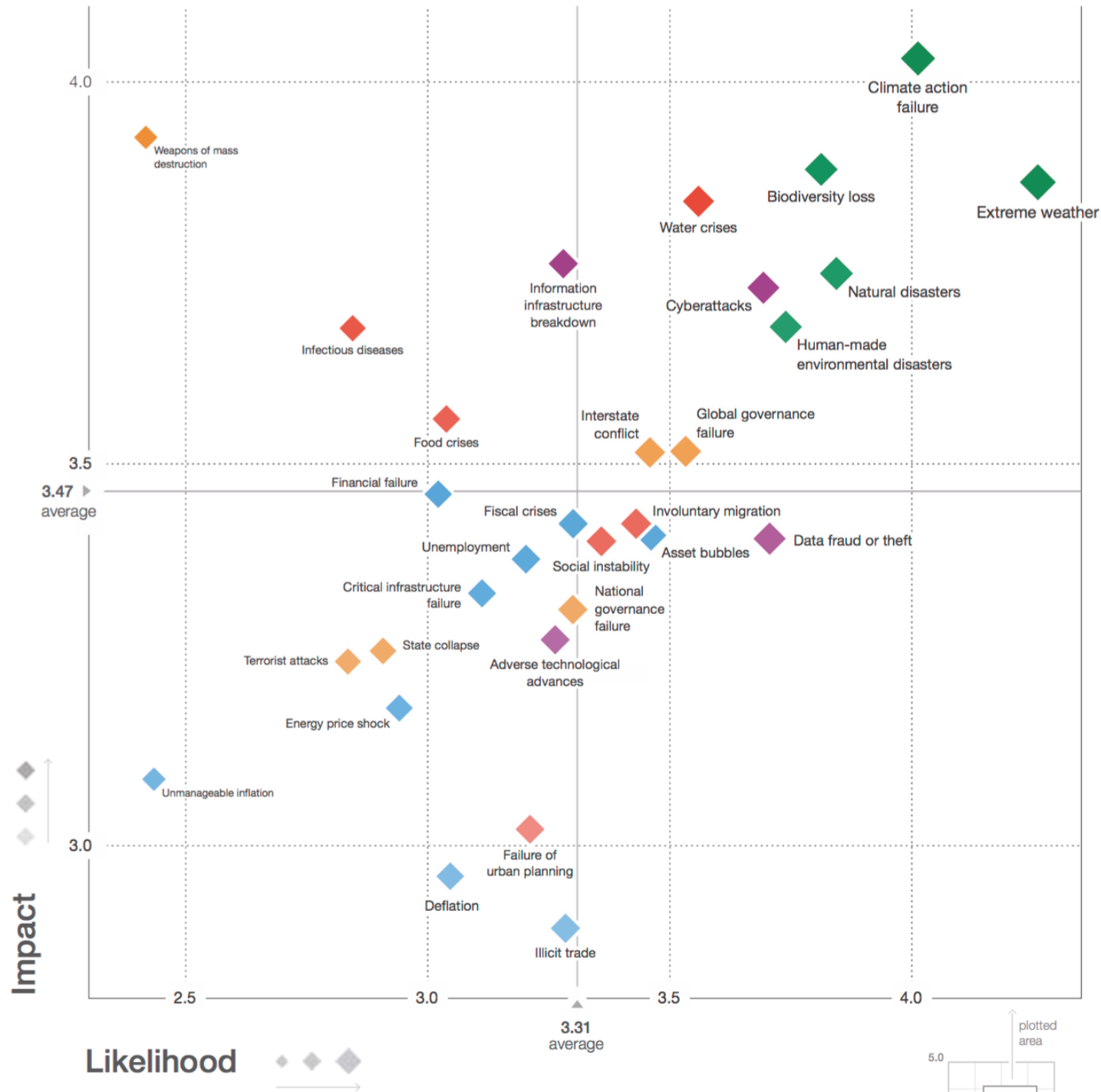


# Planetary boundaries





# The doughnut economy



# World Economic Forum

## *The Global Risks Report (2020)*





9.5 billion people by 2050





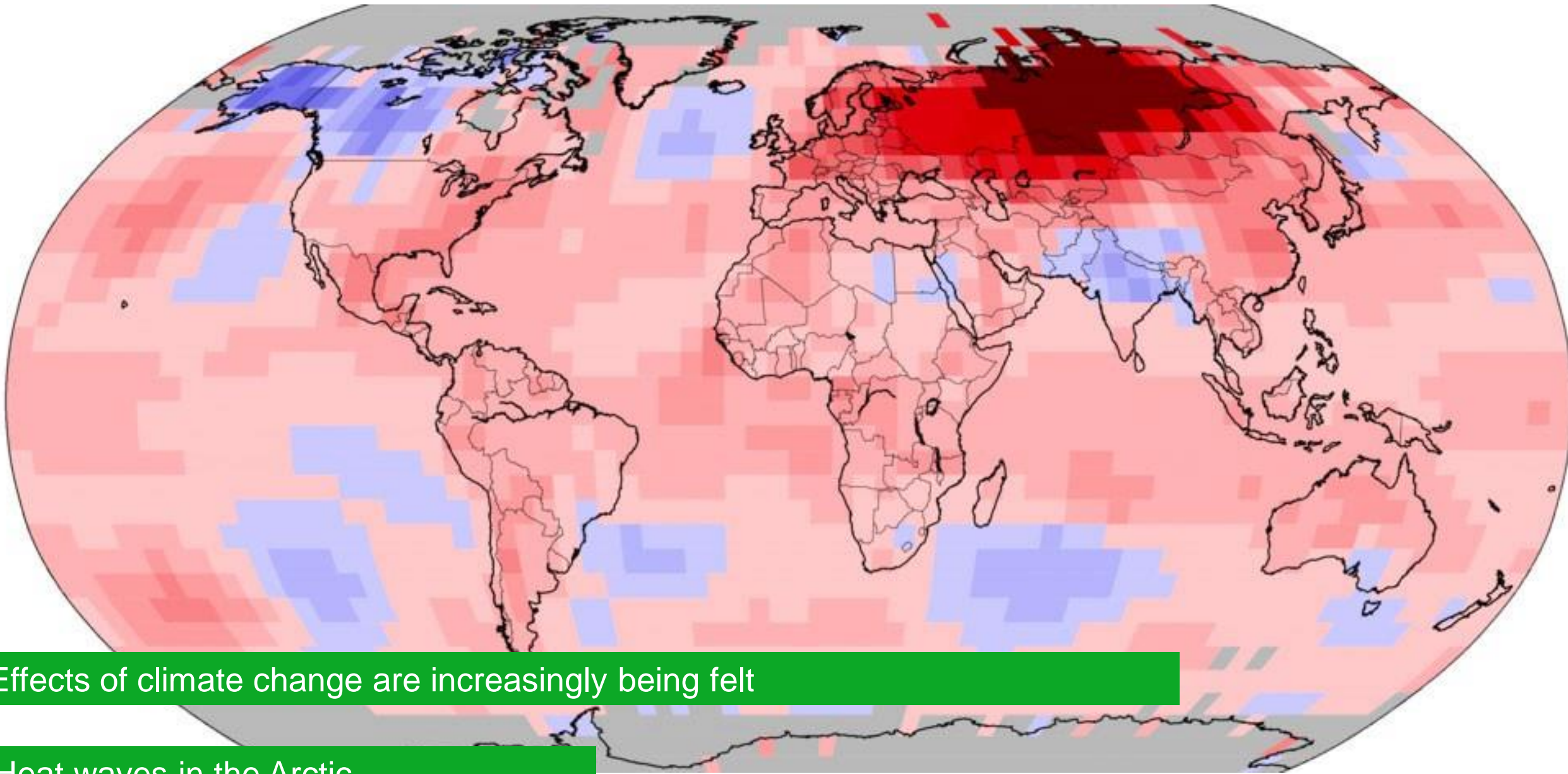
140 million people are joining the middle class every year





By 2050, 70% of the world's population will be living in cities

50% of which are not yet built



Effects of climate change are increasingly being felt

Heat waves in the Arctic





Effects of climate change are increasingly being felt

Increased frequency and intensity of wild fires





18.8 million new disaster-related displacements (2017), the vast majority weather-related





We produce about 300 million tonnes of plastic waste each year



A photograph of a field with many thin, brown, leafless or sparsely-leaved plants growing out of a light-colored, sandy or silty soil. The plants are scattered across the frame, and the overall scene suggests a degraded or arid environment. A green banner with white text is overlaid at the bottom.

60% of the world's ecosystems are degraded





85% of the wetlands present in 1700 have been lost

**Humanity  
has wiped  
out 60% of  
mammals  
birds, fish  
and reptiles  
since 1970**

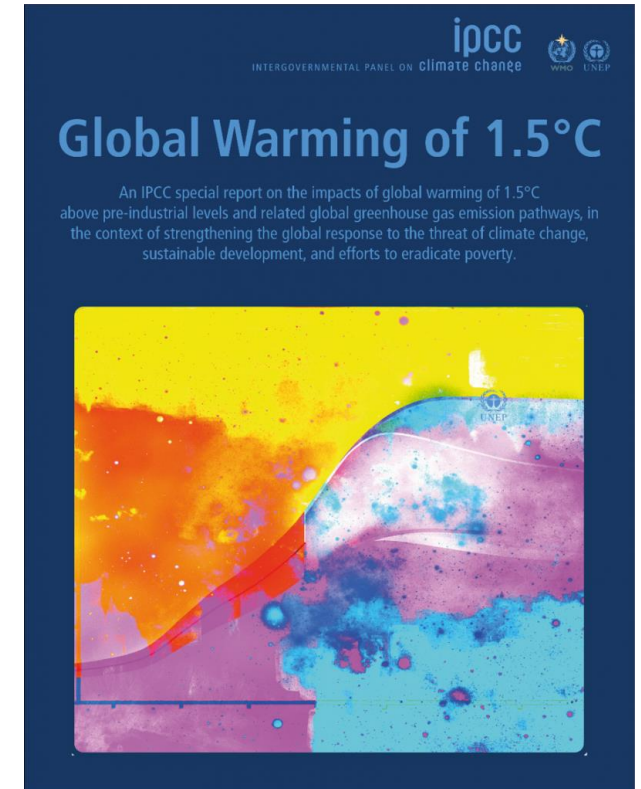


The biodiversity crisis

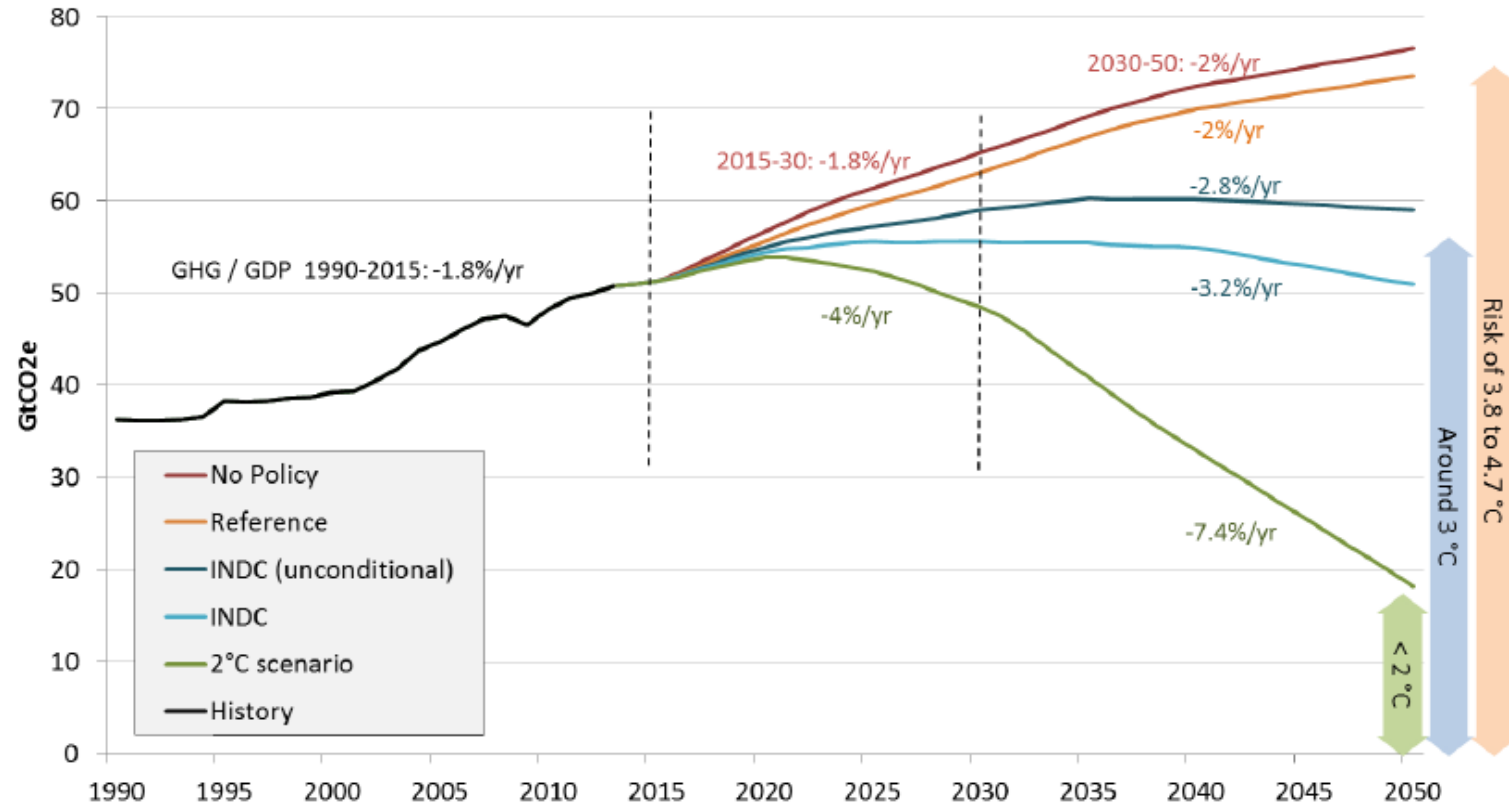


# IPCC 1.5°C report (key findings)

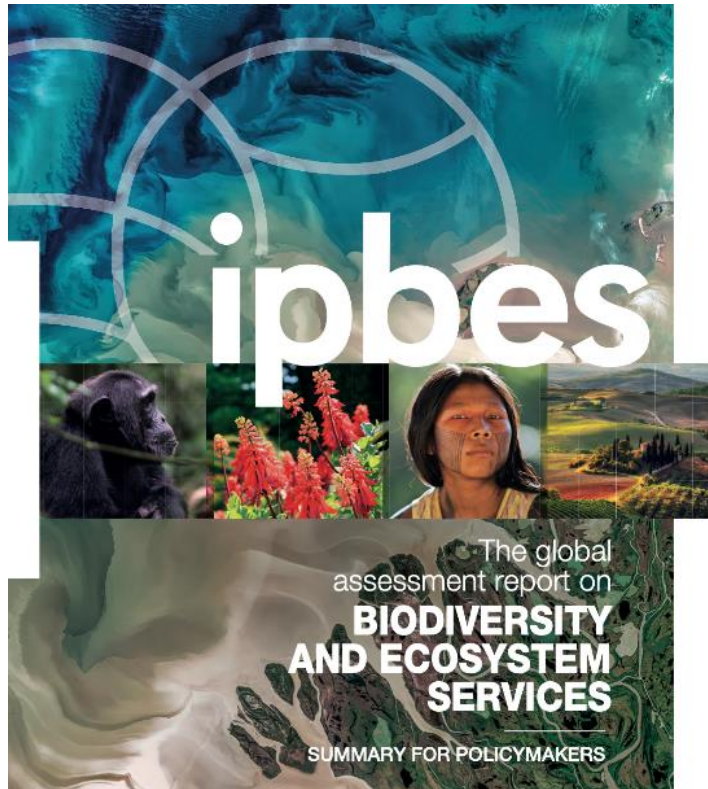
- Human-induced warming reached approx. 1°C above pre-industrial levels
- Past emissions are unlikely to raise global mean temperatures above 1.5°C
- Ambitious mitigation actions are indispensable to limit warming to 1.5°C
- Current NDC are not sufficient
- Must reach net zero CO<sub>2</sub> emissions by 2050 and deep reductions of other GHG, esp. CH<sub>4</sub>



# Paris Agreement: Impact of NDCs on global emissions



# IPBES Biodiversity and Ecosystem Services (highlights)



- Goals for conserving and sustainably using nature cannot be met by current trajectories. Transformative changes are necessary.
- Nature and its vital contributions to people, are deteriorating worldwide
- Direct and indirect drivers of change have accelerated during the past 50 years

# Responding to the climate and ecological crisis

The policy basis for transformative action



# The Treaties



## Art. 11 of the Treaty on the Functioning of the EU

*“Environmental protection requirements must be integrated into the definition and implementation of the Union’s policies and activities, in particular with a view to promoting sustainable development”*

# SUSTAINABLE DEVELOPMENT GOALS





# The Rio Conventions

- EU committed to support the implementation of the UN Rio Conventions
- Framework Convention on Climate Change (UNFCCC) and the Paris Agreement
- Convention on Biological Diversity
  - **Aichi Biodiversity Targets** - Strategic Goal A: *“Address the underlying causes of biodiversity loss by mainstreaming biodiversity across government and society”*
  - COP13 (2016) and COP14 (2018) promoting mainstreaming of biodiversity into different sectors.
- Convention on Combating Desertification

# The European Consensus on Development



- Emphasises implementation of **Agenda 2030** and the **Paris Climate Agreement**
- Promotes **integration of environment and climate change** in all development cooperation sectors
- Promotes **resource use efficiency**, sustainable consumption and production, uncoupling of economic growth from environmental degradation
- Promotes integration of environment in **policy dialogue**
- Supports implementation of **NDCs**
- Promotes clean energy, sustainable agriculture, IWRM, resilient infrastructure, green and circular economy...



# Financial commitments to environment and climate action

One manifestation of the new ambition

# Financial commitments up to 2020

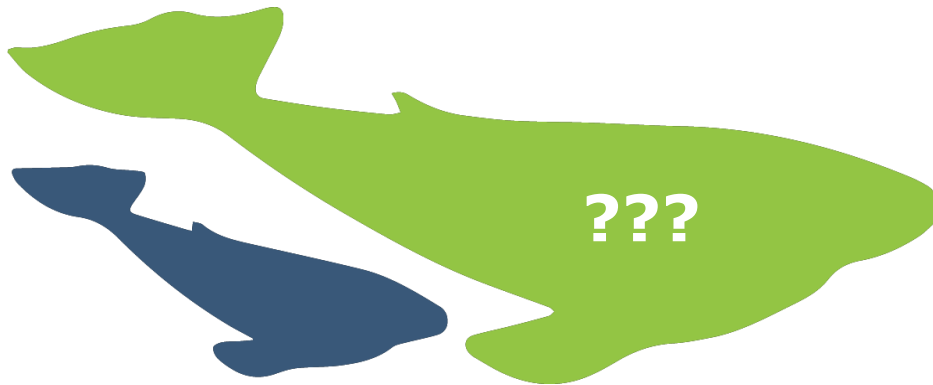
Dedicate at least 20% of EU budget  
(2014-2020) to climate-related actions



Double financial flows to  
biodiversity in developing  
countries by 2015 and up to  
2020

# New ambition for 2021-2027

Commission proposal: 25%  
Council agreement: 30%  
Parliament: ??



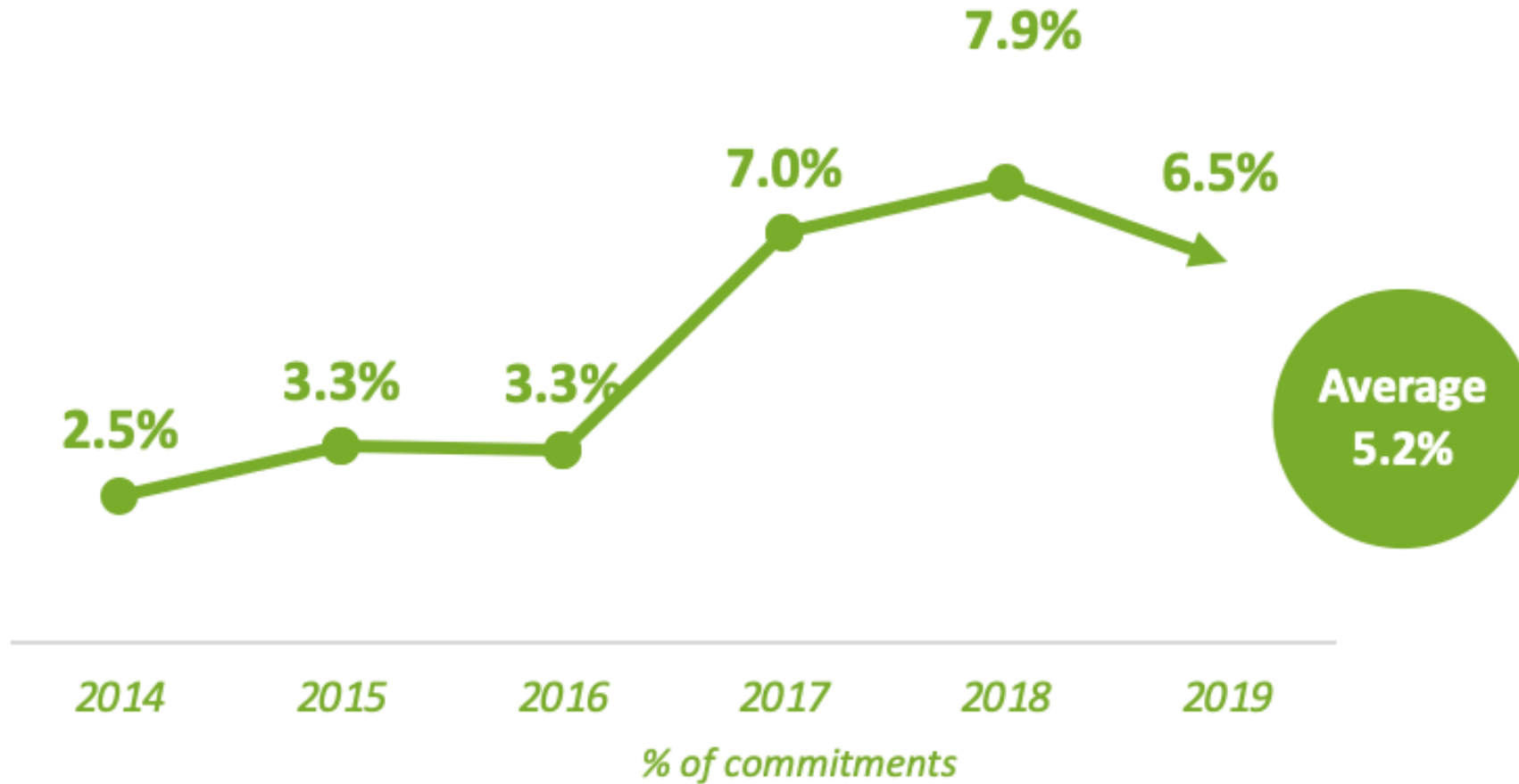


# Where are we now – on Climate Action?



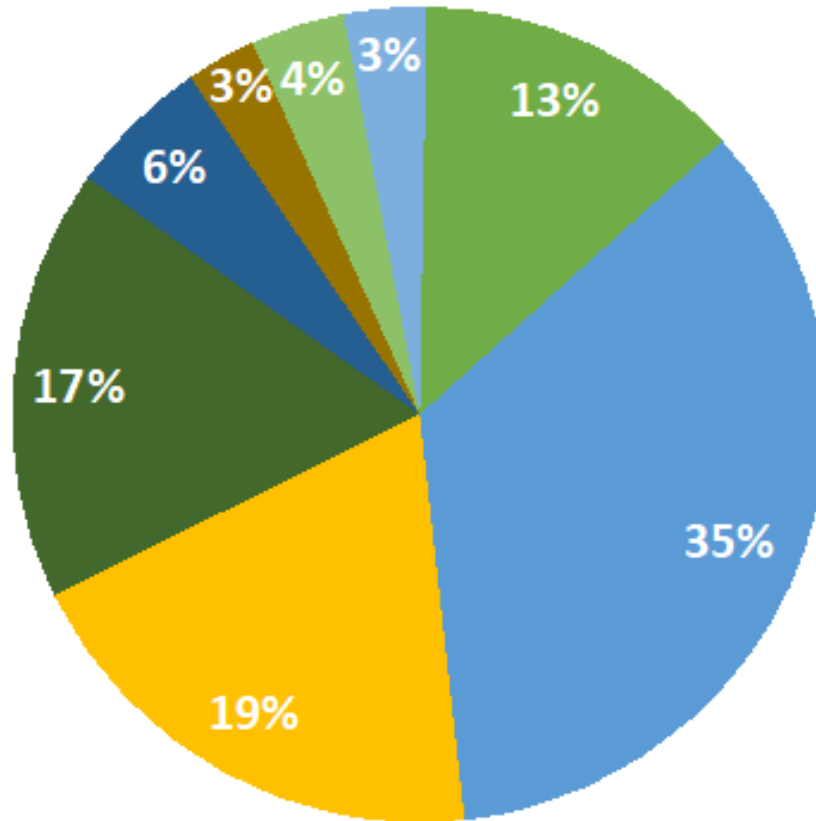
\* Data for DEVCO-managed actions

# Where are we now – on Biodiversity?

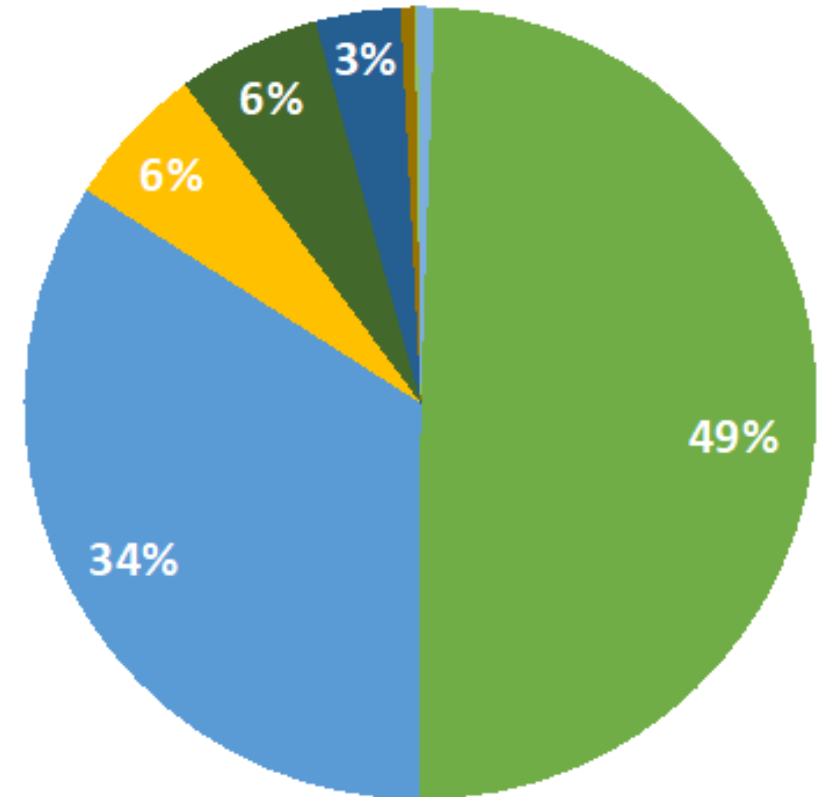


\* Data for DEVCO-managed actions

# Where are contributions to climate action and biodiversity coming from?



Climate action



Biodiversity

- Environment & natural resources
- Agriculture & Food Security
- Other sectors
- Energy
- Water and sanitation
- Private Sector Development
- Disaster Risk Reduction
- Transport and infrastructure
- Not markable commitments

# Some challenges in the new landscape

- Reduced funds for thematic programmes (under geographisation)
- Increased role for investments (blending, guarantees)

Multiannual Financial Framework 2021-2027 COMMITMENTS in current prices			
New instruments 2021-2027	MFF 2014-2020	MFF 2021-2027	% Difference
Neighbourhood, Development and International Cooperation			
Geographic programmes	57.568	68.000	18%
Neighbourhood	17.693	22.000	24%
Sub-Saharan Africa	26.097	32.000	23%
Asia, Middle East and Pacific	9.819	10.000	2%
Americas and Caribbean	3.959	4.000	1%
Thematic programmes	9.139	7.000	-23%
Human Rights and Democracy	1.302	1.500	15%
Civil society Organisations	1.414	1.500	6%
Stability and peace	706	1.000	42%
Global Challenges	5.716	3.000	-48%
Rapid response	3.407	4.000	17%
Emerging challenges and priorities cushion	p.m. 6.869	10.200	
Complementary European Instrument for Nuclear Safety	314	300	-4%
TOTAL	70.428	89.500	27%

# The European Green Deal in action

Aurelie Godefroy – DEVCO C2 Deputy Head of Unit

# European Green Deal: EU ambitious roadmap for economic and social transformation toward climate neutrality and resource efficiency

*"The European Green Deal is our new growth strategy. It will help us cut emissions while creating jobs."*

*Ursula von der Leyen, President of the European Commission*



*"We propose a green and inclusive transition to help improve people's well-being and secure a healthy planet for generations to come."*

*Frans Timmermans, Executive Vice-President of the European Commission*

*By using the European Green Deal as our compass, we can turn the crisis of this pandemic into an opportunity to rebuild our economies differently and make them more resilient," the Commission president said.*

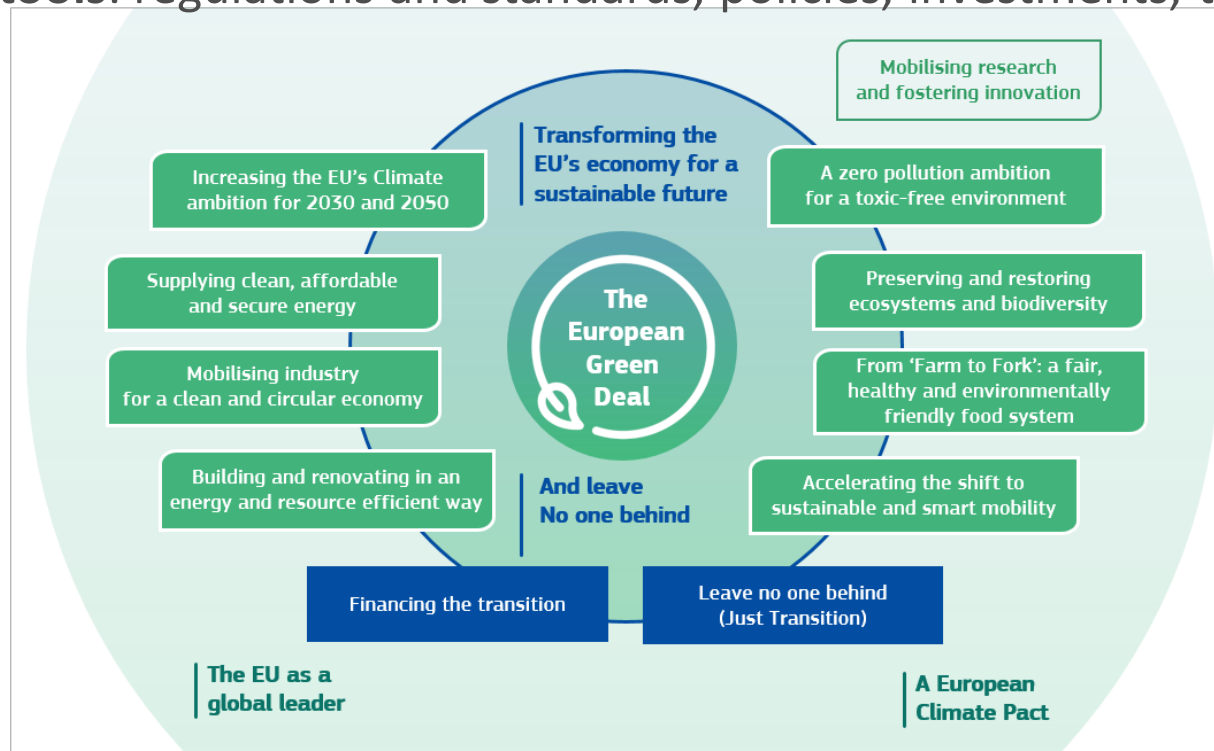
**A FAIR AND GREEN TRANSITION TO BUILD BACK BETTER**

# A very comprehensive strategy...

Three pillars : Climate, biodiversity, pollution

Covering all policies (energy, transport, industry, trade, agriculture... but also education...)

And **mobilising all tools**: regulations and standards, policies, investments, taxation, research, diplomacy



# Going beyond the EU



- Continue to **promote and implement ambitious environment, climate and energy policy** across the world
- Convince and support partners **to take their share** through diplomacy, trade policy and development support
- EU set standards – **Market leverage and EU expertise** to increase norms and standards in partner countries
- Engage on the phasing out of fossil fuel subsidies
- Make the **respect of the Paris Agreement** an essential element of all future comprehensive trade agreements
- **Work with Africa** to bring climate and environmental issues to the centre of our relations. Proposal to launch NaturAfrica
- **Build Green Alliances** with partner countries and regions (Latin America, Caribbean, Asia, Pacific)
- **Set up a Green Agenda for the Western Balkans** and establish environment, energy and climate partnerships with the **Eastern Partnership and Southern Neighbourhood**



# Implementation: policy developments

- European **Climate Law** enshrining 2050 climate neutrality objective in legislation
- “**Towards a comprehensive Strategy with Africa**” (March): partner w/Africa to maximise benefits of the green transition and minimize threats to the environment in full compliance with the Paris Agreement
- **Circular Economy Action Plan** (March): promotes sustainable products with focus on resource intensive sectors and calls for mainstreaming of circular economy in external action
- **Biodiversity Strategy 2030** (May): calls for stepping up actions with partners to tackle biodiversity loss and its root causes and mainstream biodiversity in all actions
- **Farm to Fork** (May): to ensure transition to sustainable food systems globally
- **Climate target plan** (Sept): on reducing greenhouse gas emissions to at least 55% below 1990 levels by 2030.
- To be followed: renewed sustainable finance strategy, Climate Pact, EU forest strategy, EU adaptation strategy, energy reform, smart and sustainable mobility, chemicals and zero-pollution

# Implementation: greening EU cooperation

- All policies and actions must contribute
- DO NO HARM / DO GOOD
- Review of existing policies

*“All EU actions and policies will have to **contribute to the European Green Deal objectives**...the Commission will refocus the European Semester process of macroeconomic coordination to integrate the United Nations’ sustainable development goals, to put sustainability and the well-being of citizens at the centre of economic policy, and the sustainable development goals at the heart of the EU’s policymaking and action”*

*“All EU actions and policies should pull together to help the EU achieve a successful and just transition towards a sustainable future”*



# Implementation: greening our cooperation

- To be translated in the future programming through specific programmes and mainstreaming +
  - Enhanced focus on transformative sectors/areas
  - Greening across the board, using a number of processes and tools:
    - Diplomacy and policy dialogue
    - Budgets and PFM
    - Investment and finance – the sustainable finance agenda
    - Capacity development
    - "Do no harm" - safeguards
  - Joint programming and Team Europe Initiatives as preferred options

**Looking for transformative interventions, complementarity and subsidiarity**

# Aligning programming to Nationally Determined Contributions (NDCs)



# The Paris Agreement: key features

- Multilateral agreement with largest coverage (189/197)
- Objectives (mitigation target; adaptation goal; finance)
- Nature of the Agreement (difference with the KP)
- 4 main topics: mitigation, adaptation, support, transparency & compliance
- On mitigation: key instrument = **Nationally Determined Contributions (NDCs)**

# Looking at the future: linking objectives and NDICI resources

1. Higher climate-related target calls for higher commitments towards climate objectives (25% or above for NDICI)
2. “Geographisation” of NDICI: higher resources for the geographic pillar (EUR 60.3 billion) vis-à-vis the thematic pillar (EUR 6.3 billion) – as per EUCO Conclusions (July 2020).
3. EU international cooperation at country and regional level driven by national development strategies, including NDCs, LTS and NAPs, NBSAPs.

# Focus on delivery: supporting the implementation of NDCs

- 2019: based on latest IPCC scientific evidence and COP24 outcomes, DEVCO Senior Management's decision to explore options to support the implementation of the Paris Agreement in partner countries by focusing on NDCs
- Outcome: dedicated methodological note
- Two pillars:
  - Enhance climate and environmental **mainstreaming** across the EU's policies, strategies, investments and projects
  - **Where possible, establish climate change relevant sectors as a bilateral/regional area of cooperation by focusing on the sectors covered by countries' NDCs and beyond.**

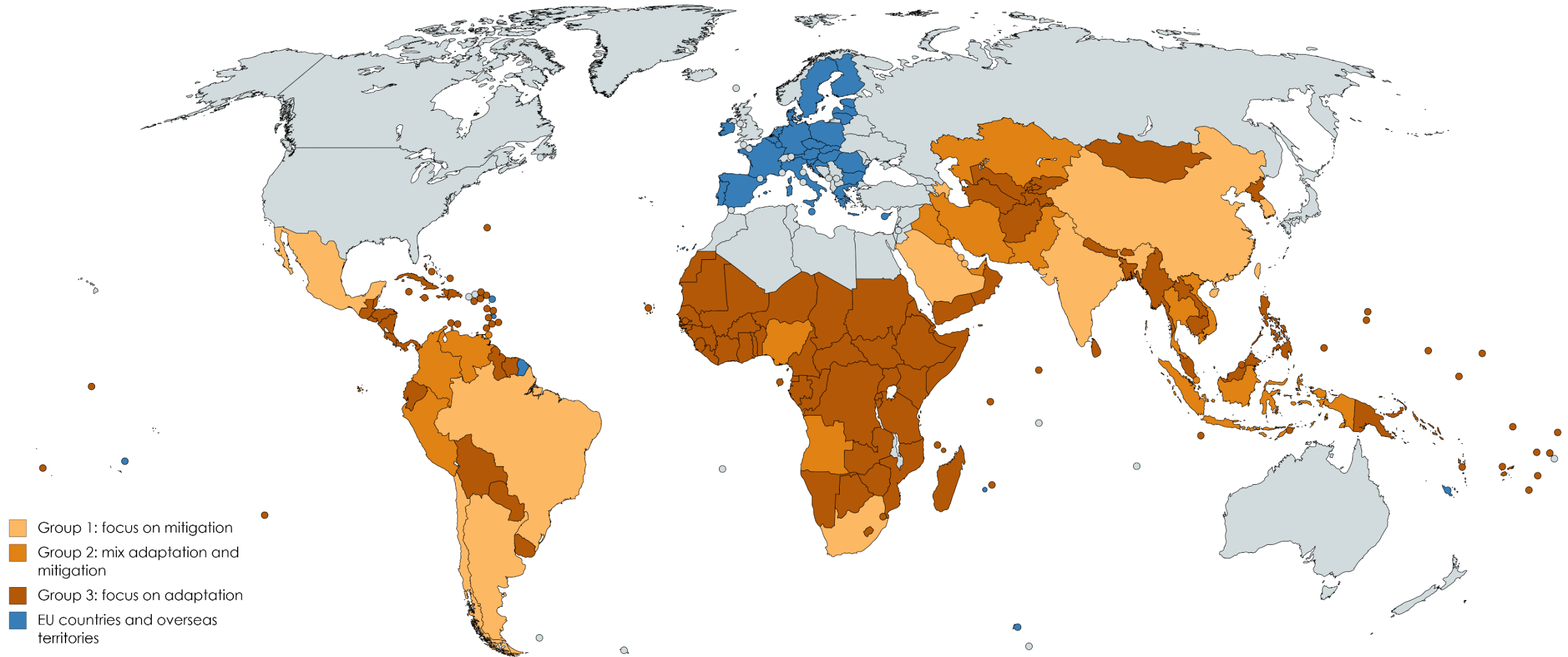
# Focus on delivery: supporting the implementation of NDCs

## **A step-wise approach:**

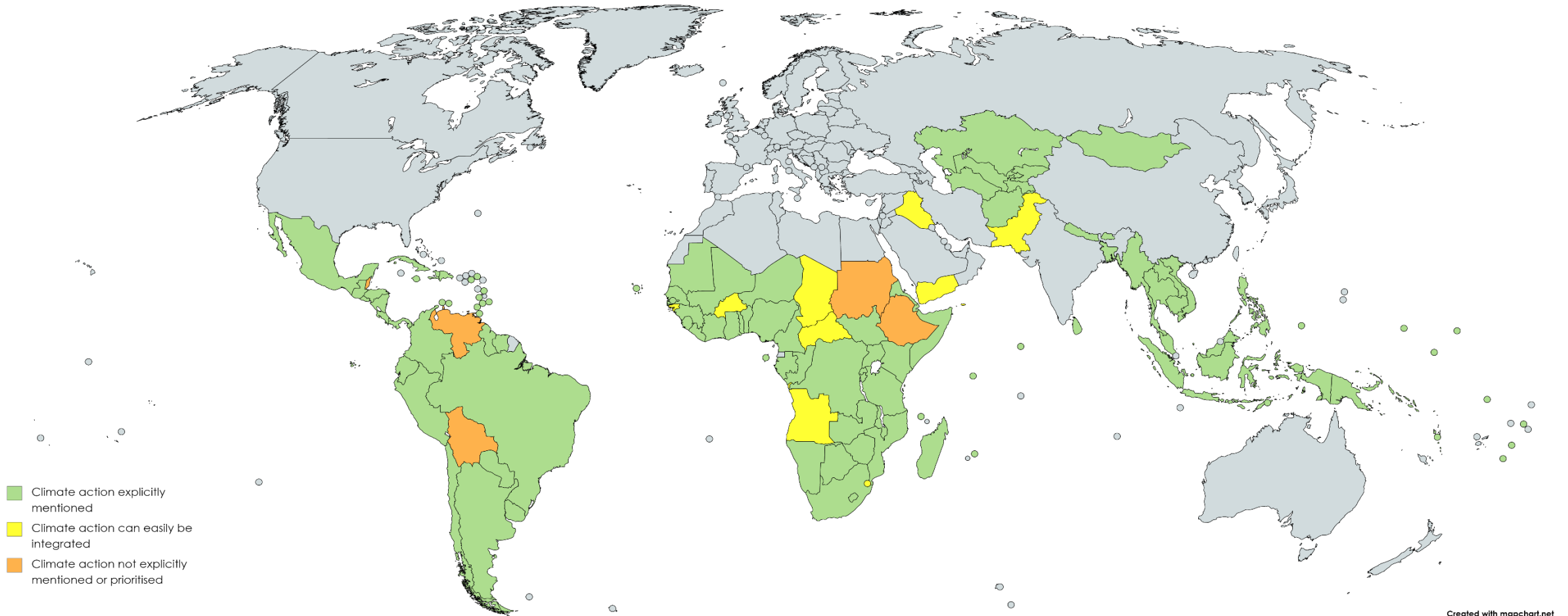
- Step 1: Analysing a country's NDC
- Step 2: Defining the type of country (3 broad groups)
- Step 3: Identifying potential areas of intervention
- Step 4: Defining which component of the NDC we intend to support
- Step 5: Defining the potential role of sectors not listed in the NDCs in supporting NDC-related climate and environmental objectives
- Step 6: Defining the interventions to support NDC implementation



# Climate change in the EU cooperation: possible focus by country

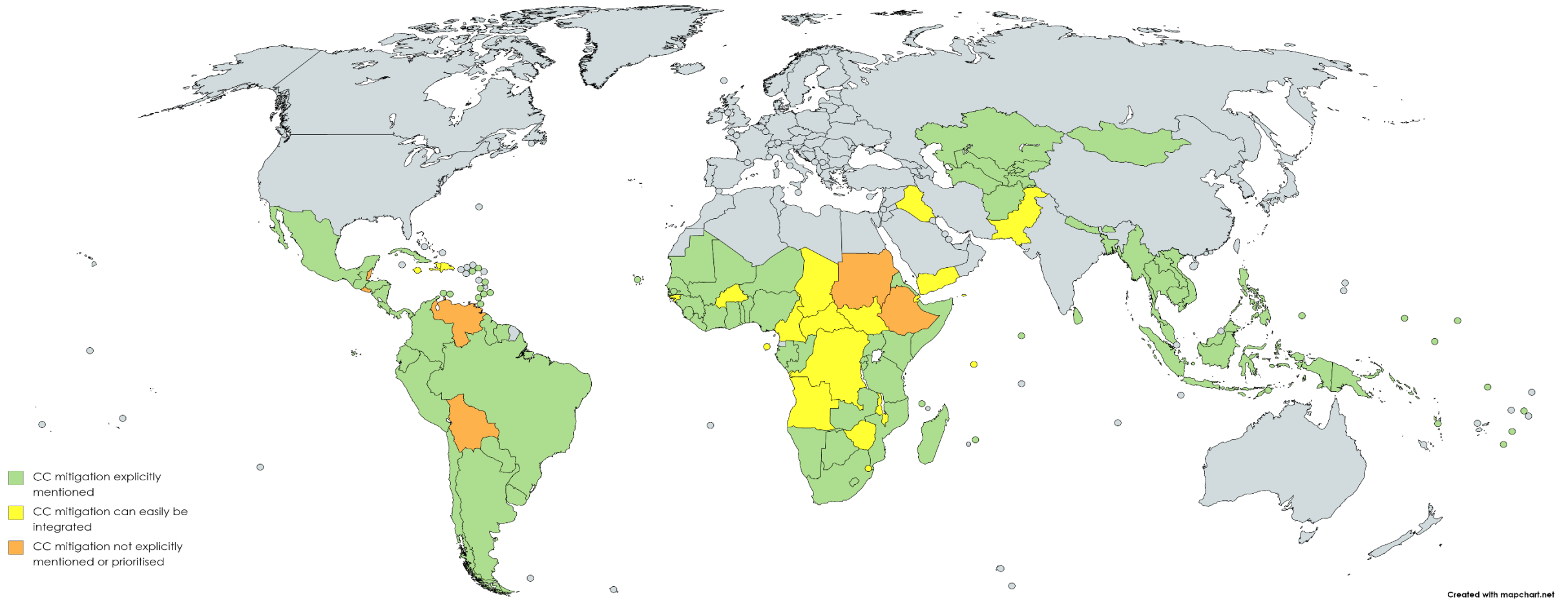


# Team Europe Initiatives (TEIs) – climate action



**Disclaimer: mapping based on raw and preliminary data.**

# Team Europe Initiatives (TEIs) - mitigation



Created with mapchart.net

**Disclaimer: mapping based on raw and preliminary data.**



# Integrating mitigation and adaptation and broader environmental issues into TEIs

- Integration to be done on a specific country/regional basis
- Possible examples of support by TEIs may address, inter alia:
  - Direct support to Paris Agreement implementation (NDCs, LTS, MRV, NAPs, art. 6 mechs)
  - Sendai Framework implementation (NDRRS; data analysis, collection and management; EWS; investments in DRR for resilience (e.g.: climate resilient infrastructure), etc.)
  - Economic policies fostering climate action and environmental sustainability (environmentally harmful subsidies; tax reform, carbon pricing and carbon market-based mechanisms; public/private climate finance; disaster risk financing; trade policies etc.)
  - Nature based solutions, sustainable forest management, land restoration, sustainable agriculture, circular economy.

# Some acronyms explained

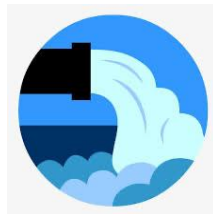
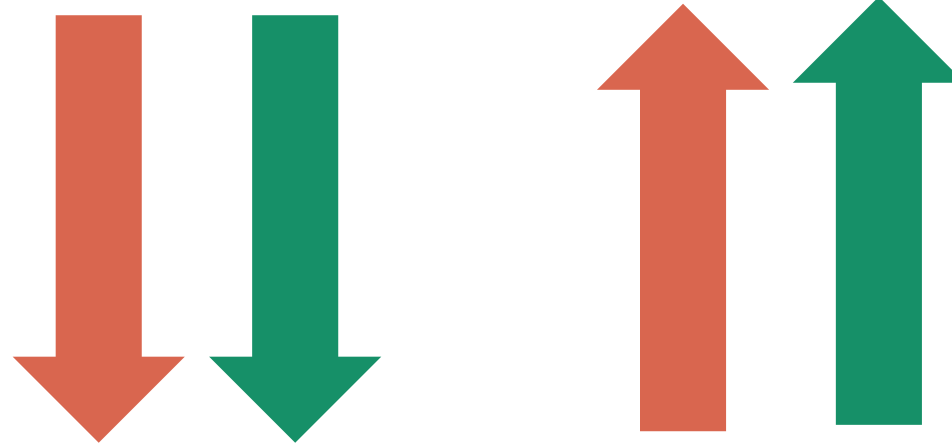
- LDCs: Least Developed Countries
- SIDS: Small Island Developing States
- NDC: Nationally Determined Contribution
- NAP: National Adaptation Plan
- GHG: greenhouse gases
- NDICI: Neighbourhood, Development and International Cooperation Instrument
- DCI: Development Cooperation Instrument
- EDF: European Development Fund
- MRV: Monitoring, Reporting and Verification
- LTS: Long-Term Strategies (for greenhouse gas emission reduction)
- NDRRS: National Disaster Risk Reduction Strategies
- DRR: Disaster Risk Reduction
- LULUCF: Land Use, Land Use Change and Forestry

# Promoting transformative action

Beyond “do no harm”



# Action



# Environment





# Ecosystem Services - Rwanda



- Cost of electricity increased up to 167% per unit cost following degradation of the Gishwati forest and Rugezi wetland



# Impacts of air pollution

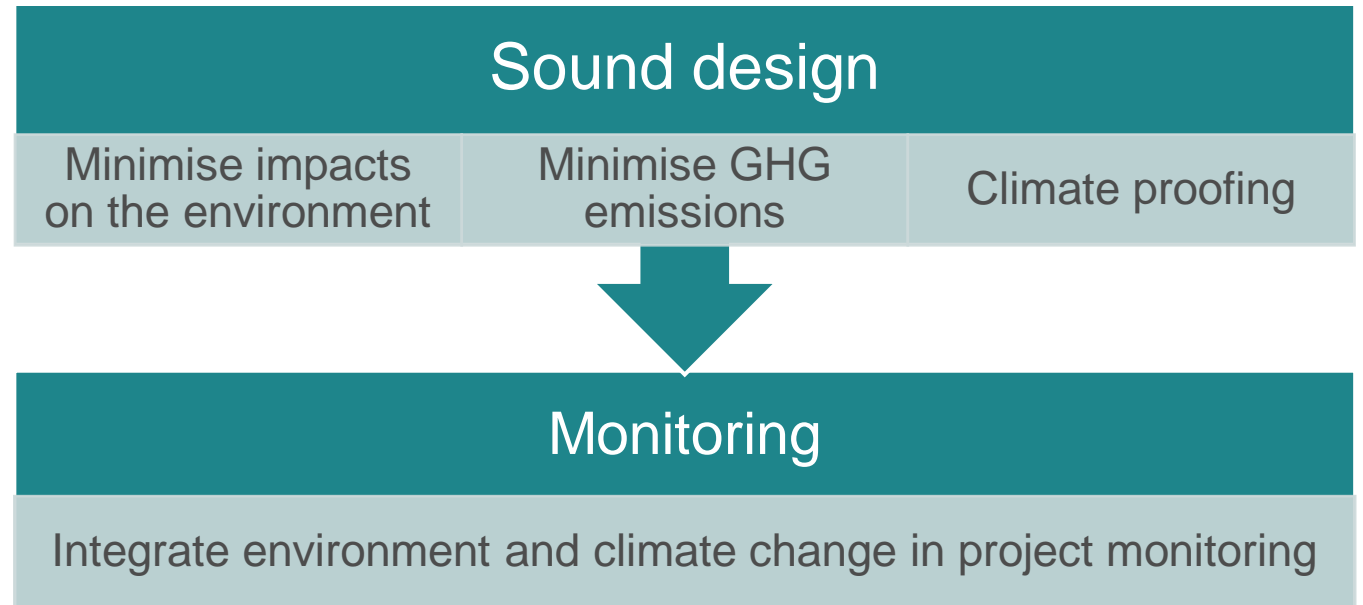
- About 4.2 million premature deaths globally are linked to ambient air pollution (WHO)
- 1.6 million deaths each year - mostly women and children - can be attributed to diseases from smoke inhalation from open cooking fires



# The “do no harm approach”

Key questions:

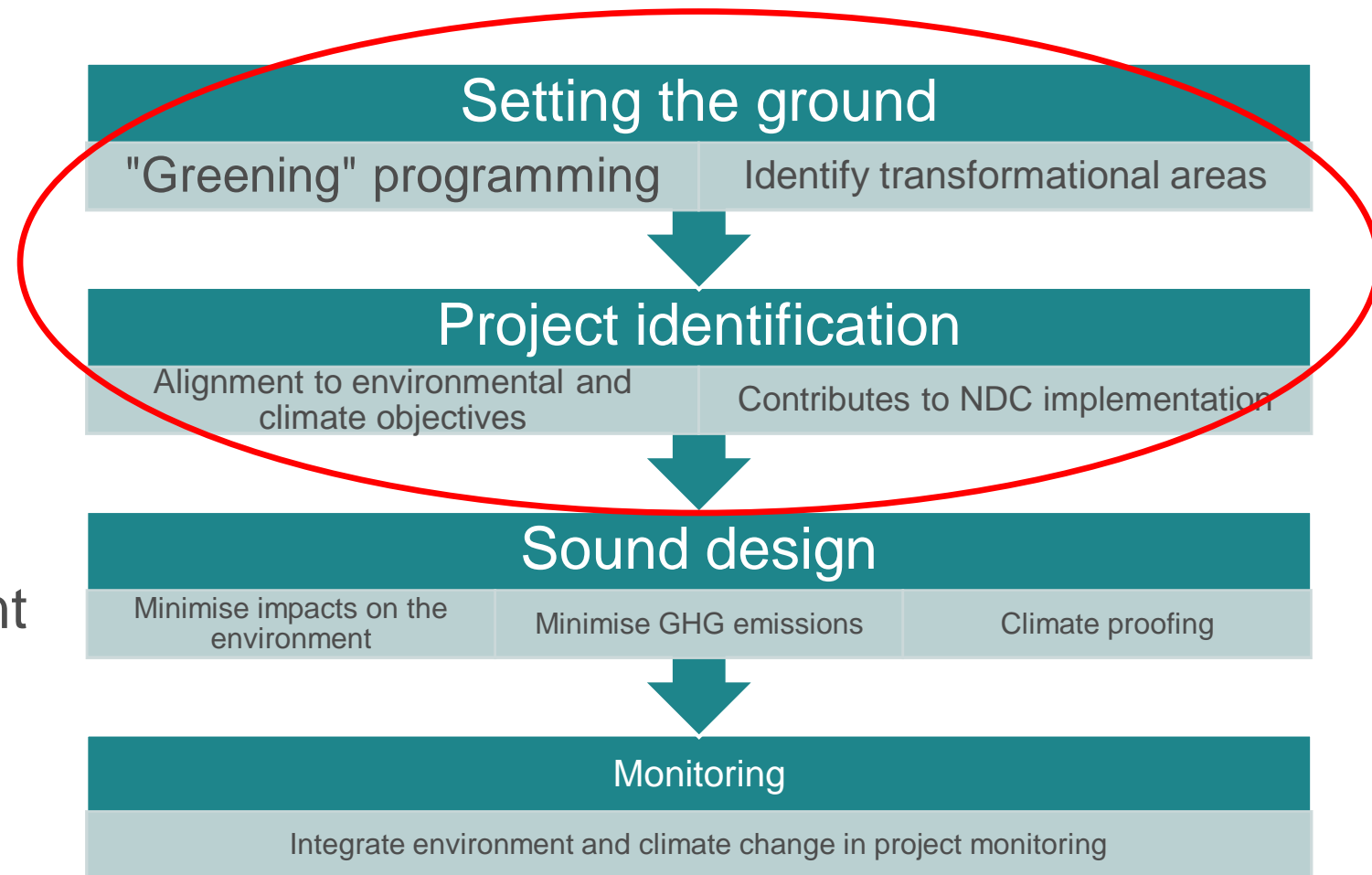
- Can the project negatively affect the environment?
- How can adverse environmental impacts be minimised?
- How to ensure climate resilience of the project?



# The “do more good approach”

Key question:

- What type of project is needed to achieve development objectives in an environmentally sustainable, climate resilient and low carbon manner?





## Do no harm approach

- Can the road route avoid sensitive environmental landscapes?
- What construction techniques and materials to minimize impact?
- How to climate proof the road?



## Do more good approach

- What's the best alternative to achieve sustainable mobility objectives (road? railway? public transport?)



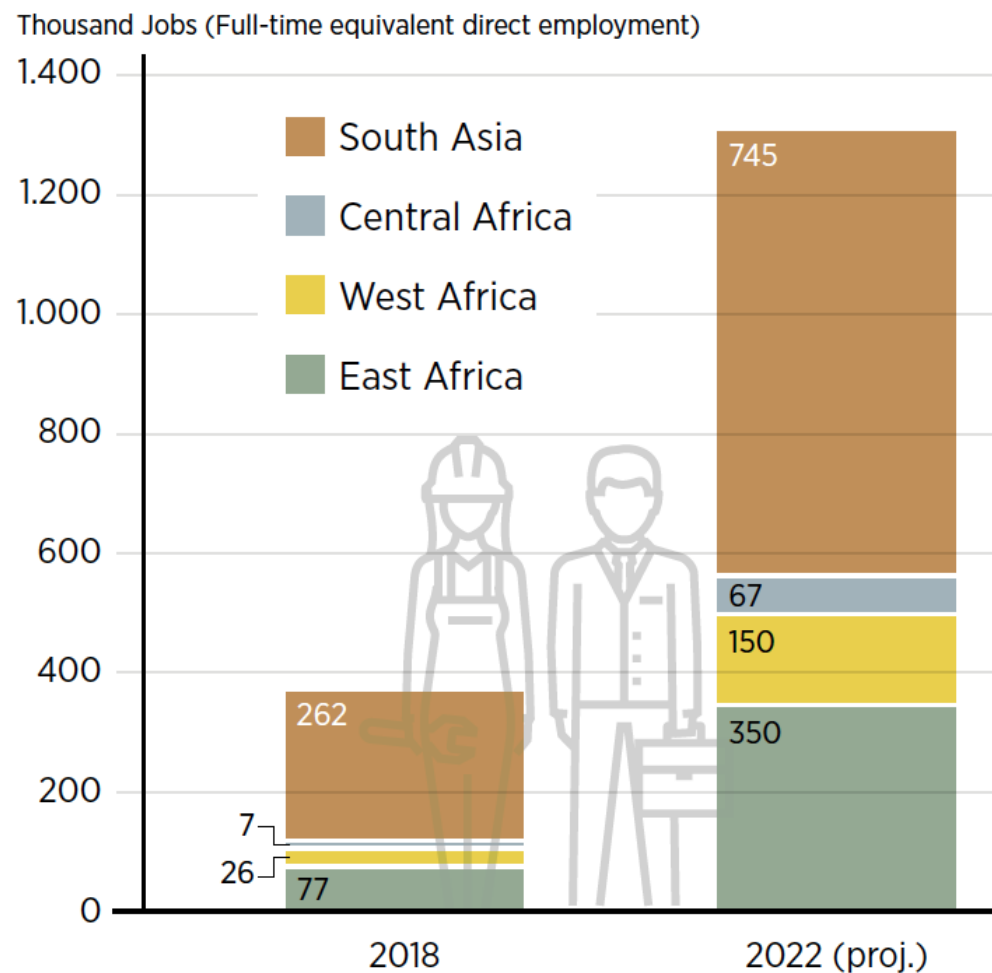




## Success story: energy efficiency

- Metalexacto, a small lead foundry in Peru, increased productivity of secondary Pb by 34.7 t/yr (some \$16,980) through simple EE measures  
\* burner replacement, change of refractory bricks, hood on furnace
- Improved operating efficiency, with waste reductions of 35,500 kg/yr in raw materials and reduced emissions of almost 240 tCO<sub>2eq</sub>.

# Renewable energy creates jobs



Source: GOGLA and Vivid Economics, 2018.

Employment in off-grid jobs





## Switching to drought-tolerant maize in Zimbabwe

- Development of drought-tolerant maize varieties
- 160 varieties released and scaled-up
- Farmers harvested over 600 kg more maize per ha (equivalent to US\$240/ha - a buffer of 9 months' worth of additional food security)

# Sustainable value chains

- Are we prioritizing value chains that make the most sustainable use of natural capital?
  - Agroforestry approach
  - Avoiding "thirsty" crops in water-scarce areas
  - ...



# The EU intervention cycle

Entry points for environment and climate change



**Risk Management Framework (RMF)**

**Environment and climate risk screening**

**Strategic Environmental Assessment (SEA)**

**Environmental Impact Assessment (EIA)**

**Climate Risk Assessment (CRA)**

Identification/formulation studies

Budget support eligibility assessment

**Environmental Management Plan (EMP)**

**Climate Risk Management Plan (CRMP)**

**Performance indicators**

Monitoring missions

ROM



**Country Environmental Profile (CEP)**

Mid-term evaluations

Final evaluations

# The Green Lenses approach: beyond dedicated tools



# Strategic Environmental Assessment

“the **process** and tool for evaluating effects of proposed policies, plans and programmes on natural resources, social, cultural and economic conditions and the institutional environment in which decisions are made”

- IAIA (International Association for Impact Assessment)





# When can SEA be useful?

- When providing **budget support** to an environmentally-sensitive sector
  - To assess budget support eligibility criteria
  - To inform the BS programme preparation
  - To identify performance indicators
- When providing broad **strategic-level support**
- When supporting sectoral **policy-making and planning processes**



# Environmental Impact Assessment



“the **process** of **identifying, predicting, evaluating and mitigating** the bio-physical, social and other relevant effects of development proposals **prior to** major decisions being taken and commitments made”

- IAIA (International Association for Impact Assessment)

# The mitigation hierarchy





# Environmental Management Plan (EMP)

- Details for implementation and monitoring of mitigation measures
- What? Who? When? How much?
- Must be reflected in contractual documents
- Link to monitoring and evaluation



# Climate Risk Assessment (CRA)

- Reduce the project's vulnerability to climate change
- Reduce climate damage by preventive measures
- Optimise positive impacts
- ...through technical/scientific studies and stakeholder consultations

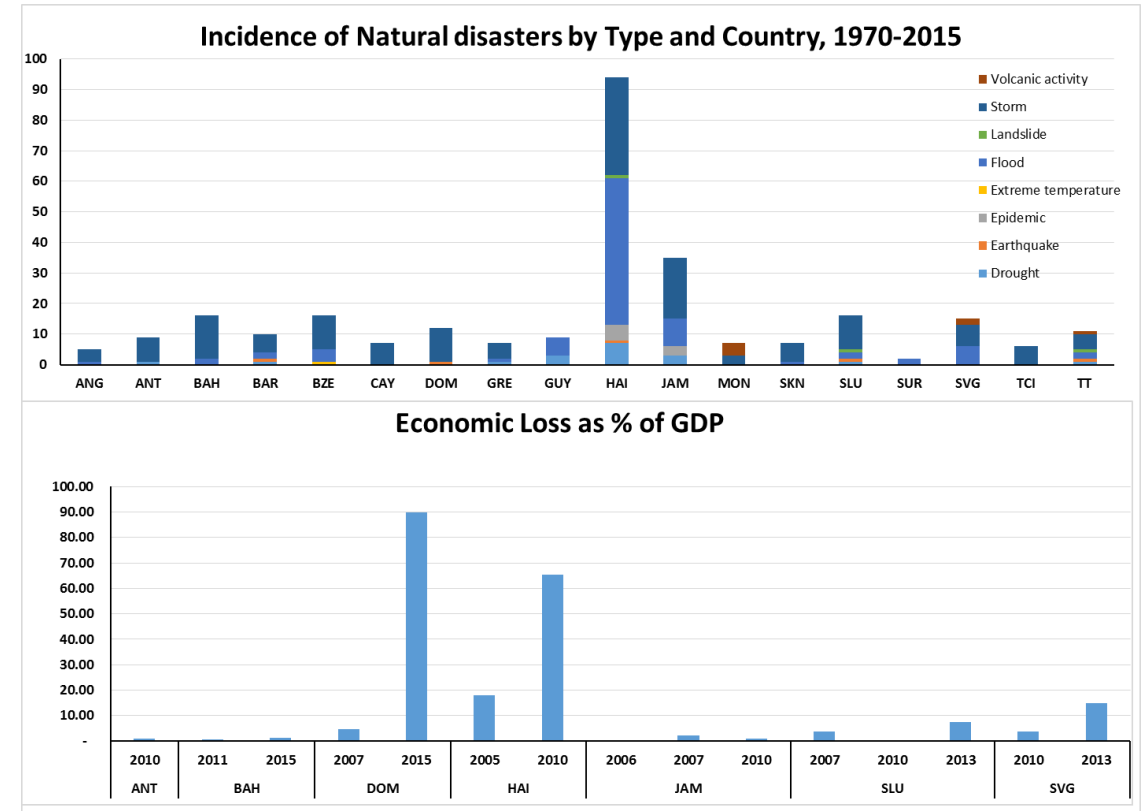
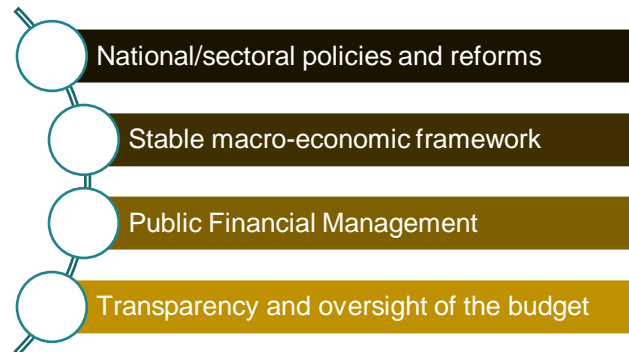


# Tools and instruments in the context of budget support



# General considerations for greening budget support

- To consider environment and climate change in:
  - Risk Management Framework (e.g. developmental and macroeconomic risks)
  - Eligibility criteria (sector strategy)



SOURCE: EM-DAT Disaster Database, [www.em-dat.be](http://www.em-dat.be), Université Catholique de Louvain, Brussels, Belgium

# Key tools



- Greening the **policy dialogue**
- **Strategic Environmental Assessment (SEA)**
- Greening **PFM**
  - Greening budgets and budgeting systems
  - Public Environmental Expenditure Reviews (PEER) / Climate Public Expenditure and Institutional Reviews (CPEIR)
  - Environmental Fiscal Reform (EFR)
  - Addressing perverse subsidies
  - Environmental accounting
  - Enhancing access to climate finance, etc.

# Tools and instruments in the context of investments



# What can we do to promote sustainable investments? When do we intervene and how?

- Alignment to the Green Deal and promoting transformative change
  - Identification of pipeline of projects
  - Designing Guarantees
- Ensure environmental additionality
- Understand ESG safeguards of the lead FI and promote high standards
- Examine the application of ESG safeguards in the context of intermediary FIs
- Our involvement in project assessment and monitoring

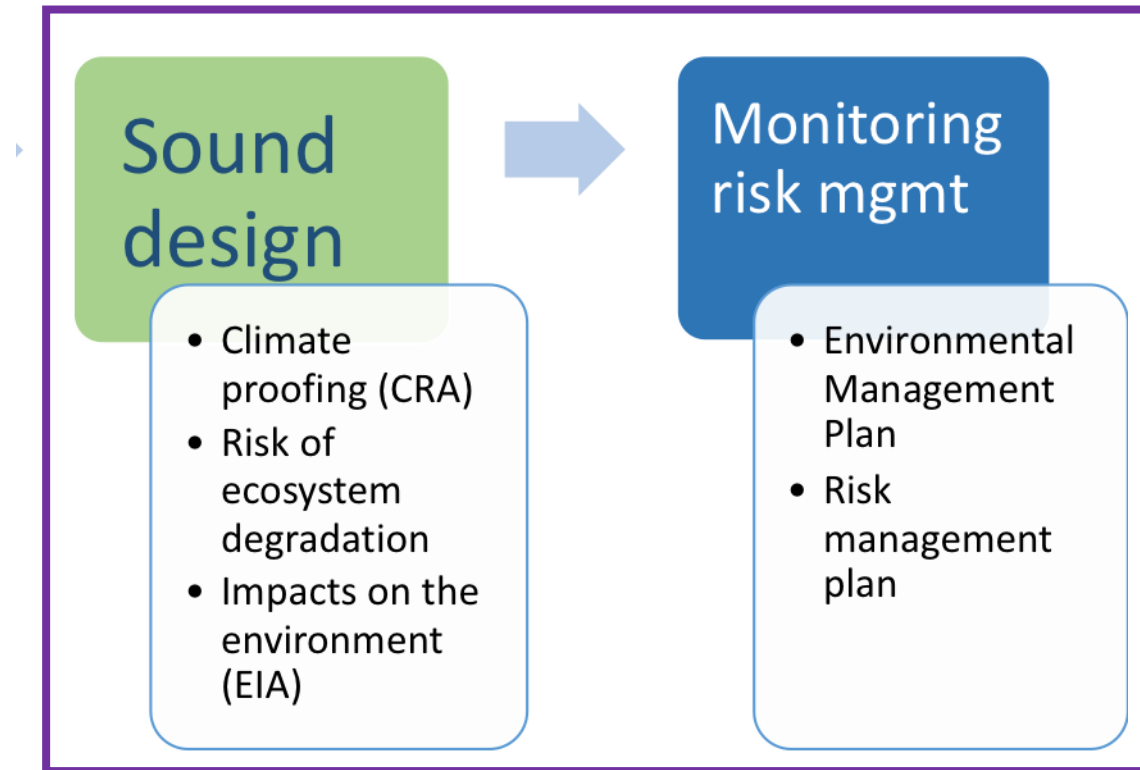


# EU Taxonomy of Sustainable Finance promoting green investments

- Provides guidance on what is considered sustainable finance
- Sets technical screening criteria for economic activities:



## Doing things right is not enough...



"Doing the Right Things"

"Doing Things Right"

# Guidance and support





# Tools and Methods Series

## Guidelines N° 6

### Integrating the environment and climate change into EU international cooperation and development

*Towards sustainable development*



QUICK TIPS

### INTEGRATING ENVIRONMENT AND CLIMATE CHANGE IN THE SUSTAINABLE ENERGY SECTOR

The sustainable energy sector has the potential to contribute to several Sustainable Development Goals and targets, beyond providing access to energy for all: climate change mitigation and adaptation, curbing pollution, improving public health and addressing land degradation.

To deliver these benefits, however, actions must be carefully planned, designed and implemented. This note provides quick practical tips for maximising opportunities for environmental sustainability and addressing environmental and climate-related risks in the sustainable energy sector.



#### Contribute to international environment and climate commitments

- Verify how the activities proposed contribute to the Rio Conventions related to climate change mitigation and adaptation, biodiversity, and combating desertification. You can get inspiration from the document [Guidance on activities in the energy sector that qualify for Rio markers](#).
- Check if the activities are in line with the Sustainable Development Goals (SDGs) and the Paris Agreement.



QUICK TIPS

### GREEN MOBILITY: ANCHORING ENVIRONMENT AND CLIMATE AMBITIONS IN THE TRANSPORT AND MOBILITY SECTOR

Transport is amongst the largest energy-consuming sectors and one of the main contributors to anthropogenic greenhouse gas emissions. It is also a key contributor to ambient air pollution, causing 4.2 million deaths annually. Green mobility aims to reduce GHG emissions from the transport sector, substantially reduce premature deaths and illnesses from air and noise pollution, create free public space in congested cities and build resilience to climate

change. Mobility planning has to move away from accommodating more vehicles, and instead focus on people and goods in order to create sustainable mobility systems. This note provides quick practical tips to maximise opportunities for environmentally sustainable and climate compatible mobility of passengers and freight. This includes road, rail, inland waterways, maritime and air transport.



#### Reduce greenhouse gas emissions and pollution

Avoid travel by reducing and minimising the need for motorised travel for goods and people through:

- mixing of land-use (housing, employment, services) within territories and promoting compact cities to limit travel distances and number of trips;
- stimulation of telework, e-commerce, e-services;
- relocation of supply chains, promote short-distance trade to local/regional markets (for example, the EU 'Farm to Fork' strategy promotes sustainable and circular food production, also taking rural transport into account).

1. Mobility of people and goods is supported by infrastructure: testing, construction, use and decommissioning of infrastructure has significant impacts on biodiversity, land use, quality of air, water and soil, climate, noise, landscape, living conditions, etc. Environmentally sustainable and climate resilient infrastructure is subject of a separate Quick Tip document.



Integrating the environment and climate change into EU international cooperation and development:  
Towards sustainable development

### SECTOR NOTE: AGRICULTURE, FOOD SECURITY AND RURAL DEVELOPMENT



This sector note has been prepared to complement the European Commission (EC) Guidelines on Integrating the

environmental sustainability and climate change at the heart of development. Mainstreaming environ-



Integrating the environment and climate change into EU international cooperation and development:  
Towards sustainable development

### SECTOR NOTE: WATER AND SANITATION



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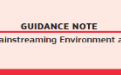
Integrating the environment and climate change into EU international cooperation and development:  
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### SECTOR NOTE: ENERGY



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### SOCIAL PROTECTION

#### PART 1 Policy Basis

'Social protection, which seeks to keep individuals from falling into poverty, must be carefully constructed and delivered so as to avoid further stresses on the environment—a mandate impeded and complicated by climate change. Recognising and clarifying the myriad linkages between social protection and sustainable development, the European Union (EU) has developed a set of policy directions, these are outlined in the following documents.

- Increasing the impact of EU development policy. An agenda for change (EC, 2011) calls for a more comprehensive approach to human development, supporting increased access to quality health and education services and enhanced social protection in support of inclusive growth.
- 'Social protection in European Union development cooperation' (EC, 2012) sets the policy framework for development cooperation in this sector, highlighting that 'social protection and climate change adaptation measures should be closely linked in order to reduce the vulnerability of poor people to the effects of climate change'.
- The Bio Declaration on Environment and Development (2003) is an essential tool in relation to the environment.

'Social protection, comprises a discrete set of interventions which can reduce vulnerability to poverty and to climate hazards across a range of timescales'.

—World Bank, 2011

development—including social protection—and the sustainable management of natural resources while incorporating drivers for sustainable and inclusive growth and development that are necessary for structural transformation of the economy, needed to ensure the creation of productive capacities and employment and the transition to an inclusive green economy capable of addressing climate challenges'.

#### PART 2 Why Mainstream?

Poverty is intrinsically linked to environmental degradation and can be exacerbated by climate change, for this reason, social protection must integrate environment and climate change considerations.

The poorest members of society are often those most exposed to environmental degradation and climate

# Technical assistance in support of programming and implementation of the external dimension of the European Green Deal





**EuropeAid-C2-  
MAINSTREAMING@ec.europa.eu**

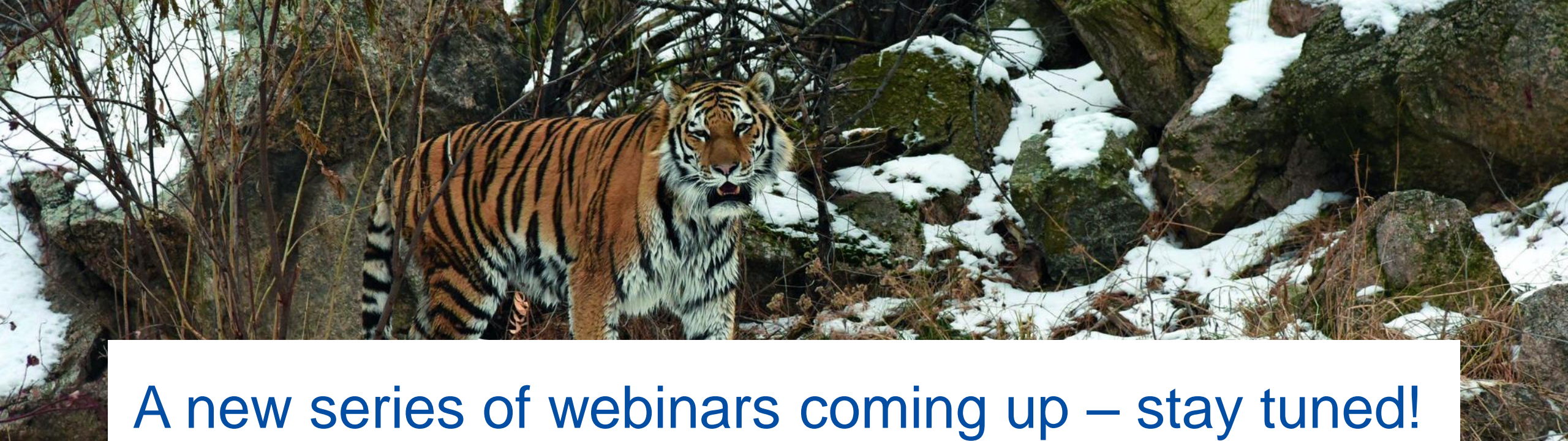
**Further  
information**

**Guidance documents available on:**

**capacity4dev.eu (public group on Environment, Climate Change  
and Green Economy)**

# Q&A






# A new series of webinars coming up – stay tuned!

- Introduction to the Biodiversity Strategy
- Greening investments and sustainable finance
- DRR and climate change adaptation
- Circular Economy II
- Nature-based Solutions
- Zero Pollution Agenda

# Keep in touch

Use your **EU Login** credentials to join [\*\*Capacity4dev.eu\*\*](https://Capacity4dev.eu) 

Connect with us



# Thank you



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Slide 5: source: Kate Raworth “Doughnut Economics” (2017); Slide 6: chart, source: Ecological Footprint: National Footprint and Biocapacity Accounts. 2019 Edition; Slide 14, source: <https://www.internal-displacement.org/global-report/grid2018/downloads/2018-GRID.pdf> ; Slide 51: data, source: <https://www.ecrowdinvest.com/blog/en/multiple-benefits-of-energy-efficiency/>; Slide 53: data, source: FAO “20 success stories of agricultural innovation from the innovation fair”. <http://www.fao.org/3/CA2588EN/ca2588en.pdf>

