

Results and Indicators for Development

Green

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Unit D4 - Performance, Results and Evaluation; Internal Communication, Knowledge Management and Collaborative Methods

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General Introduction

This **guidance for action design** has been developed by INTPA Unit D4 "Performance, Results and Evaluation; Internal Communication, Knowledge Management and Collaborative Methods" jointly with INTPA Thematic Units.

It is **addressed** to all colleagues involved in the preparation of action documents and project documents and offers a handy tool to develop solid logical framework matrices. It identifies clear and measurable results statements that are in line with INTPA policy priorities, as well as with the UN Sustainable Development Goals (SDGs), along with a range of good indicators to monitor progress. It will be updated regularly to reflect evolving priorities.

Its **main objective** is to enhance the quality of INTPA interventions – both in terms of design as well as of monitoring and reporting in the course of implementation.

The **need for this type of guidance was** identified in the framework of the results-reporting process led by INTPA D4, as well as through its systematic review of all action documents presented to Quality Review Group meetings.

The present guidance covers INTPA strategies in various sectors, and presents for each sector:



1. EU policy priorities: a short narrative explaining EU policy priorities and commitments as articulated in key policy and strategic documents.



2. Results Chain: a diagram showing the main results (impact, outcomes, outputs) that EU development interventions are expected to achieve in the sector, reflecting EU policy priorities and commitments.



3. List of Sector Indicators: examples of measurable indicators associated to each result statement are provided, that may be used in Logframe Matrices at project / programme level.



You can access the online Sector Indicator Guidance at https://europa.eu/capacity4dev/results-and-indicators. For further information and/or to provide feedback please contact INTPA Unit D4 at INTPA-FLI-RESULTS@ec.europa.eu/

1. EU Policy Priorities



The 2017 European Consensus on Development calls on the EU and its Member States "to promote resource efficiency and sustainable consumption and production, including the sustainable management of chemicals and waste, with a view to decoupling economic growth from environmental degradation and enabling the transition to a circular economy". This commitment is meant to contribute to both the Planet and the Prosperity priorities of the European Consensus, reflecting a paradigm shift which better acknowledges the economic opportunities that "green" policies, markets and business practices can deliver, notably in terms of sustainable growth and job creation.







This vision is well reflected in the <u>European Green Deal</u> and related thematic policy strategies and action plans, which highlight the EU ambition to lead a just transition to a climate-neutral, resource-efficient and circular economy. The EU Green deal acknowledges the need for a global response to the climate change and environmental degradation challenges. It commits the EU in the promotion and implementation of environment, climate and energy policies across the world, including through setting a credible example and following up with a strong 'green deal diplomacy', focused on convincing and supporting others to take on their share of promoting more sustainable development. It also recognises the importance of appropriate policies to facilitate trade and investment in green goods and services, promote climate-friendly public procurement, and "ensure undistorted, fair trade and investment in raw materials that the EU economy needs for the green transition".

The green economy ambition is reiterated in the NDICI Regulation, which calls for more action "to address the scale of other environmental challenges such as biodiversity loss and depletion of natural resources". It has been consistently promoted through relevant policies and instruments, including: The Communication "A stronger role of the Private Sector in Achieving Inclusive and Sustainable Growth in Developing Countries" which indicated that the EU will "promote eco-entrepreneurship and green job creation through the SWITCH to Green initiative"; The Communication "Achieving Prosperity through Trade and Investment", which states that "environmental sustainability will also be at the heart of aid for trade" and notes that "climate finance and the green and circular economy offer developing countries leapfrogging opportunities in trade, growth and employment"; The External Investment Plan, which foresees that, "In order to fulfil the political commitments of the Union on climate action, renewable energy and resource efficiency, a minimum share of 28% of the financing under the EFSD Guarantee should be devoted to investments relevant for those sectors".

EU action on the inclusive green economy

In line with this policy framework and with lessons from relevant EU's cooperation experience in developing countries, three complementary areas of intervention characterise EU action on the inclusive green economy:

- Enabling frameworks, to ensure coherence between environmental and economic policies, and provide support to public institutions while promoting private sector and civil society participation in policy development;
- Green business development, with a focus on the adoption of sustainable consumption and production practices by MSMEs;
- Access to finance and the greening of investments, to improve capacities and practices of both businesses and finance institutions and to finance the green economy transition.

Policy and Strategic Documents

- Regulation (EU) 2021/947 establishing the Neighbourhood, Development and International Cooperation Instrument (NDICI) (2021)
- GAP III objectives and indicators on "Addressing the challenges and harnessing the opportunities offered by the green transition" (2020)
- EC Communication "The European Green Deal" (2019)
- New European Consensus on Development (2017)
- Regulation (EU) 2017/1601 of the European Parliament and of the Council establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (2017)
- EC Communication "Achieving Prosperity through Trade and Investment" (2017)
- EC Communication "A Stronger Role of the Private Sector in Achieving Inclusive and Sustainable Growth in Developing Countries" (2014).



develop bankable projects

Improved capacities of green businesses to

2. Results Chain

Access to finance / **Greening of investments**

services for Micro, Small Medium Enterprises

(MSMEs)

Increased availability of products and financial

to assess green projects

Improved capacities of financial institutions

Green business development

sustainable production and consumption patterns

Enabling frameworks

OUTPUTS

Economy (IGE) issues business and environmental policies Improved institutional coordination on economic,

makers and stakeholders on Inclusive Green Improved awareness and capacities of policy

economy policy development Improved stakeholder participation in green

Services (BDS) to promote Sustainable Consumption and Production (SCP) practices by Improved capacities of Business Development **MSMEs**

Increased networking among green businesses

Improved capacities of workers in green sectors

of the products they buy Improved awareness of consumers on the impact

Medium Enterprises (MSMEs)

Improved access to finance for green Micro, Small

Medium Enterprises (MSMEs) supported Improved business performance of Micro, Small

Human well being

Uptake of Sustainable Consumption and Production (SCP) practices by Micro, Small

environmenta growth from of economic Decoupling

degradation

environment, finance and investments,

policies across relevant areas such as the business coherence between economic and environment Enabling frameworks for Inclusive Green Economy

Specific objectives - OUTCOMES · · ·

Overall objectives - IMPACT

(IGE) in place in target countries (i.e. ensuring

Medium Enterprises (MSMEs) supported

goods and services Increased market demand for environmental

Increased investments in green sectors



Main impact

Related SDGs and Targets

Decent work and economic growth (8.4)

ب

Industry, innovation and infrastructure (9.4)

Sustainable consumption and production (all targets)

12.

3. List of Sector Indicators

Result

Indicators



Decoupling of economic growth from environmental degradation

SDG 8.4.1. and 12.2.1 Material Footprint (MF) – In tonnes

data source UN Environment Live platform, https://wesr.unep.org/, under "SDGs and statistics" Global SDG Indicators Database, https://unstats.un.org/sdgs/indicators/database/

▼ SDG 8.4.1. and 12.2.1 Material Footprint (MF) – In tonnes per capita

data source UN Environment Live platform, https://wesr.unep.org/, under "SDGs and statistics" Global SDG Indicators Database, https://unstats.un.org/sdgs/indicators/database/

SDG 8.4.1. and 12.2.1 Material Footprint (MF) - Per unit of GDP

data source UN Environment Live platform, https://wesr.unep.org/, under "SDGs and statistics" Global SDG Indicators Database, https://unstats.un.org/sdgs/indicators/database/

Water productivity (\$/m³)

data source World Development Indicators – Freshwater, http://wdi.worldbank.org/table/3.5

For national data on water use:

http://www.fao.org/nr/water/aquastat/data/query/index.html?lan

For national data on GDP:

https://data.worldbank.org/indicator/NY.GDP.PCAP.KD

SDG 9.4.1 – CO₂ emission per unit of value added (kg/\$)

data source Global SDG Indicators Database, https://unstats.un.org/sdgs/indicators/database/

▼ SDG 9.4.1 – CO₂ emission per unit of value added (MVA)

data source Global SDG Indicators Database, https://unstats.un.org/sdgs/indicators/database/

Amount of waste generated and treated per capita per year, disaggregated by type of waste and treatment type

data source Baseline and endline surveys conducted and budgeted by the EU-funded intervention

Amount of waste generated and treated per Micro, Small and Medium Entreprise (MSME) per year, disaggregated by type of waste, treatment type and International Standard Industrial

Classification (ISIC) sector

data source Baseline and endline surveys conducted and budgeted by the EU-funded intervention

Result

Indicators



Human well-being

Rate of employment in sectors targeted by the EU-funded intervention, disaggregated by sex, age group, disability status and economic sector

data source ILO Stat, https://ilostat.ilo.org/topics/employment/

For national data – national statistics

Mean nominal monthly earnings of workers in Micro, Small and Medium Entreprises (MSMEs)

supported by the EU-funded intervention, disaggregated by sex, age group, economic

activity

data source Baseline and endline studies conducted and budgeted by the EU-funded intervention

Net additional income of workers in Micro, Small and Medium Entreprises (MSMEs) supported by the EU-funded intervention per year, disaggregated by sex, age group

data source Baseline and endline studies conducted and budgeted by the EU-funded intervention

The highlighted indicators have been selected by thematic units in DG INTPA to be encoded in OPSYS. Indicators from the <u>EU Results</u> <u>Framework (EURF). Sustainable Development Goals (SDGs)</u> and the EU <u>Gender Action Plan (GAP III)</u> are included, among others. These indicators focus on the most important axes under each thematic area. They are recommended to be used by Operational Managers and Implementing Partners when designing logframes.

Result

Indicators

ENABLING FRAMEWORKS



Enabling frameworks for Inclusive Green Economy (IGE) in place in target countries

(i.e. ensuring coherence between economic and environmental policies across relevant areas such as the business environment, finance and investments, employment)

Number of Inclusive Green Economy (IGE) policy instruments adopted and/or implemented, disaggregated by category of document

data source Baseline and endline surveys conducted and budgeted by the EU-funded intervention

Percentage of Green Public Procurement (GPP) compared to the total national public procurement, disaggregated by sector

data source For national data - national statistics

For sector data - baseline and endline surveys conducted and budgeted by the EU-funded intervention.

Result

Output

1. Improved awareness and capacities of policy makers and stakeholders on Inclusive Green Economy (IGE) issues

Indicators

Number of policy makers and stakeholders participating in Inclusive Green Economy (IGE) events organised with support of the EU-funded intervention, disaggregated by sex, sector data source Database of beneficiaries / participants

Number of Inclusive Green Economy (IGE) knowledge products and tools developed with support of the EU-funded intervention

data source Progress reports for the EU-funded intervention

Number of policy makers and other stakeholders trained by the EU-funded intervention with increased knowledge and/or skills on Inclusive Green Economy (IGE) issues, disaggregated by sex and sector

data source Database of training participants; pre- and post-training tests

Result



Number of institutional coordination mechanisms on Inclusive Green Economy (IGE) established with support of the EU-funded intervention data source Progress reports for the EU-funded intervention

2. Improved institutional coordination on economic, business and environmental policies

Number of institutions', organisations' and company representatives engaged in institutional coordination mechanisms on Inclusive Green Economy (IGE) established with support of the EU-funded intervention, disaggregated by sex and sector

data source Progress reports for the EU-funded intervention

Result



Number of dialogue mechanisms on Inclusive Green Economy (IGE) supported by the EU-funded

3. Improved stakeholder participation in green economy policy development data source Progress reports for the EU-funded intervention

Number of persons attending dialogue mechanisms on Inclusive Green Economy (IGE) with support of the EU-funded intervention, disaggregated by sex and sector data source Database of beneficiaries/participants

Number of Inclusive Green Economy (IGE) policy inputs (e.g. position papers) produced by stakeholders with support of the EU-funded intervention

data source Papers produced with support of the EU-funded

Result Indicators

GREEN BUSINESS DEVELOPMENT

Outcome

Uptake of SCP practices by Medium Small Medium Entreprises (MSMEs) supported Number of EU supported Micro, Small and Medium Entreprises (MSMEs) reporting the adoption of Sustainable Consumption and Production (SCP) practices, disaggregated by sex and age group of the owner, entreprise size

data source Baseline and endline studies conducted and budgeted by the EU-funded intervention

Number of Micro, Small and Medium Entreprises (MSMEs) that obtain firm-specific sustainability standards certification, disaggregated by sex and age group of the owner, entreprise size

data source Baseline and endline studies conducted and budgeted by the EU-funded intervention

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Improved business performance of Medium Small Medium Entreprises (MSMEs) supported EURF – Number of (b) green jobs supported/ sustained by the EU data source M&E system of EU-funded interventions

Number of Micro Small Medium Entreprises (MSMEs) reporting increased turnover as a direct result of EU support received, disaggregated by sex and age group of the owner, entreprise size

data source Baseline and endline studies conducted and budgeted by the EU-funded intervention

Amount of savings generated from improved resource (material, energy, water) efficiency data source Baseline and endline studies conducted and budgeted by the EU-funded intervention

Result Indicators



Increased market demand for environmental goods and services

Percentage of sales of certified products of total sales by Micro Small Medium Entreprises (MSMEs), disaggregated by sex and age group of the owner, entreprise size data source Baseline and endline studies conducted and budgeted by the EU-funded intervention

Result Indicators



1. Improved capacities of Business Development Services (BDS) to promote SCP practices by Medium Small Medium Entreprises (MSMEs) ► EURF 2.20. Number of Micro, Small and Medium entreprises applying Sustainable Consumption and Production practices with EU support, disaggregated by sex of the owner, entreprise size

data source EU intervention monitoring and reporting systems: annual and final reports from implementing organisations (e.g. governments, international organisations, non-state actors), ROM reviews, evaluations, etc.

Number of green Business Development Services (BDS) providers supported by the EU-funded intervention

data source Progress reports for the EU-funded intervention

Number of Micro Small Medium Entreprises (MSMEs) assisted by green Business Development Services (BDS) providers with support of the EU-funded intervention, disaggregated by sex and age group of the owner, entreprise size

data source Progress reports for the EU-funded intervention

Number of Sustainable Consumption and Production (SCP) scaling-up mechanisms established with support of the EU-funded intervention, disaggregated by type of mechanism (e.g. technical tools, voluntary agreements, codes of conducts, standards and labels)

data source Progress reports for the EU-funded intervention

Number of sectors / supply chains where Sustainable Consumption and Production (SCP) has been supported by the EU-funded intervention
data source Progress reports for the EU-funded intervention

Result Indicators Output Number of stakeholder representatives attending EU-funded networking events on green economy, disaggregated by sex, sector 2. Increased networking data source Database of beneficiaries / participants among green businesses Result Indicators Output Number of individuals trained by the EU-funded intervention with increased knowledge and/or skills on Sustainable Consumption and Production (SCP) practices, disaggregated by sex, 3. Improved capacities of age group and sector data source Database of training participants; pre- and post-training tests workers in green sectors Number of training schemes / programmes on green economy developed with support of the EUfunded intervention data source Progress reports for the EU-funded intervention Result **Indicators** Output Number of consumers reached through EU-funded awareness raising campaigns on the impact of consumption, disaggregated by sex, sector data source Progress reports for the EU-funded intervention 4. Improved awareness of consumers on the impact of lacktriangler Number of green procurement tools created with support of the EU-funded intervention the products they buy data source Progress reports of the EU-funded intervention Result **Indicators ACCESS TO FINANCE AND GREEN INVESTMENTS** Outcome Number of Micro Small Medium Entreprises (MSMEs) investing in Sustainable Consumption and Production (SCP) that obtain financial services per year, disaggregated by sex and age group of the owner, entreprise size, type of financial service, rural / urban) Improved access to finance data source Baseline and endline studies conducted and budgeted by the EU-funded intervention for green Medium Small **Medium Entreprises (MSMEs)** EURF – Number of beneficiaries with access to financial services with EU support, (a) firms – Number of Micro, Small and Medium Entreprises (MSMEs) only data source M&E system of EU-funded interventions Number of outstanding loans to Micro, Small and Medium Entreprises (MSMEs) investing in Sustainable Consumption and Production (SCP) per year, disaggregated by sex and age group of the owner, entreprise size data source Baseline and endline surveys conducted and budgeted by the EU-funded intervention Amount of outstanding loans to Micro, Small Medium and Entreprises (MSMEs) investing in Sustainable Consumption and Production (SCP) per year, disaggregated by sex and age aroun of the owner entreprise size data source Baseline and endline surveys conducted and budgeted by the EU-funded intervention

Result



Increased investments in green sectors

Amount of green investments in targeted sectors data source Baseline and endline surveys conducted and budgeted by the EU-funded intervention

Result	Indicators
Output 1. Improved capacities of financial institutions to assess green projects	Number of persons from financial institutions trained by the EU-funded intervention with increased knowledge and/or skills on inclusive green economy, disaggregated by sex data source Database of training participants; pre- and post-training tests
	Number of inclusive green economy financial knowledge products and tools developed with support of the EU-funded intervention data source Progress reports for the EU-funded intervention
Result	Indicators
Output 2. Increased availability of	Number of inclusive green financial schemes established with support of the EU-funded intervention data source Progress reports of the EU-funded intervention
green financial products and services for Medium Small Medium Entreprises (MSMEs)	
Result	Indicators
Output 3. Improved capacities of green businesses to develop bankable projects	Number of individuals from Micro, Small and Medium Entreprises (MSMEs) investing in Sustainable Consumption and Production (SCP) trained by the EU-funded intervention with increased knowledge and/or skills on access to finance, disaggregated by sex and sector data source Database of training participants; pre- and post-training tests
ounidate projects	Number of business plans for Sustainable Consumption and Production (SCP) investments elaborated with support of the EU-funded intervention data source Progress reports for the EU-funded intervention

