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Results and indicators for Development

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This **guidance for action design** has been developed by INTPA Unit D4 "Performance, Results and Evaluation; Internal Communication, Knowledge Management and Collaborative Methods" jointly with INTPA Thematic Units.

It is **addressed** to all colleagues involved in the preparation of action documents and project documents and offers a handy tool to develop solid logical framework matrices. It identifies clear and measurable results statements that are in line with INTPA policy priorities, as well as with the UN Sustainable Development Goals (SDGs), along with a range of good indicators to monitor progress. It will be updated regularly to reflect evolving priorities.

Its **main objective** is to enhance the quality of INTPA interventions – both in terms of design as well as of monitoring and reporting in the course of implementation.

The **need for this type of guidance** was identified in the framework of the results-reporting process led by INTPA D4, as well as through its systematic review of all action documents presented to Quality Review Group meetings.

The present guidance covers INTPA strategies in various sectors, and presents for each sector:



1. EU policy priorities: a short narrative explaining EU policy priorities and commitments as articulated in key policy and strategic documents.



2. Results Chain: a diagram showing the main results (impact, outcomes, outputs) that EU development interventions are expected to achieve in the sector, reflecting EU policy priorities and commitments.



3. List of Sector Indicators: examples of measurable indicators associated to each result statement are provided, that may be used in Logframe Matri-ces at project/ programme level.



1. EU Policy Priorities



SDG 16.3 and the New Consensus on Development recognise that the promotion of the **rule of law** and **justice** are at the core of **sustainable development and long-term peace and stability**. The rule of law and the operation of the justice sector are fundamentally inter-connected. The justice sector should both uphold the rule of law and function according to its principles. The Consensus stipulates that the EU and its Member States will promote and foster efficient, transparent, independent, open and accountable justice systems and will promote access to justice for all – in particular the poor and persons in vulnerable situations.

The EU provides targeted support to justice systems worldwide, aiming to enhance their independence, impartiality, accountability, accessibility, efficiency and quality, and increase their compliance with international human rights standards. Interventions aim to promote the independence and impartiality of relevant justice actors, strengthen their capacities and improve management and performance of courts. The EU also pays special attention to improving prison and detention conditions, and to the promotion of access to justice for all, particularly for women, children and vulnerable groups. Access to effective and fair justice systems is essential for addressing the underlying causes of poverty and inequality, and for contributing to shared prosperity, inclusive growth and a healthy business environment.

The proposed **justice/rule of law results chain** is a practical tool that aims to support DEVCO staff in the design of justice interventions in line with the objectives of SDG 16.3 and the New Consensus on Development.

The proposed results chain focuses on three main components at **output level**:

- 1. legal empowerment of people and access to justice;
- 2. capacity building of justice actors, transparency and accountability;
- 3. reforms and the legal institutional framework.

The previously mentioned three components are crucial for attaining five main results at **outcome level**:

- 1. improved access to justice for all;
- 2. improved accountability, transparency and credibility of the justice system;
- 3. improved efficiency and quality of justice;
- 4. improved individual and institutional independence and impartiality of the justice system;
- 5. improved prison management and detention conditions in line with human rights standards.

Those five main results are crucial for promoting respect for the right to a fair trial and equality before the law and, in the end, for promoting the rule of law and respect of human rights at **impact level**.

This results chain and the list of proposed indicators are a practical tool that will need to be adapted to the specific context and circumstances of each country and intervention. They do not aim to be exhaustive and in several cases will have to be used in complementarity with other results chains, such as those on anti-corruption, democracy, SSR, etc. This results chain and the list of proposed indicators also aim to be an interactive tool, to be adapted and improved over time taking into consideration the feedbacks of its users.

EU key reference documents on EU support to justice reforms and the rule of law:

- 1. "Support for justice and the rule of law", Tools and Methods Series, Reference Document no. 15.
- 2. <u>"Support for justice reform in ACP countries", Tools and Methods Series, Reference Document no. 9</u>
- 3. "Inspiring change EU support to rule of law, justice and security sector reform"

2. Results Chain

Duty bearers

Reforms of the legal and institutional framework

Duty bearers

Capacity building of justice actors at large, transparency and accountability (public as well as private)

Rights holders

Legal empowerment of people and access to justice

OUTPUTS

Specific objectives - OUTCOMES

Medium-Term

--- Overall objectives - IMPACT

Developed or revised penitentiary legal and regulatory framework in line with international best standards (e.g. set up of a specialised body of prison guards, revision of prison conditions, etc.) increased capacities of different justice actors to develop and enforce codes of ethics and professional conduct (e.g. support to diafting of code of ethics and professional conduct, support to judicial inspections etc.) Alternative measures to detention and imprisonment, and diversion and rehabilitation mechanisms developed and promoted (incl. for children in conflict with the law) Promoted provision of adequate resources to justice sector (e.g. through awareness raising of relevant state actors and/or special conditions for budget support disbursement and policy dialogue in budget support Developed legal and regulatory framework on the system of judicial self-administration in line with the principle of the separation of powers independence and impartiality of judges and prosecutors (e.g. merit-based methods of appointment and promotion, security of tenure, financial security, fair disciplinary proceedings, set up of self-governing bodies, etc.) Developed or revised legal framework for civil, criminal and administrative proceedings in line with international best standards (e.g. revision of relevant codes etc.) Established mechanisms/platforms for improving cooperation and coordination between justice actors (e.g. coordination arrangements between prosecution and courts with respect to oganisation of criminal between prosecutions and courts with respect to oganisation of criminal beavings, joint training between investigators and prosecutors, etc.) increased management, administrative and technical capacities of the justice actors, including the Ministry of Justice, Supreme Council of the Judiciary, courts, prosecution services, and lawyers Improved efficiency and effectiveness of the judicial system Improved prison management and detention conditions in line with human rights standards Improved independence and impartiality of the judiciary Improved transparency and accountability of the judicial Right to a fair trial and equality before the law is ensured 16. Peace, Justice and Strong Institutions Main impact Related SDGs and Targets To promote and protect the rule of law and human rights for all



3. List of Sector indicators

Result Indicator(s) **Impact** Country score or ranking in the World Justice Project Rule of Law Index (please select whether you prefer to use the country score or its global ranking in the world) To promote and protect the rule of law and human rights Data source The World Justice Project for all Country score for rule of law according to the Worldwide Governance Indicators (WGI) Project Data source Worldwide Governance Indicators (WGI) ▼ Ibrahim Index of African Governance (IIAG) - Overall Rule of Law score Data source Ibrahim Index of African Governance (IIAG) Data can be explored online here and can be downloaded from here Bertelsmann Transformation Index (BTI) - Rule of law index score Data source **BTI Atlas** The BTI also prepares individual country reports, available here. Result Indicator(s) Average score of expert perception on the protection of the rights of **Medium-Term Outcome** defendants and victims Right to a fair trial and At least two rounds of expert survey as part of the project Data source equality before the law is M&E system ensured Conviction rates for [group X] defendants provided with legal representation (represented as a ratio of conviction rates for defendants with lawyer of their own choice) Data source Ministry of Justice records if available. Otherwise the project will need to conduct specialized studies (surveys) at the beginning and end of implementation Average expert assessment score on whether judges imp ose different punishments for the same type of crime based on a defendant's or victim's personal or ethnic characteristics Data source At least two rounds of expert surveys as part of the project M&E system Result Indicator(s) **Short-Term Outcome** Average score of expert perception on the availability of free legal assistance for indigent defendants Improved access to Data source At least two rounds of expert surveys as part of the Project affordable justice for all, M&E system including to effective and accessible complaint and redress mechanisms at Proportion of victims of violence in the previous 12 months who reported their victimization to competent authorities or other officially recognized conflict national and local level resolution mechanisms (disaggregated by gender, sex, age, marginalised groups)

Data source

SDG monitoring – likely country level only

M&E system

At least two rounds of local surveys as part of the project

Result	Indicator(s)	
		es heard in project-supported [mobile*] courts (disaggregated ivil, criminal or administrative)
	Data source	Project M&E system –database maintained by the project team based on the courts' data
		tences passed in project-supported [mobile*] courts y type of case: civil, criminal or administrative)
	Data source	Project M&E system –database maintained by the project team based on the courts' data
		ple who received public representation free of charge y sex, age, disability, and type of case: civil, criminal or
	Data source	Ministry of Justice reports to be requested by the project team
	Annual public b	udget allocated to justice sector as a percentage of the State
	Data source	Budget data provided by the government, at the beginning and end of intervention
	▼ Annual ratio of	allocated vs. executed budget for the justice sector
	Data source	Budget data provided by the government, at the beginning and end of intervention
	▼ Annual public b	udget allocated to legal aid
	Data source	Budget data provided by the government, at the beginning and end of intervention
	► Annual ratio of	allocated vs. executed budget for legal aid
	Data source	Analysis of budget data provided by the government, at the beginning and end of intervention
	✓ Average score of	of expert perception on the quality of legal representation
	Data source	At least two rounds of expert surveys as part of the Project M&E system
	Average score of	of expert perception on the availability of interpreter
	Data source	At least two rounds of expert surveys apart of the project M&E system
	•	equests for legal assistance and free interpreters being met proceedings) annually
	Data source	Prison statistics (monthly/quarterly/ annually collected by prison records unit and prison case management system
	Average score of justice	of expert perception on access to redress for miscarriage of
	Data source	At least two rounds of expert surveys as part of the project M&E system

▼ Number of reported cases of miscarriage of justice

Data source

Administrative records Project M&E system (survey)

Result	Indicator(s)	
		rvey respondents who report that victims of crime have to pay ial fees often or very often in order to have their complaints
	Data source	At least two rounds of public surveys to be conducted by the project
		ourt fees required to start a proceeding at a court of general ggregated by type of case)
	Data source	Public records from e.g. the National Institute of the Statistics or the Ministry of Justice
	► Number of jud	ges per population (disaggregate by urban / rural area)
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
	▶ Proportion of p	oopulation under x hours of fully functioning court
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
		ses which are investigated, prosecuted and adjudicated by the ons, by type of cases , e.g. criminal case, civil case
	Data source	For criminal cases: prison records; For civil: reports of Ministry of Justice
	Number of cas	ses of human rights abuses, including gender based and sexual t to court
	Data source	Performance data from the LACON Pro Bono Clearing House Database, NPS CMS and other records
Result	Indicator(s)	
Short-Term Outcome	√% of the popu good or very good	lation who perceive the overall quality of justice dispensed as d
Improved transparency and accountability of the judicial system	Data source	At least two rounds of public surveys as part of the project M&E system
juulciat system		ity standards body for judicial officials (including judges, a complaints body for dealing with judicial officials
	Data source	Project M&E system: government/ parliamentary decision on the establishment of the new body
		ho partly or fully agree agree that judges and prosecutors are tful of the rights of defendants and victims (disaggregated by
	Data source	At least two rounds of public surveys as part of the project M&E system
		ho partly or fully agree that courts treat people fairly eir income, race, national or social origin, gender or religion by sex)
	Data source	At least two rounds of public surveys as part of the project M&E system
		ho partly or fully agree that judges are able to make t direct or indicrect interference by Government or politicians by sex)
	Data source	At least two rounds of public surveys as part of the project M&E system

Result	Indicator(s)	
	exist within prose	t review score on whether internal procedures and mechanisms ecution services to assess and monitor compliance with rformance guidelines
	Data source	At least two rounds of expert review of relevant official documents as part of the project M&E system
	and a system for	t review score on whether courts have performance guidelines monitoring performance that holds judges accountable for ays in proceedings, case backlog, or absenteeism
	Data source	AAt least two rounds of expert review of relevant official documents as part of the project M&E system
		nctions pronounced against judges and public prosecutors y type of sanctions)
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
	Number of san sanctions)	ictions pronounced against lawyers (disaggregated by type of
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
		ersons with judicial functions (e.g. judges and prosecutors) ated for breach of duty, irregularity, abuse (e.g. for corruption)
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
		ormal investigations of person with judicial functions resulting tion or prosecution
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
		for public sector accountability and transparency according to x of African Governance (IIAG)
	Data source	<u>Ibrahim Index of African Governance (IIAG)</u> , Data can be explored online <u>here</u> and can be downloaded from <u>here</u>
Result	Indicator(s)	
Short-Term Outcome Improved efficiency and		assessment score of the courts' access to material resources the law, record proceedings, schedule cases and store and
effectiveness of the judicial system	Data source	Project M&E system: expert surveys conducted at the beginning and end of project implementation
		assessment score of the Prosecution's material resources onies, store and maintain evidence, and keep track of pending g dates
	Data source	Project M&E system: expert surveys conducted at the beginning and end of project implementation
	Number of spe of the project	cialised chambers/courts/departments established with support
	Data source	Project M&E system: expert surveys conducted at the beginning and end of project implementation

Result	Indicator(s)
RASHIT	Indicatorist

Average expert assessment score on the sufficiency of judges' salaries for attracting and retaining qualified judges

Data source At least two rounds of expert surveys as part of the project

M&E system

Average expert assessment score on the sufficiency of entry-level prosecutors' salaries for recruiting and retaining qualified professionals

Data source At least two rounds of expert surveys as part of the project

M&E system

Average expert assessment score on the courts' strategic planning and budgeting capacity

Data source At least two rounds of expert surveys as part of the project

M&E system

Average expert assessment score on the prosecutors' strategic planning and budgeting capacity

Data source At least two rounds of expert surveys as part of the project

M&E system

Average expert assessment score on the effectiveness of the courts' administrative systems

Data source At least two rounds of expert surveys as part of the project

M&E system

Average expert assessment score on the effectiveness of the prosecutors' administrative systems

Data source At least two rounds of expert surveys as part of the project

M&E system

% of court records on pending cases that include at a minimum the date the case was transferred to the court, the charge(s) involved and the date of the next hearing or other action

Data source

Field data gathered by UN (survey of a random sample of court records on pending cases – conducted at a minimum in the inception and final phase of the project)

▼% of prosecution records that are apparently complete in the following categories: (a) cases accepted for prosecution, (b) cases dismissed, and (c) charges for each case

Data source

Field data gathered by United Nations field personnel (survey of a sample of prosecution records – conducted at a minimum in the inception and final phase of the project)

Number of pending cases in courts – first instance per 100 inhabitants (disaggregated by type of case: civil, commercial, administrative and other)

Data source Judiciary statistics in State of the Judiciary reports

Average score of expert perception of undue delays in the hearing and conclusion of criminal cases

Data source At least two rounds of experts surveys as part of the project

M&E system

Percentage of citizens who fully or partly agree that the courts complete criminal proceedings without any unnecessary delay

Data source At least two rounds of public surveys as part of the project

M&E system

Result Indicator(s) Clearance rate (disaggregated by different type of cases, e.g. administrative, commercial, civil, etc.) Public sector administrative data to be requested Data source and analysed by the project at least twice during the implementation period Calculated or measured disposition time – number of days required to close a pending case (disaggregated by different type of cases, e.g. administrative, commercial, etc.) Data source Public sector administrative data to be requested and analysed by the project at least twice during the implementation period Total backlog rate (disaggregated by category or type of cases) Data source Public sector administrative data (may require a specialized study to be commissioned by the project – at least twice during implementation in order to enable evaluation of results) Proportion of unresolved cases over X days/years Data source Public sector administrative data (may require a specialized study to be commissioned by the project - at least twice during implementation in order to enable evaluation of results) ▼Time from filing to disposition in cases of small financial value (disaggregated) by fillers' age, sex, race, ethnicity, disability, etc.) Data source Public sector administrative data to be requested and analysed by the project at least twice during the implementation period ▼The percentage of cases (appeals/original proceedings) disposed of within established time guidelines Data source Public sector administrative data to be requested and analysed by the project at least twice during the implementation period Percentage of cases are over-ruled or where the sentence is reduced on appeal Data source Public sector administrative data to be requested and analysed by the project at least twice during the implementation period Average length of time a case takes from registration to judgement (first instance only, disaggregated by category or type of cases, e.g. for criminal/for civil proceedings) Data source Public sector administrative data to be requested and analysed by the project at least twice during the implementation period Prosecution success rates

Annual enforcement / execution rate of decisions (disaggregated by type, e.g. criminal and civil matters)

of Public Prosecutions statistics and reports

Public sector administrative records, e.g. office of the Director

Data source Public sector administrative data to be requested and analysed by the project at least twice during the

implementation period

Data source

Result	Indicator(s)	
	Number of enf	forcement agents in the judicial system
	Data source	Public sector administrative data to be requested and analysed by the project at least twice during the implementation period
	▼ Average length	n of enforcement of decisions (number of days)
	Data source	Public sector administrative data to be requested and analysed by the project at least twice during the implementation period
		ercentage of cases [handled/referred/resolved*] through ite resolution (ADR)
	Data source	Public sector administrative data to be requested and analysed by the project at least twice during the implementation period
	Percentage of	judges who are women [or another relevant group]
	Data source	Public sector administrative data to be requested and analysed by the project at least twice during the implementation period
Result	Indicator(s)	
Short-Term Outcome	Country score for judicial independence according to the Ibrahim Index of African Governance (IIAG)	
Improved independence and impartiality of the judiciary	Data source	Ibrahim Index of African Governance (IIAG) Data can be explored online here and can be downloaded from here
	Country score African Governar	for judicial independence according to the Ibrahim Index of nce (IIAG)
	Data source	<u>Ibrahim Index of African Governance (IIAG)</u> Data can be explored online <u>here</u> and can be downloaded from <u>here</u>
		t assessment score of the courts' means to protect judges rassment, assault, assassination or intimidation
	Data source	At least two rounds of expert surveys as part of the project M&E system
	Proportion of e	experts who agree that the government does not overturn
	Data source	At least two rounds of expert surveys as part of the project M&E system
	▼ Share of budg	et allocated to the justice sector on the implemented budget
	Data source	Government budget and report on execution
	▽ % of members	s of the Judiciary Council who are elected by their peers
	Data source	Baseline and endline assessments to be conducted by the Action
	▽ % of judges w	ho have permanent tenure
	Data source	Baseline and endline assessments to be conducted by the Action

Result	Indicator(s)	
	Length of a pr	obationary period for judges
	Data source	Baseline and endline assessments to be conducted by the Action
	Number of tra	nsfers/dismissals of judges without their consent
	Data source	Baseline and endline assessments to be conducted by the Action
	Existence of le without their cor	egal safegurards preventing the transfer/dismissal of judges nsent
	Data source	Baseline and endline assessments to be conducted by the Action
	Percentage of budget	the Ministry of Justice budget compared to overall public
	Data source	Government budget
	▼ General gover	nment total expenditure on law courts (per inhabitant)
	Data source	Government budget, population data from the National Statistics Institute
	▼ General goverr	nment expenditure on law courts as a % of GDP
	Data source	Government budget and report on GDP
	Average exper receiving their sa	t assessment score on whether judges experience delays in alaries
	Data source	At least two rounds of expert surveys as part of the project M&E system
	Average exper in receiving their	t assessment score on whether prosecutors experience delays
	Data source	AAt least two rounds of expert surveys as part of the project M&E system
		t assessment score on whether publicly funded defence nce delays in receiving their professional fees or salaries
	Data source	At least two rounds of expert surveys as part of the project M&E system
Result	Indicator(s)	
Short-Term Outcome	▼ Unsentenced of	detainees as a proportion of overall prison population
Improved prison	Data source	SDG database
management and detention conditions in line with human rights standards		ported cases of arbitrary detention (e.g., as reported to the in Arbitrary Detention) in the reporting period
	Data source	Project M&E system: baseline and endline studies to be conducted by the project
	Number of cas	ses of use of bail and alternatives to imprisonment
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation

Result	Indicator(s)

Proportion of bail applications accepted by the court in the reporting period

Data source

Public sector administrative data to be requested by the project at least at the beginning and end of implementation

Percentage of all detainees who have been held in detention for more than 12 months while awaiting sentencing or a final disposition of their case (excluding appeals)

Data source

Public sector administrative data to be requested by the project at least at the beginning and end of implementation

Number of children in pre-sentence detention per 100,000 child population

Data source

Public sector administrative data to be requested by the project at least at the beginning and end of implementation

Average expert score on whether detention of children is used only as a last resort

Data source

At least two rounds of public surveys as part of the project

M&E system

Percentage of sentenced children receiving a custodial sentence in reporting period

Data source

Public sector administrative data to be requested by the project at least at the beginning and end of implementation

Average duration of pre-trial detention by type of case

Data source

Public sector administrative data to be requested by the project at least at the beginning and end of implementation

✓ Proportion of cases where pre-trial detention exceeded the legally stipulated time limit by type of case

Data source Administrative record

Percentage of all detainees who have been held in detention for more than 12 months while awaiting sentencing or a final disposition of their case (disaggregated by sex)

Data source

Public sector administrative data to be requested and analysed by the project at least twice during the implementation period

Number of children in pre-sentence detention per 100,000 child population

Data source

Public sector administrative data to be requested and analysed by the project at least twice during the implementation period

Extent to which the prison system adheres to the <u>UNS Standard Minimum</u> <u>Rules for the Treatment of Prisoners</u> (also known as Nelson Mandela Rules), with regards to different aspects of prison conditions (such as prisoner's nutrition, clean water and sanitation, separate detention of male and female prisoners as well as children, family visits, quality of health care services etc.)

Data source

Expert surveys for specific aspects of prison conditions – to be commissioned by the project

Percentage of pre-trial detainees who are held completely separated from convicted prisoners (disaggregated by sex)

Data source

Public sector administrative data to be requested by the project at least at the beginning and end of implementation

Result	Indicator(s)	
	Number of child by sex)	Iren in detention per 100,000 child population (disaggregated
	Data source	Public sector administrative data to be requested and analysed by the project at least twice during the implementation period
	Percentage of c (disaggregated by	hildren in detention not wholly separated from adults sex)
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
		emented recommendations of the National Preventive I Convention on Torture)
	Data source	Government and civil society shadow reports (external analysis may need to be commissioned by the project)
		ulation with access to vocational education and training / ggregated by sex)
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
		perception score on whether prisons provide food of sufficient or the prisoners to remain healthy and strong
	Data source	At least two rounds of expert surveys as part of the project M&E system
	Average expert installations	score on the quality of the prisons' clean water and sanitation
	Data source	At least two rounds of expert surveys as part of the project M&E system
	Percentage of female prisoners	emale prisoners who are held completely separately from
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
	Percentage of female prisoners	emale prisoners who are held completely separately from
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
		assessment score on whether families are allowed to visit elatives without any kind of official or unofficial fee
	Data source	At least two rounds of expert surveys as part of the project M&E system
		assessment score on whether the professional health care e to prisoners is adequate
	Data source	At least two rounds of expert surveys as part of the project M&E system
		risoners who have been examined by a qualified medical e time of their admission to prison (disaggregated by sex)
	Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
	Number of priso	oners per prison medical personnel

Indicator(s)	
Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
Number of non-	violent deaths per 1,000 prisoners within the last 12 months
Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
	assessment score on the adequacy of existing mechanisms aints registered by prisoners about their treatment in prison
Data source	At least two rounds of expert surveys as part of the project M&E system
_	urvey respondents who fully or partly agree that agree ainst certain groups of prisoners is a problem in the country's pated by sex)
Data source	At least two rounds of public surveys as part of the project M&E system
Percentage of c	hildren in detention not wholly separated from adults
Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
	assessment score on whether and to what extent prisoners of ominations are permitted to freely practise their religion
Data source	At least two rounds of expert surveys as part of the project M&E system
Average expert problem in the co	assessment score on whether overcrowding is a serious untry's prisons
Data source	At least two rounds of expert surveys as part of the project M&E system
Average expert detain children	assessment score on the adequacy of facilities used to
Data source	At least two rounds of expert surveys as part of the project M&E system
Average expert detain women and	assessment score on the adequacy of the facilities used to
Data source	At least two rounds of expert surveys as part of the project M&E system
	assessment score on the adequacy of resources for tes to court hearings
Data source	At least two rounds of expert surveys as part of the project M&E system
Number of priso	oners per prison officer
Data source	Public sector administrative data to be requested by the project at least at the beginning and end of implementation
	assessment score on the sufficiency of entry-level salaries of recruiting and retaining qualified professionals
Data source	At least two rounds of expert surveys as part of the project

M&E system

Result

Result	Indicator(s)	
		assessment score on the adequacy of training and skills of responding to various prison situations without excessive use
	Data source	At least two rounds of expert surveys as part of the project M&E system
	Average expert human rights train	assessment score on the adequacy of the prison staff's ning
	Data source	At least two rounds of expert surveys as part of the project M&E system
		assessment score on the adequacy of the prison service's pacity for properly training new recruits
	Data source	At least two rounds of expert surveys as part of the project M&E system
	process for ensuri	assessment score on the adequacy of the existing vetting ng that individuals who committed gross human rights abuses crimes are identified and prevented from serving as prison
	Data source	At least two rounds of expert surveys as part of the project M&E system
		assessment score on the adequacy of the efficiency of the gular prison inspections and for following up on the issues such inspections
	Data source	At least two rounds of expert surveys as part of the project M&E system
		assessment score on the extent to which prisons are liance with human rights standards
	Data source	At least two rounds of expert surveys as part of the project M&E system
	Average expert in receiving their	assessment score on whether prison staff experience delays salary
	Data source	At least two rounds of expert surveys as part of the project M&E system
	Average expert and efficient budg	assessment score on the prison service's strategic planning leting capacity
	Data source	At least two rounds of expert surveys as part of the project M&E system. Document review (prison strategies and budget plans)
	administrative sys	assessment score on the effectiveness of the prison service's stems to support key management functions such as the inances, assets, procurement and human resources

Data source

Average expert assessment score on the strength of the prison service's record keeping and information management capacity

M&E system

Data source At least two rounds of expert surveys as part of the project M&E system

At least two rounds of expert surveys as part of the project

Result Indicator(s) Average expert assessment score on the prison leaders' ability and determination to improve the capacity, integrity and performance of the prison Data source At least two rounds of expert surveys as part of the project M&E system Result Indicator(s) Output Number of people directly benefitting from legal aid programmes supported by the EU (disaggregated by sex, age and disability/social group, and type of case: criminal, civil or administrative) Legal aid schemes (in the broad sense, i.e. including Data source Project M&E system: database of direct beneficiaries (disaggregated by sex, age and disability/social group) legal representation) for people without financial means developed and Number of people who were able to access justice thanks to EU support (disaggregated by sex, gender, age, disability, as well as type of support operational. provided) Data source Project M&E system - database of beneficiaries to be established by the project (disaggregated by sex, age, disability, as well as type of support) Number of LAS Advocates and legal aid providers trained who can demonstrate increased knowledge in the relevant areas (disaggregated by sex, training topic, duration and location) Data source Project M&E system: (disaggregated by sex, training topic, duration and location) Number of people reached through public campaigns on legal aid [who can demonstrate increased knowledge of the relevant legal aid topics] Data source Project M&E system: for measuring campaign reach: listenership of radio/TV programme and number of event participants For assessing level of knowledge before and after the campaign: two specialized surveys of target communities Number of cases referred to/processed through alternative dispute resolution provided by the project Data source Project M&E system – database of referrals disaggregated by sex, location, case topic and outcome of alternative dispute resolution Result Indicator(s) Output Number of CSO representatives trained by the project who can demonstrate increased knowledge in the relevant areas (disaggregated by sex, training topic, duration and location) Strengthened capacity of individuals and CSOs Data source Project M&E system: to scrutinise institutions' a. - database of training participants (disaggregated by sex, CSO, training topic, duration and location) performance and support b. database with results of pre- and post- training tests individuals in claiming and defending their rights (e.g. Number of CSO coalitions established/strengthened by the project through awareness-raising and advocacy campaigns, Data source Project M&E system - database of CSO coalitions legal advice, monitoring of trials, etc.). Number of CSOs supported by the project in organizing an advocacy event or

publishing advocacy material on the justice sector's independence, impartiality or accountability (including budget tracking and other monitoring activities)

Result	Indicator(s)		
nesale .	Data source	Project M&E system – database of event participants (disaggregated by sex and location), reports or links to online advocacy material produced by CSOs with project support	
	Number of pe	ople reached through CSO awareness campaigns to inform the ghts	
	Data source	Project M&E system: a. listenership statistics to be obtained by the project team for radio/TV programmes they broadcast, b. database of event participants (disaggregated by type, date and location of event, date, as well as sex of participant)	
Result	Indicator(s)		
Output		atus of official internet sites/portals/leaflets developed by the information about the justice system/free legal aid	
Promoted access to legal information for the public	Data source	Project M&E system	
(e.g. about the justice system in general, court fees, how to		Number of individual website visitors on portals developed by the action to provide information about the justice system/free legal aid	
initiate a claim, etc., through bulletin boards, leaflets,	Data source	Project M&E system	
websites and media)	Number of downloads of leaflets developed by the action to provide information about the justice system/free legal aid		
	Data source	Project M&E system	
Result	Indicator(s)		
Result Output	✓ Number of po	licies/ regulations/ legislations on the demarcation of courts nded/adapted/ implemented *] supported by the project	
Output Improved capacities of	✓ Number of po	-	
Output Improved capacities of justice actors to meet the justice needs of the population (e.g. support to	Number of po [developed/ame Data source Number of co	nded/adapted/ implemented *] supported by the project	
Output Improved capacities of justice actors to meet the justice needs of the population (e.g. support to the revision/optimisation of the judicial map/	Number of po [developed/ame Data source Number of co	nded/adapted/ implemented *] supported by the project Policies/regulations/legislation before and after changes urts deployed and/or supported in under-served (or poor)	
Output Improved capacities of justice actors to meet the justice needs of the population (e.g. support to the revision/optimisation of the judicial map/ geographical coverage/ of courts, funding of mobile courts, set up of front desks	Number of po [developed/ame Data source Number of coregions by this pota source Number of pe	nded/adapted/ implemented *] supported by the project Policies/regulations/legislation before and after changes urts deployed and/or supported in under-served (or poor) project (disaggregated by type of court and location) Project M&E system – list of courts disaggregated by	
Output Improved capacities of justice actors to meet the justice needs of the population (e.g. support to the revision/optimisation of the judicial map/ geographical coverage/ of courts, funding of mobile	Number of po [developed/ame Data source Number of coregions by this pota source Number of pe	Policies/regulations/legislation before and after changes urts deployed and/or supported in under-served (or poor) project (disaggregated by type of court and location) Project M&E system – list of courts disaggregated by location and type of court ople provided with access to court as result of project-supported	
Output Improved capacities of justice actors to meet the justice needs of the population (e.g. support to the revision/optimisation of the judicial map/ geographical coverage/ of courts, funding of mobile courts, set up of front desks	Number of po [developed/ame Data source Number of coregions by this pata source Number of pe [mobile*] courts	Policies/regulations/legislation before and after changes urts deployed and/or supported in under-served (or poor) project (disaggregated by type of court and location) Project M&E system – list of courts disaggregated by location and type of court ople provided with access to court as result of project-supported (disaggregated by sex, age, disability, location and type of case) Project M&E system –database of beneficiaries (disaggregated by sex, age, disability, location and type of	
Output Improved capacities of justice actors to meet the justice needs of the population (e.g. support to the revision/optimisation of the judicial map/ geographical coverage/ of courts, funding of mobile courts, set up of front desks in courts etc.)	Number of po [developed/ame Data source Number of coregions by this pata source Number of pe [mobile*] courts Data source Indicator(s)	Policies/regulations/legislation before and after changes urts deployed and/or supported in under-served (or poor) project (disaggregated by type of court and location) Project M&E system – list of courts disaggregated by location and type of court ople provided with access to court as result of project-supported (disaggregated by sex, age, disability, location and type of case) Project M&E system –database of beneficiaries (disaggregated by sex, age, disability, location and type of case) project M&E system –database of beneficiaries (disaggregated by sex, age, disability, location and type of case) gal professionals with access to legal information thanks to the	
Output Improved capacities of justice actors to meet the justice needs of the population (e.g. support to the revision/optimisation of the judicial map/ geographical coverage/ of courts, funding of mobile courts, set up of front desks in courts etc.)	Number of po [developed/ame Data source Number of coregions by this pata source Number of pe [mobile*] courts Data source Indicator(s)	Policies/regulations/legislation before and after changes urts deployed and/or supported in under-served (or poor) project (disaggregated by type of court and location) Project M&E system – list of courts disaggregated by location and type of court ople provided with access to court as result of project-supported (disaggregated by sex, age, disability, location and type of case) Project M&E system –database of beneficiaries (disaggregated by sex, age, disability, location and type of case) project M&E system –database of beneficiaries (disaggregated by sex, age, disability, location and type of case) gal professionals with access to legal information thanks to the	
Output Improved capacities of justice actors to meet the justice needs of the population (e.g. support to the revision/optimisation of the judicial map/ geographical coverage/ of courts, funding of mobile courts, set up of front desks in courts etc.) Result Output Promoted access to legal	Number of po [developed/ame Data source Number of coregions by this posta source Number of pe [mobile*] courts Data source Indicator(s) Number of leg project (disaggree Data source Number of leg	Policies/regulations/legislation before and after changes urts deployed and/or supported in under-served (or poor) project (disaggregated by type of court and location) Project M&E system – list of courts disaggregated by location and type of court ople provided with access to court as result of project-supported (disaggregated by sex, age, disability, location and type of case) Project M&E system –database of beneficiaries (disaggregated by sex, age, disability, location and type of case) agal professionals with access to legal information thanks to the egated by sex)	

Result



Increased capacities of different justice actors to develop and enforce codes of ethics and professional conduct (e.g. support to drafting of code of ethics and professional conduct, support to judicial inspections etc.)

Indicator(s)

Number of professionals from the justice sector (judges, prosecutors, lawyers etc.) who have received deontological training and can demonstrate increased knowledge in the relevant areas (disaggregated by type and sex of official, specific training topic, duration and location)

Data source Project M&E system:

a. database of training participants (disaggregated by type and sex of official, training topic, duration and location)
 b. database with results of pre- and post- training tests

Status of internal procedures within prosecution services to assess and monitor compliance with departmental performance guidelines

Data source Procedures document that the project helped develop and

analysis of key elements in progress reports

✓ Status of performance guidelines for monitoring performance of courts that hold judges accountable for unnecessary delays in proceedings, case backlog, or absenteeism

Data source Guidelines document that the project helped develop and

analysis of key elements in progress reports

Result



Increased capacities of different justice actors to develop and enforce codes of ethics and professional conduct (e.g. support to drafting of code of ethics and professional conduct, support to judicial inspections etc.)

Indicator(s)

Mount of material resources (in EUR or specific items) delivered through the project to the courts to consult the law, record proceedings, schedule cases, and store and maintain records (disaggregated by type of resource, beneficiary institution, location, date)

Data source

Project M&E system – list of resources provided by the project, disaggregated by type of resource, beneficiary institution, location and date

Number of judges/courts protected with EU support from threats, harassment, assault, assassination or intimidation

Data source

Project M&E system – list of means provided by the project (disaggregated by type, location, date/duration)

► Number of prosecutors' offices equipped by the project to record testimonies, store and maintain evidence, and keep track of pending cases and hearing dates

Data source

Project M&E system — list of resources provided by the project, disaggregated by type of resource, beneficiary institution, location and date

Number of registry clerks and administrative staff trained by the project who can demonstrate increased knowledge in relevant topics (disaggregated by sex, training topic, duration and location)

Data source

Project M&E system:

a. database of training participants (disaggregated by sex,

training topic, duration and location)

b. database with results of pre- and post- training tests

Number of judiciary staff (prosecutors, judges, court clerks), prison officers, law enforcement officers and other legal officials trained by the project in the penal system and civil justice who can demonstrate increased knowledge in the relevant areas (disaggregated by sex, training topic, duration and location)

Data source

Project M&E system:

a. database of training participants (disaggregated by sex,

training topic, duration and location)

b. database with results of pre- and post- training tests

Result Indicator(s) Number of guidelines, policy notes and regulations for improved court administration and case / file management developed / implemented with support of the project Project M&E system – text of guidelines, policy notes or Data source regulations; reports on implementation Number of staff from prosecution and investigation services trained with support of the project in various specialisations of interest (e.g. gender-based violence, economic crimes, etc.) who can demonstrate increased knowledge in the relevant areas (disaggregated by type and sex of participants, duration, topic and location of training) Data source Project M&E system: a. database of training participants (disaggregated by type and sex of official, training topic, duration and location) b. database with results of pre- and post- training tests Result Indicator(s) **Output** Number of mechanisms e.g. Memorandum of Understanding, periodical coordination meetings, new policies / regulations on specialised courts, to improve [cooperation / coordination / efficiency*] [developed / adopted / **Established mechanisms/** implemented*] platforms for improving cooperation and coordination Data source Project M&E system: text of MoU/policy the project supported, or minutes of coordination meetings the project between justice actors (e.g. organized, including the list of participants coordination arrangements between prosecution and Status of process or structured dialogue between lawyers and courts as

Result



Developed or revised legal framework for civil, criminal and administrative proceedings in line with international best standards (e.g. revision of relevant codes etc.)

courts with respect to

prosecutors, etc.)

organisation of criminal hearings, joint training

between investigators and

Indicator(s)

Data source

Number of supported policies / legislation to improve efficiency within the justice sector [developed/ revised/ implemented*] (report separately for policies/ legislations specific related to juveniles)

Official reports on the structured dialogue process

Data source Expert assessment as part of the Project M&E system, text

of draft law/amendment

regards the way cases are presented before courts

Project M&E system

Status of special jurisdictions and detention system for juvenile crime in line with international standards

Data source Public records, project M&E system - specifically an

assessment to be conducted as a minimum at the beginning

and end of the project

Result Output

Developed legal and regulatory frameworks related to individual independence and impartiality of judges and prosecutors (e.g. merit-based methods of appointment and promotion, security of tenure, financial security, fair disciplinary proceedings, set up of self-governing bodies, etc.)

Indicator(s)

Status of law/regulation supported by the project to provide a guaranteed tenure for judges (or prosecutors, please specify) who are appointed for fixed

Data source Document review: new law/regulation and analysis of key

elements by the project

Status of regulation/law supported by the project for the merit-based selection/appointment of judicial officers

Data source Text of the law/regulation and analysis of key elements by

the project

Status of the regulation/law supported by the project on the protection of judges (or prosecutors, please specify) from arbitrary removal or punishment

Text of the law/regulation and analysis of key elements by Data source

the project

▼Status of regulations on safeguards regarding the transfer/dismissal of judges (or prosecutors, please specify) without their consent (irremovability)

Data source Text of the law/regulation and analysis of key elements by

the project

Number of staff trained to supervise a merit-based recruitment exam for judicial officials (disaggregated by sex and category: administrative or judicial staff)

Data source Database of training participants

Status of legislation on the composition of the Council for the Judiciary according to the nomination process

Data source Project progress reports

Status on legislation on the powers of the Councils for the Judiciary

Data source Project progress reports

Result



Developed legal and regulatory framework on the system of judicial self-administration in line with the principle of the separation of powers

Indicator(s)

Number of laws/regulations supported by the project operationalising the system of judicial self-administration in line with the principle of the separation of powers

Data source Project progress reports

Number of government/judicial/ parliamentary staff trained on the importance of separation of powers and good practices in this field is increased (disaggregated by sex and branch: executive, parliamentary, judicial)

Data source Database of training participants (disaggregated by sex,

branch, location and duration of training)

Number of government/judicial/ parliamentary staff whose awareness of the importance of separation of powers and good practices in this field is increased (disaggregated by sex and branch: executive, parliamentary, judicial)

Database of pre- and post-training test results Data source

Result Indicator(s) Output Number of justice sector actors representatives trained by the action on resource management (or another topic, please specify), disaggregated by sex, institution, training topic, location, duration Promoted provision of adequate resources to justice Database of training participants (disaggregated by sex, Data source institution, training topic, duration and location) sector Result Indicator(s) Output Number of probation officers trained by the project who can demonstrate increased knowledge in the relevant areas (disaggregated by sex, training topic, location and duration) **Developed or revised** penitentiary legal and Data source Project M&E system: regulatory framework in a. database of training participants (disaggregated by sex, training topic, duration and location) line with international best b. database with results of pre- and post- training tests standards (e.g. set up of a specialised body of prison ▼Status of the [development/ revision/ implementation*](of X]) quards, revision of prison conditions, etc.) Data source Project M&E system: progress reports Number of independent inspections visit of prisons carried out with project support Data source Project M&E system: reports on inspections the project supported Number of convicts benefitting from work reintegration programmes through EU support (disaggregated by sex) Data source Project database of beneficiaries, disaggregated by sex Number of detention facilities supported by the project to achieve the UN Standard Minimum Rules for the Treatment of Prisoner Data source Project's technical reports on new infrastructure, expert needs assessment reports Number of detention facility staff trained on the UN Standard Minimum Rules for the Treatment of Prisoner Data source Training participants database (disaggregated by sex, training topic, duration and location), database of pre- and post- training scores, etc. Status of computerised management system used by detention institutions for transfer management Data source Project M&E system - progress reports Number of detention facilities supported by the project to provide prisoner with clean water and sanitation installations Data source Project's technical reports on new water and sanitation installation and water quality Number of water points and sanitation facilities constructed/upgraded in supported detention facilities Data source Project progress reports Number of detention facilities constructed or renovated by the project

(disaggregated by type of facility and location)

Result Indicator(s) Project M&E system: technical reports on the construction/ Data source renovation process, list disaggregated by type of facility and location, also specifying the estimated number of detainees and staff who will use the newly constructed or renovated facilities Number of juveniles in adult prisons supported by the project Data source Project M&E system: database of beneficiaries who received services with project support (disaggregated by sex and age of beneficiary, as well as the type and location of detention facility) Number of juvenile detention centres and rehabilitation/recuperation centers for children in conflict with the law constructed/renovated by the project Data source Project's technical reports on the construction/renovation of detention centres Result Indicator(s) Output Number of judges trained by the project to impose penalties alternative to incarceration who can demonstrate increased knowledge/use of alternative sentencing (disaggregated by sex of participants, duration, topic and location of Alternative measures to the training) detention and imprisonment, and diversion and Data source Project M&E system: a. database of training participants (disaggregated by type rehabilitation mechanisms and sex of official, training topic, duration and location) developed and promoted b. database with results of pre- and post- training tests (incl. for children in conflict with the law) Number of detainees provided with VET, psycho-social support, access to healthcare (or please specify the type of support the project will provide) disaggregated by sex, type of detention facility, location and age Data source Project M&E system: database of beneficiaries who received services with project support (disaggregated by sex and age

facility)

of beneficiary, as well as the type and location of detention

