EN

THIS ACTION IS FUNDED BY THE EUROPEAN UNION

ANNEX I

of the Commission Implementing Decision on the financing of the multiannual action plan of the thematic program Civil Society Organisations for years 2021 - 2024

Action Document for Support for Civil Society at the Global Level

MULTIANNUAL PLAN 2021-2024
This document constitutes the multiannual work programme in the sense of Article 110(2) of the Financial Regulation, and action plan in the sense of Article 23(2) of NDICI-Global Europe Regulation.

1. SYNOPSIS

1.1. Action Summary Table

1. Title **CRIS/OPSYS business reference** **Basic Act**	Support for Civil Society at the Global Level CRIS number: NDICI CSO/2021/43479 Financed under the Neighbourhood, Development and International Cooperation Instrument (NDICI-Global Europe).
2. Team Europe Initiative	No
3. Zone benefiting from the action	Global/Worldwide
4. Programming document	Multiannual Indicative Programme: Civil Society Organisations Thematic Programme 2021-2027
5. Link with relevant MIP(s) objectives/expected results	*An inclusive, participatory, empowered, and independent Civil Society and democratic space in partner countries; and an inclusive and open dialogue with and between Civil Society organisations.*
PRIORITY AREAS AND SECTOR INFORMATION	
6. Priority Area(s), sectors	Governance, Peace and Security, Human Development (DAC: 151 Government & Civil Society-general)
7. Sustainable Development Goals (SDGs)	Main SDG (1 only): 17 Other significant SDGs (up to 9) and where appropriate, targets: 16, 5
8 a) DAC code(s) [1]	15150: Democratic participation and civil society: 100%

---

8 b) Main Delivery Channel @	20000: Non-Governmental Organisations (NGOs) and Civil Society			
9. Targets[2]	☐ Migration ☐ Climate ☒ Social inclusion and Human Development ☒ Gender ☐ Biodiversity ☐ Education[3] ☐ Human Rights, Democracy and Governance[4]			
10. Markers [5] (from DAC form)	**General policy objective @**	**Not targeted**	**Significant objective**	**Principal objective**
	Participation development/good governance	☐	☐	☒
	Aid to environment @	☒	☐	☐
	Gender equality and women's and girl's empowerment	☐	☒	☐
	Trade development	☒	☐	☐
	Reproductive, maternal, new-born and child health	☒	☐	☐
	Disaster Risk Reduction @	☒	☐	☐
	Inclusion of persons with Disabilities @	☐	☒	☐
	Nutrition @	☒	☐	☐
	RIO Convention markers	**Not targeted**	**Significant objective**	**Principal objective**
	Biological diversity @	☒	☐	☐
	Combat desertification @	☒	☐	☐
	Climate change mitigation @	☒	☐	☐
	Climate change adaptation @	☒	☐	☐
11. Internal markers[6] and Tags[7]:	**Policy objectives**	**Not targeted**	**Significant objective**	**Principal objective**
	Digitalisation @	☐	☒	☐

---

2 Actual contribution to targets will be confirmed ex-post based on a standardised methodology.

3 This target is specific to INTPA. If the action is marked as contributing to the Education target, please make sure the target on "Social inclusion and Human Development" is also marked.

4 Thematic target for geographic programmes (at least 15%) in delegated act.

5 For guidance, see https://www.oecd.org/development/financing-sustainable-development/development-finance-standards/ (go to "Data collection and resources for reporters", select Addendum 2, annexes 18 (policy) and 19 (Rio) of the reporting directive).

If an action is marked in the DAC form as contributing to one of the general policy objectives or to RIO principles as a principal objective or a significant objective, then this should be reflected in the logframe matrix (in the results chain and/or indicators).

6 The internal markers have been created to report on the implementation of the Commission's own policy priorities in areas where no DAC reporting tool is available. For the sake of consistency and comparability, the methodology is equivalent to the DAC markers, with three possible positions (main target, significant target, not targeted)

7 Methodology for additional tagging providing granularity on internal markers is under development.

2

	Tags: digital connectivity		☐	☐
	digital governance		☐	☐
	digital entrepreneurship		☐	☐
	job creation		☐	☐
	digital skills/literacy		☒	☐
	digital services		☐	☐
	Connectivity @	☒	☐	☐
	Tags: transport		☐	☐
	people2people		☐	☐
	energy		☐	☐
	digital connectivity		☐	☐
	Migration @ (methodology for tagging under development)	☒	☐	☐
	Reduction of Inequalities (methodology for marker and tagging under development)	☐	☒	☐
	Covid-19	☒	☐	☐

BUDGET INFORMATION	
12. Amounts concerned	Budget line(s): 14.020220 Civil Society Organisations
	The contribution is for an amount of **EUR 129.948.873** from the general budget of the European Union[8]
	2021 for an amount of **EUR 5.950.000** from the general budget of the European Union
	2022 for an amount of **EUR 116.398.873** from the general budget of the European Union
	2023 for an amount of **EUR 6.650.000** from the general budget of the European Union
	2024 for an amount of **EUR 950.000** from the general budget of the European Union
	subject to the availability of appropriations for the respective financial years following the adoption of the relevant annual budget, or as provided for in the system of provisional twelfths

MANAGEMENT AND IMPLEMENTATION	
13. Type of financing[9]	**Direct management** through: Grants and Procurement**Error! Reference source not found.**

1.2. Summary of the Action

The Action will support global CSO partnerships and dialogue and promote an enabling environment globally. It intends to support and strengthen the *capacity and effectiveness of civil society advocacy, and their participation in or contribution to policymaking* [expected result 1.2, CSO Thematic Programme 2021-2027] and *improve civil*

---

8 Out of which EUR 1.841.802 C5 credits

9 Art. 27 NDICI

3

society partner's ability to detect and respond to situations of a deteriorating or improving enabling environment, and address and contribute to structural prerequisites for such an environment, [expected result 1.2, ibid]. It will focus on actions of a global and trans-regional nature, addressing issues that cannot be effectively addressed at country level.

The Action will entail 3 Components:

Component 1: the Policy Forum on Development (PFD). The PFD has been meeting regularly since 2014 and has evolved as the EU main dialogue forum with CSO and Local Authorities on development issues. It is a partnership bringing together global and regional networks of civil society organisations and associations of local authorities and EU institutions. In order to ensure its continued relevance as a platform for mutually beneficial exchanges on EU policies and programmes global and regional meetings will be complemented by a virtual platform for continuous, moderated discussions and online-consultations. Efforts will aim at broadening the membership base to systematically consult a wide and representative range of civil society organisations and local authorities. Thematic working groups and actor-based groups may be constituted to cover the Commission priorities, based on members' interest and preparedness to engage.

Component 2: Global Civil Society Partnerships. Through Financial Framework Partnership Agreements (FFPAs), the Commission will conclude strategic partnership with a broad range of networks[10] of CSOs that contribute to **European Commission priorities** with which it shares **common general objectives** and wishes to establish a relationship of lasting cooperation. The Action will furthermore support a very limited number of specific platforms and/or networks of direct relevance to the core priorities of the programme.

Component 3: Monitoring and Engagement System for an Enabling Environment: The Action will establish a coherent Monitoring and Engagement System to strengthen the enabling environment for civil society in INTPA and NEAR[11] partner countries, implemented through a global civil society partnership. The global partner/-s will work through, and support, a network of regional and national CSOs to establish the following interconnected initiatives: A **Monitoring and Early Warning** component; support for **long-term global capacity building measures** on all areas pertaining to an enabling environment for network members; a **Flexible Support Mechanism** (FSM) to offer timely support to strengthen civil society capacity for prevention at early onset of crisis affecting this environment, or to offer quick support to contribute to positive developments. Longer-term partner country, or multi-country, initiatives can be supported in exceptional circumstances[12].

This Action is aligned with the 2012 Communication on "The roots of democracy and sustainable development: Europe's engagement with Civil Society in external relations" and adheres in full to the NDICI-Global Europe Regulation, Annex III: CSO Thematic Programme. It will contribute primarily to the Commission priorities on Governance, Peace and Security, Human Development, and to supporting the vital role of Civil Society with regard to SDG 16 and 17[13]. The dominant sector under which initiatives will be funded will accordingly be Government & Civil Society[14]. The Action will contribute primarily to Human Rights and Democracy, Social Inclusion and Human Development, Gender Mainstreaming, Environment and Climate. It will significantly contribute to the EU Action plan for human rights and democracy 2020-2024 and will, through support for capacity and participation of civil society, contribute to the European Commission's Joint Communication on strengthening the EU's contribution to rules-based multilateralism.

---

10 Including Foundations and Cooperatives.

11 Selection criteria under development

12 E.g., where no other funding is available on country level for urgent long-term initiatives or when funding can be deemed to contribute to innovative approaches that can serve as pilot model for wider county-based support

13 SDG 16: Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels; SDG 17: Strengthen the means of implementation and revitalize the global partnership for sustainable development"

14 OECD-DAC purpose code

All initiatives under the Action will, in line with the overarching aim of the CSO Thematic Programme, respect the independence and right to initiative of Civil Society.

2. RATIONALE

2.1. Context

Civil Society Organisations (CSOs)[15] are key actors in **protecting and promoting democracy, rule of law and human rights**, which are fundamental values of the European Union. They are key partners in **devising and implementing policies and programs that meet people's needs, reduce inequalities, and fulfil the central commitment of the 2030 Agenda to leave no one behind**. The EU is a longstanding global champion for civil society as development actors in their own right and supports their engagement to contribute to democratic processes and to achieve better development outcomes. The need for and strengths of working in partnership are promoted in the 2030 Agenda on Sustainable Development and the European Consensus on Development, and civil society organisations are recognized as essential development actors and equal partners in order to achieve shared development aims.

Civil Society is highly heterogeneous and encompasses a wide range of actors and aims[16]. Activities under this Action will support **global CSO partnerships, global and regional policy dialogue with civil society**, and **promote an enabling environment globally**, ensuring a broad global reach with regards both to **type of CSO actors** (including but not limited to women's, youth, cooperatives, business and employers organizations, trade unions and informal labour organisations, environmental, diaspora and faith based organisations as well as foundations) and with regard to their respective **thematic focus** (ensuring relevance to the Commission priorities and targets in both dialogue and implementation). Through this, and through its focus on actions of a global and trans-regional nature that cannot be effectively addressed at country level, this action will **complement CSO support at partner country level**.

Under this Action, Component 1, the Policy Forum on Development (PDF), reflects and builds on the EU's continued and fortified commitment to a **structured global and regional dialogue with CSOs** on all EU Policies. Initiated in 2013 the PFD has developed into a well-established multi-stakeholder process gathering a wide spectrum of civil society and associations of local authorities. The PFD will ensure a space for a continuous and open CSO dialogue and participation in the design and implementation of EU development policies and programmes. Component 2, Global Civil Society Partnerships, will build on and fortify the EUs **global strategic partnerships with networks and platforms of CSOs**. Under this component the FFPAs) allows for joint strategic objectives for cooperation between the EU and the signatories. The FFPAs will, in line with the overarching priorities of the CSO Thematic Programme maintain its focus on the reinforcement of the partners' capacity, including capacities of network national members in line with the overall focus of the CSO Thematic Programme and the policy of geographisation, as actors of good governance but will also ensure relevance to the Commissions' overarching priorities with regard to thematics and actors. Component 3, Monitoring and Engagement System for an enabling environment for civil society reflects and strengthens the **EUs profile as a champion of a civil society globally,** in complementarity to the Thematic Programme for Human Rights and Democracy 2021-2027, and contributing to the ambitious EU Action Plan for Human Rights and Democracy 2020-2024.

---

15 Definition as per NDICI-GLOBAL Europe: Civil society organisations embrace a wide range of actors with multiple roles and mandates which includes all non-State, not-for-profit independent and non-violent structures, through which people organise the pursuit of shared objectives and ideals, whether political, cultural, religious, environmental, social or economic. Operating from local, national, regional and international levels, they comprise urban and rural, formal and informal organisations.

16 The EU considers Civil Society organizations to embrace a wide range of actors with multiple roles and mandates which includes all non-State, not-for-profit independent and non-violent structures, through which people organise to pursue shared objectives and ideals, whether political, cultural, religious, environmental, social or economic.

5

2.2. Problem Analysis

The EU is committed to a dedicated focus on supporting Civil Society around the world, to ensure that they have a far greater role in designing and implementing European policies, programmes and projects whilst recognizing that as the experts on the ground, they often lead the way on sustainable development.[17] This commitment requires a **meaningful civil society participation** in dialogue on core priorities of the European Commission, at country as well as on regional and global levels. The Action at hands addresses this challenge specifically through Component 1, the PFD, for regional and global civil society dialogue. To allow for an informed and meaningful participation, in both dialogue and in implementation and monitoring of EU initiatives, actions to **strengthen the capacity of CSOs**, on all levels are needed. The Action at hand responds to this challenge through Component 2, Global CSO Partnerships, through a clear and consistent focus on **strengthening the capacity of civil society partners** (globally and through network members in partner countries also on the country level) as actors of good governance[18]. This will serve to reinforce their ability to contribute to Team Europe Initiatives, where CSO should be consulted, also in partner countries.

The main challenge for Civil Society globally, as for EU cooperation with Civil Society, is the closing space and the continuous **deterioration of an enabling environment** for Civil Society. As the environment in which Civil Society operates deteriorates, the ability of Civil Society organisations to act freely as actors of good governance and development in their own right diminishes. This ability to act is a fundamental objective for EU efforts to support CSOs, since a lack thereof risks undermining not only priorities and goals in the area of human rights, peace, and democracy, but in all prioritized areas of EU cooperation where Civil Society is an important partner in dialogue, implementation, and monitoring of interventions. The Action at hand responds to this challenge through Component 3, Monitoring and Engagement System for an enabling environment for civil society that will serve to strengthen the capacity of civil society at global, regional and partner country level to **prevent further deteriorations and promote a sustainable and accessible enabling environment for civil society globally.**

Identification of main stakeholders and corresponding institutional and/or organisational issues (mandates, potential roles, and capacities) to be covered by the action:

Component 1 - the Policy Forum on Development
Following "the Structured Dialogue for an efficient partnership in development" that took place from March 2010 to May 2011, the EU set up in 2012 a formal space for regular policy dialogue with CSOs on development policy, the Policy Forum on Development (PFD). The PFD therefore plays an essential role in contributing to CSOs participation in the design of European policies and programs. The partnership currently brings together 75 networks including NGOs platforms, trade unions, cooperatives, business and employers' organisations, women organisations, people with disabilities, foundations, diaspora organisations and associations of local authorities. Active participation and commitment of all members is a fundamental requirement for the effectiveness of the Forum and contributes to ensuring the collective ownership of the process. Future efforts will aim at ensuring that the Forum remain relevant as an inclusive platform representing a broad range of civil society organisations and local authorities.

This component will be implemented through Procurement.

Component 2: Global CSO Partnerships
Global and regional networks of CSOs allow for collaboration, coordination, mutual support and exchange of information/knowledge/experience, building hence strong cross-borders relationships between its members. They contribute building common approaches, understanding and actions aimed at addressing issues with strong regional and global dimensions such as climate change, pollution or biodiversity decline. Furthermore, these networks play a

---

17 https://ec.europa.eu/commission/commissioners/sites/comm-cwt2019/files/commissioner_mission_letters/mission-letter-urpilainen-2019-2024_en.pdf

18 Including institutional and operational capacities in the areas of good governance; accountability; program, project, and financial management; research and analysis, advocacy and communication; sector-specific capacity building; access to funding.

6

key role in promoting a more inclusive policymaking by linking local concerns, experience and expertise to international debates while at the same time strongly advocating for more socially sensitive policy making. Supporting global and regional networks of CSOs is therefore paramount to contribute to CSOs strengthening as actors of good governance and development in their own rights.

This component will be mainly implemented through Financial Framework Partnership Agreements (FFPAs) with global civil society networks partners which illustrate the commitment of the European Commission to support CSOs as pivotal actors of good governance: Through these FFPAs, civil society organisations networks are encouraged to be key contributors to policy-making at regional and global levels, especially in the development and monitoring of policies and agreements related to the successful implementation of the 2030 Agenda. FFPAs define the respective roles and responsibilities of the European Commission and its partners, highlighting mutual interest and common shared objectives in the implementation of the European Union's policy on development and core priorities. FFPAs may cover various different types of CSOs, such as Non-governmental Organisations (NGOs), Foundations, employers organisations, trade unions, business organisations, informal sector organisations, cooperatives, community and faith-based organisations. Specific focus will be given to the inclusion of women's and youth networks and platforms.

Whilst the majority of FFPAs will be contracted through a Call for Proposal, a limited number will be contracted through direct grants as follows:

CONCORD is the European confederation of relief and development NGOs. It advocates for sustainable economic, social and human development, addressing the root causes of poverty, and based on human rights, gender equality, justice and democracy. It is a key interlocutor of the European Union as it conveys the voice of more than 2600 organisations across Europe and beyond and is as such a fundamental partner of the EU with regards to our overarching dialogue and support for civil society in Europe and beyond.

WINGS (Worldwide Initiatives for Grantmakers Support) is a network of grant-maker associations, support organisations, networks, and academic centres, which now reaches 154 members from 52 countries. Together these members serve and represent over 100,000 philanthropic entities worldwide: foundations, corporations, individual philanthropists, community funds, traditional, and non-traditional actors. WINGS is thus an important interlocutor and dialogue partner for the EU in line with our ambition to strengthen ties and increasing cooperation with the philanthropic community active in development cooperation, at all levels.

The Action will furthermore entail direct grants through FFPAs with two global platforms for social partners, the **International Organisation of Employers (IOE),** that has more than 150 employers and business organisations members in over 140 countries, representing more than 50 million companies, and the **International Trade Union Confederation (ITUC)**, that represents 200 million workers in 163 countries and territories and has 332 national affiliates. Social dialogue between public authorities, trade unions and employers' organisations is essential to ensure that employment and labour-market policies and institutions target unemployment, underemployment, wage gaps, low wages, and lack of decent working conditions, all of which being major drivers of poverty and primary income inequality. The support to ITUC and IOE will reinforce the EUs support to the Decent Work Agenda by strengthening social dialogue worldwide[19].

In addition to above mentioned FFPAs the Action will entail support for the **International Budget Partnership (IBP)** through a direct grant. IBP is a unique CSO platform working with civil society partners in over 120 countries, offering training to local CSOs on how to read and monitor national budgets. Support for IBP will contribute to improving transparency, accountability and oversight in domestic finance through supporting international and

---

19 Consolidated version of the Treaty on the Functioning of the European Union (OJ 326/47) and the Agenda 2030 for Sustainable Development.

regional initiatives strengthening the capacities of civil society organisations in their work on domestic revenues, expenditures and illicit financial flows.[20]

Component 3, the Monitoring and Engagement System for an Enabling Environment
The foreseen stakeholders are the global and regional CSOs and networks of CSOs that will be contracted through a global Call for Proposal (CfP). The civil society partner (-s) contracted through the CfP will in turn establish, work through and support a network of civil society organizations in partner countries who are thus also core stakeholders. Through the establishment and implementation of the Flexible Support Mechanism (part of the CfP, managed by the global partner/-s), a broader range of CSOs in partner countries will be funded under the Component.

Whilst the Monitoring system will be *CSO led and independent*, it will generate and disseminate timely and meaningful information and analysis, including early warnings that may in addition inform EU wide actions, and actions of likeminded actors, for democratic governance, civic space and an enabling and accessible environment for civil society. To this end it is vital to engage all relevant EU and international actors both at global and on country levels.

The final beneficiaries for all components under the Activity are the citizens and inhabitants of the different partner countries. They will benefit from CSO work on advocacy for better reforms and development, monitoring of governance in line with the Consensus and direct implementation of aid by CSOs. They will also benefit from better information about policies, including on the 2030 Agenda, and will be better represented and have a greater say in its definition and implementation.

3. DESCRIPTION OF THE ACTION

3.1 Objectives and Expected Outputs

The Thematic Programme for Civil Society Organisations (CSOs) is the only thematic programme with a clear actor-based mandate under the proposed Neighbourhood, Development and International Cooperation Instrument (NDICI-GLOBAL EUROPE). This Action will contribute to the overarching priority An inclusive, participatory, empowered and independent civil society and democratic space in partner countries; and an inclusive and open dialogue with and between civil society (Priority 1, CSO Thematic Programme 2021-2027)

The Overall Objective (Impact) of this action is to *strengthen CSOs as independent actors of good governance and development in their own right.*

The Specific Objectives (Outcomes) of this action are to:
1. Strengthen global partnerships of Civil Society Organizations
2. Improve the enabling environment for civil society

The Outputs to be delivered by this action contributing to the corresponding Specific Objectives (Outcomes) are:
1.1 Contributing to Specific Objective 1) Availability of participation opportunities of Civil society in consultations on main EU policies and initiatives in the development field is strengthened ;
1.2 Contributing to Specific Objective 1) Capacity of global platforms and networks of civil society organisations is strengthened[21];

8

2.1 Contributing to Specific Objective 2) Ability of Civil society partner's to detect and respond to situations of shrinking and opening space and to address and contribute to structural prerequisites for an enabling environment is strengthened.

Particular focus will be given to ensure that all initiatives under the Action serve to contribute to the Commissions' overarching priorities not least through a consolidated focus on women's and youth organisations and, through partners contributions, to reducing inequalities; social inclusion and human development; gender equality; as well as to priorities and targets on jobs and growth, migration, climate and biodiversity.

3.2 Indicative Activities

- **Activities related to Output 1.1**

1.1.1 Policy Forum on Development:
Activities in the context of the PFD will consist in global and regional in situ meetings that will be organised in EU partner countries regions on a rotational basis. Working modalities of the PFD will be expanded, to complement the yearly global and regional meetings with a virtual platform for continuous, moderated discussions and online-discussions. The Action supports permanent exchange, dialogue and consultations between the EU and the PFD members and the facilitation of multi stakeholder meetings as foreseen in section 3.1, focusing on the implementation of the 2030 Agenda, the European Consensus on Development and EU external assistance, as well as topics on the international development agenda.

Main activities:
- Three annual PFD meetings successfully organised.
- Three regional PFD meetings covering Latin America and the Caribbean, Asia and the Pacific, and Africa successfully carried out.
- At least five annual virtual and/or hybrid dialogues and consultations on EU policies and programmes as well as topics pertaining to Agenda 2030 successfully carried out

- **Activities related to Output 1.2**

1.2.1 FFPAs:
Activities led in the framework of FFPAs, including and benefiting members at all levels from regional to national and local, will include, among others, research, publication of reports, shadow reporting, policy advocacy, awareness raising, capacity building and strengthening through e.g. exchange of experience and dissemination of good practices, staff exchanges, knowledge sharing, capacity/needs assessments, trainings, development of webinars, toolkits and training materials, organisation and participation to conferences, seminar, workshops, policy-dialogue with public authorities and international organisations etc. Particular attention will be paid to ensure partners' capacity to fully benefit from digital technologies and operate online securely by strengthening digital infrastructure, security and skills, notably regarding data protection. *Activities to be further specified in Call for Proposal*.

1.2.2: CONCORD: This Action supports CONCORD annually as a key interlocutor of the EU institutions on development issues. The main activities will be:
- Develop dialogue at EU level on priority work areas chosen by CONCORD.
- Develop alliances and partnerships with like-minded actors in order to achieve results
- Conduct outreach actions towards key interlocutors, including CONCORD's own members and promote participation in CONCORD's working structures
- Open spaces of exchange to innovative ways of working and topics to support CONCORD to evolve and innovate as a European confederation.

9

1.2.3: WINGS: The current partnership with WINGS aims to strengthen an enabling environment for philanthropy by supporting capacity building of WINGS members. Pursuing a similar trajectory and building on shared values and common political priorities, strengthening our partnership with WINGS is foreseen aiming at supporting the development and professionalisation of philanthropy, particularly in partner countries:

- Increasing philanthropic outreach, contributing to the mobilization of additional resources for the achievement of the SDGs and to development effectiveness;
- Capacity building and support to strengthen philanthropic foundations, in particular in relation to the implementation and monitoring of the 2030 Agenda.
- Strengthening the development effectiveness, accountability, and transparency of philanthropy actors (engaging philanthropy in the Global Partnership for Effective Development Co-operation).

1.2.4 IOE:
- Enhance the institutional and the operational capacity of the IOE to engage in policy debates through global exchange.
- Contribute to improved governance structures and social dialogue, as well as advocacy work through strengthened exchange of knowledge and experience between national employers' organisations at a regional and global level.
- Strengthen the capacity of employers' organisations who are members of the IOE
- Improve dialogue with the EU on development issues and the 2030 Agenda.

1.2.5 ITUC:
- The support of capacity building and policy development for advocacy and partnerships through regional development networks.
- The strengthening of advocacy at international level, especially towards the UN system, to secure sustainable and inclusive development in Agenda 2030 and beyond.
- To increase the influence of trade unions on development policies at national, regional and global levels
- The improvement of trade union partnerships based on the trade union principles on development effectiveness, shared approaches and common objectives.
- Strengthen the capacity of national organisations who are members of ITUC

1.2.6: International Budget Partnership (IBP):
In line with the three priorities of the 2012 Communication on Civil Society in external relations (enabling environment, participation and capacity building), the action aims at strengthening civil society's ability to analyze and engage with budgets, through three components:

- Advocacy: supporting CSO actions towards better norms, the global civil society movement for budget transparency, accountability and participation; and therefore, enhancing the implementation of the 2030 Agenda and financing for development.
- Budget work in country: budget analysis is highly dependent on country context. This component aims at supporting civil society to do budget work and in directly advocating for more transparent, accountable, and participatory budgets. The target of actions would mainly focus on countries where the EU provides budget support, allowing high standards of transparency and accountability as regards EU support.
- Capacity building: this component aims at strengthening the capacity of civil society organizations so that they develop the skills they need to conduct budget analysis, monitoring, and advocacy work, including in the area of domestic resource mobilisation and tax equity

- **Activities related to Output 2.1:**

10

2.1.1: Monitoring and Engagement System for an Enabling Environment for Civil Society (Call for Proposal):

The global partner/-s contracted under this component will work through, and support, a network of regional and national CSOs to perform the following interconnected activities:

- Establish and support a network of CSO partners in INTPA and NEAR partner countries.
- Through this network establish and maintain a Monitoring and Early Warning system.
- Provide support for long-term global capacity building measures on all areas pertaining to an enabling environment for network members, such as advocacy and promotion of a positive narrative: access to funding; networking and strategic alliances; CSO transparency and accountability; digital capacities; access to legal advice and support.
- Establish and manage a Flexible Support Mechanism (FSM) to, in agreement with EU Delegation in respective partner country (in exceptional cases HQ), offer timely support to strengthen civil society capacity for prevention at early onset of crisis, or to offer quick support to contribute to positive developments.
- Through sub-granting, to finance a limited number of longer-term projects for the support of enabling environment in partner countries, or in multi-country settings, in exceptional circumstances (e.g. when no other funding is available under respective geographic or relevant thematic programmes, and/or if innovative and catalytic, etc.).
- *Activities to be further specified in Call for Proposal.*

The system will, through its focus on activities of monitoring, early warning, and prevention, promotion and long-term capacity building for an enabling environment, and though its engagement with a broad range of CSO stakeholders globally, complement actions and activities under the Human Rights and Democracy Thematic Programme 2021-2027. The parallel but complementary implementation of these programmes will ensure that serious problems, vulnerable CSOs, or opportunities do not fall through gaps in EU support, while avoiding duplication.

3.3 Mainstreaming

Environmental Protection & Climate Change:
EU treaties and policies underpin the Union's high-level commitment to environmental sustainability and to combating climate change which is at the heart of the European Green Deal. The Action at hand will contribute to these commitments, addressing the urgent need to combat climate change and ensure a healthy environment for all. Climate change and the European Green Deal will continue being one of the key elements of discussion with CSOs in the framework of the PFD (component 1) while applicants for FFPAs (component 2) will have to demonstrate how their objectives and activities will contribute to the fight against environmental degradation. Support provided under the component 3 will also target CSOs standing up against environmental damage as they often face harsh restrictions due to shrinking space for civil society.

The Strategic Environmental Assessment (SEA) screening concluded that no further action was required. The EIA (Environment Impact Assessment) screening classified the action as Category C (no need for further assessment). The Climate Risk Assessment (CRA) screening concluded that this action is no or low risk (no need for further assessment).

Gender equality and empowerment of women and girls
As per OECD Gender DAC codes identified in section 1.1, this action is labelled as G1. This implies that gender equality is a significant objective of the Action. This will be attained through integrating the objectives of the third EU Gender Action Plan (GAP III), with a specific focus on the goal outlined in the NDICI-GLOBAL EUROPE on enhancing funding to women's organisations and other relevant organisations working on gender equality (particularly under Component 2) as well as to strengthen strategic dialogue on gender equality and women's

11

empowerment with CSOs including women's organisations (including representatives of marginalised women such as women with disabilities and indigenous women) (particularly under Component 1).

Human Rights/ Democracy

Civil Society Organisations (CSOs) are vital to the attainment of human rights and democracy in all EU partner countries. The action will adhere in full to the implementation of the Rights-Based Approach (RBA) to development, encompassing all Human Rights as well as EU's commitment to promote and protect human rights and democracy as enshrined in the Joint Communication and EU Action Plan on Human Rights and Democracy for 2020-2024, with particular regard to the ambition to "*Promote a safe and an enabling environment for civil society as actors in their own right, including long-term strategic support to capacity building and meaningful participation of civil society at country, regional and global level*" as well as to the ambition to "*Promote structured and regular dialogues between state actors, civil society (including social partners) and the international community*" to "*Foster the role of civil society in oversight and accountability in both the public and the private sector, promoting the use of online technologies*" and to "*Support and strengthen long-term partnerships with civil society actors and human rights/social movements, also by making full use of the opportunities to fund grassroots organisations*".[22]

Disability

As per the Strategy of the Rights of Persons with Disabilities (2021-2030), the EU will continue to support CSOs to ensure that representatives of persons with disabilities can participate in all relevant processes through specific and inclusive structured dialogues, at EU, partner countries and global level. Engagement with organisations of persons with disabilities is a important principle of the UN Convention of The Rights of Persons with Disabilities and so also is recognising that disability crosscuts other CSO groups such as women, youth organisations. Civil society is a vital actor in promoting and defending the rights of marginalized people living in vulnerable circumstances, particularly in the case of persons with disabilities as for many, it is the only opportunity to raise their issues. As per OECD Disability DAC codes identified in section 1.1, this action is labelled as D1. This implies that the action will be of relevance for the inclusion of persons with disabilities as organizations specifically representing, and/or as part of their mandate targeting, the rights of people with disabilities will be included under Component 1 and may well be included under the Action under Component 2.

Conflict sensitivity, peace and resilience/ Disaster Risk Reduction

Civil Society is a vital actor for conflict prevention, resilience and security in fragile contexts and are furthermore often the basis of resilience at community level, and vital partners in risk reduction as well as in response to crisis. Support for civil society under the action is expected to further strengthen civil society capacity in this regard, as well as to include civil society in policy dialogue on relevant EU policies and initiatives. A conflict sensitive approach will be consistently applied and will take into account the sensitivities of different groups.

Youth

The European Union recognises youth as key actors of development and an integral part of the solution to promote peace and security. Despite this, young people are far too often excluded from policy and decision-making processes on issues that affect them and their future. This exclusion can be further compounded by youth from different groupings e.g. young persons with disabilities and young LGBTQI. Civil Society is an important actor in promoting youth engagement and involving, and/or representing, youth and youth interests in key policy processes. However, youth in Civil Society face significant obstacles, both with regards to funding, but also with regards to attitudes and informal barriers, as youth organizations and youth activists risk being seen as less credible than older and or more established counterparts[23]. The Action at hand will seek to support youth organizations globally through a specific focus on funding for and capacity building of youth organizations under Component 2 as well as through strengthening youth engagement in policy dialogue with the EU under Component 1.

---

22 Joint Communication to the European Parliament and the Council, EU Action Plan on Human Rights and Democracy 2020-2024

23 For example, more than 69% of youth-led groups operate with budgets of under USD 10,000 per year, https://www.civicus.org/index.php/what-we-do/strengthen/civicus-youth#:~:text=Youth%20in%20civil%20society%20face%20multiple%20obstacles%20to,with%20budgets%20of%20under%20USD%2010%2C000%20per%20year.

12

3.4 Risks and Lessons Learnt

Category	Risks	Likelihood (High/ Medium/ Low)	Impact (High/ Medium/ Low)	Mitigating measures
3: People and the organisation	Weak and/or uneven stakeholder engagement in policy dialogue through the PFD *(Component 1)*	L	M	Ensuring relevance of dialogue to partners through participatory identification of dialogue areas and topics. Management of expectations by clarifying objectives, sharing information prior to discussions and consultations, and ensuring appropriate consideration of input received. If required, replace non-active members by others more motivated.
2: Planning, processes and systems	Weak organizational capacity to implement Framework Partnership Agreements as well as other agreements under the Action *(Component 2 and 3).*	L	H	The risk will be especially considered by the Contracting Authority when launching and implementing the Calls for Proposals and continuously monitored throughout implementation. Initiate dialogue as relevant to encourage partners to seek further expertise as required.
1: External environment	COVID-19 presenting challenges for partners in implementation of contracts as well as in participation in dialogue *(All components)*	M	M	An increased level of flexibility and adjustment applied in contract management to mitigate risks; Specific support to strengthening of partners digital infrastructure.
1: External environment	Risks for CSO Networks, Platforms and their partners who are participating in dialogue and implementation of activities under the Action, with	M	H	The risk will be especially considered by the Contracting Authority when launching and implementing the Calls for Proposals and continuously monitored throughout implementation. Specific inclusion of risk mitigation measures for partners under Call for

13

			Proposal for Monitoring and Engagement System (Component 3).
particular regard to sensitive issues. *(All Components, specifically relevant Component 3)*			EU political dialogue with relevant actors to underline vital role of civil society.

Lessons Learnt:

Evaluations of the previous CSO-LA Programme[24] as well as consultations with CSO Partners[25] in preparation for the CSO Thematic Programme have informed the Action. Lessons learned of particular relevance to the Action at hand include:

- Whilst there is evidence that the previous CSO-LA programme contributed to capacity building of CSO partners in terms of building skills in program, project, and financial management, it was weaker on supporting CSO's internal governance, analysis, and advocacy skills, and in sector-specific capacity building. This will be addressed under the Action, through a focus on the application of a broader definition of capacity building, in support under Component 2.

- Whilst an evaluation of the current FPA agreements have shown that the FPA approach is an adequate tool to deepen the strategic partnerships with CSO networks, more could have been achieved if more attention had been paid to policy advocacy issues faced by FPAs' members at country level and to linking FPAs actions with country roadmaps processes at large. This is in line with the overall aim and focus on CSOs in partner countries of the CSO Thematic Programme and contributes to the aims of the policy of geographisation. This will also be addressed in the Call for Proposal for new and renewed FFPAs under Component 2.

- Whilst the regular dialogue with a wide range of Civil Society actors through the PFD has been effective and appreciated, consultations have highlighted the need for ensuring regular and timely consultations with the opportunity for feedback, and to ensure continued commitment and engagement. To this end, it will be important to continue to 1) empower and motivate civil society and local authority members to work together, share information and engage in joint efforts to influence EU policy; 2) ensure relevance of dialogue to PFD members through participatory identification of dialogue areas and topics; 3) monitor and give feedback on how PFD suggestions are taken on board and their inputs used; 4) raise awareness amongst policymakers and practitioners throughout EU institutions and the Member States. This will be considered and addressed under Component 1.

- The need for a stronger focus on support for an enabling environment, including promoting quick and flexible funding in response to regressions and opportunities have furthermore been highlighted. This will be addressed through the new initiative under Component 3.

- Lastly, evaluations have shown that EU funds are not sufficiently reaching youth and women's organisations that are priorities for the Commission. This will be addressed under the Action through the inclusion of a targeted lot under the call for proposals under Component 2.

---

24 Multiannual Indicative Programme for the Thematic Programme "Civil Society Organisations and Local Authorities" for the period 2014-2020 C(2014) 4865 final.

25 In 2020 12 meetings and consultations took place under the Policy forum on Development of which 6 had a focus on the programming process at large and 1 specifically on the priorities of the CSO Thematic Programme.

14

3.5 The Intervention Logic

The underlying intervention logic for this action is as follows:

The Action intends to, through the specific activity 1.1.1, the Policy Forum on Development, promote civil society inclusion in consultations on main EU policies and initiatives in the development field. It is expected that this will increase the availability of participation opportunities of Civil society in consultations on main EU policies and initiatives in the development field is s [Output 1.1]; Through support for Global CSO Partnerships under activity 1.2.1-1.2.6 the Action will strengthen the capacities of global (and if needed limited regional), platforms and networks of civil society organisations, enabling them to function as independent actors of good governance and development at the global level as well as, through support for network members, at regional and country levels [Output 1.1.2]. Through activities under 2.1.1, the Monitoring and Engagement System for an enabling environment, the Action will serve to strengthen civil society partner's ability to detect and respond to situations of shrinking and opening space and address and contribute to structural prerequisites for an enabling environment [Output 2.1].

A core assumption for the implementation of the activities and for the attainment of subsequent outcomes is that relevant and competent civil society networks and platforms are: 1) willing to contribute through their respective strategies and activities to the Commission's priorities, including the reduction of inequalities, human rights and democracy, social inclusion and human development, gender equality as well as issues of jobs and growth, migration and climate, and 2) able to engage in dialogue and implementation as per the respective actions under each component.

If these Outputs are delivered and the assumptions at the level of Outputs hold true, this will ensure the realization of the objectives to Strengthen global partnerships of Civil Society Organisations [Outcome 1] and to contribute to an improved enabling environment for civil society [Outcome 2].

A core assumption for the attainment of these Outcomes is that civil society globally are capacitated and invited to contribute to policy dialogue on development on all levels, and to the implementation and monitoring of development plans and initiatives, including at partner country level, and in regional and global fora, not least through active EU engagement and support for such participation.

If the Outcomes are achieved and the assumptions at this level hold true, this will in turn contribute to the long-term objective of the Programme to strengthen CSOs as independent actors of good governance and development in their own right [Overall Objective/Impact].

15

3.6 Logical Framework Matrix

PROJECT MODALITY (3 levels of results / indicators / Source of Data / Assumptions - no activities)

16

Results	Results chain (@): Main expected results (maximum 10)	Indicators (@): (at least one indicator per expected result)	Baselines (2021)	Targets (2024)	Sources of data	Assumptions
Impact	To strengthen CSOs as independent actors of good governance and development in their own right	Number of partner countries where the political leadership recognize the value of, and enables, the participation of civil society in policymaking processes.	2021: TBD	2024: TBD	Survey for EUDELs at beginning of Action and end of action.	*Not applicable*
Outcome 1	Global partnerships of Civil Society Organisations have been strengthened	1.1 Number of Framework Partnership Agreements (FPAs) and platforms supported by the Action providing inputs to the High-Level Political Forum and other relevant selected global processes. 1.2 **Number of government policies developed or revised with civil society organisation participation through EU support (EURF) 1.3 **Number of grassroots civil society organisations benefitting from (or reached by) EU support (EURF)	1.1: 0 1.2: 0 1.3: 0	1.1: TBD 1.2: TBD 1.3: 200	1.1 Reports from the High Level Political Forum and reporting from FFPAs 1.2 Reporting made by FFPAs 1.3 EU Results Framework Annual Reporting Exercise	Relevant and competent civil society networks and platforms, that through their respective strategies and activities contribute to the Commission's priorities, including to the reduction of inequalities, human rights and democracy, social inclusion and human development, gender equality as well as
Outcome 2	The enabling environment for civil society has been improved	2.1 CIVICUS rating in partner countries (average) 2.2 EU Monitoring of the enabling environment rating in targeted countries (average) *NB: to be developed under Component 3*	2.1 TBD 2.2 TBD	2.1 TBD 2.2 TBD	2.1 CIVICUS Index 2.2 EU Monitoring and Engagement System, reporting mechanism	

17

						issues of jobs and growth, migration and climate, are willing and able to engage in dialogue and implementation as per the respective actions under each component.
Output 1 related to Outcome 1	1.1 Availability of participation opportunities of Civil society in consultations on main EU policies and initiatives in the development field is strengthened ;promoted	1.1.1 Share of the top 10 recommendations issued by the Global and Regional Policy Forum on Development that INTPA DEVCO has a) provided feed-back on and b) taken into account	1.1.1 a. 0 b. 0	1.1.1 100% b. 50%	1.1.1 EU Results Framework Annual Reporting Exercise	
Output 2 related to Outcome 1	1.2 Availability of participation opportunities of Civil society in consultations on main EU policies and initiatives in the development field is strengthened;	1.2.1 Number of CSOs' representatives, participating in multi-stakeholder dialogues at global and regional level with the support of the EU-funded intervention. 1.2.2: Number of CSO representatives trained by the EU-funded intervention with increased knowledge and/or skill, disaggregated by sex 1.2.3: Total amount of sub-granting provided by FFPA umbrella organisations to their members	1.2.1 0 1.2.2 0 1.2.3 0	1.2.1 TBD 1.2.2 TBD 1.2.3 TBD	1.2.1 CSO Partners annual reports 1.2.2 Pre+ and post-training reports 1.2.3: CSO Partners annual reports	Relevant and competent civil society networks and platforms, that through their respective strategies and activities contribute to the Commission's priorities, including to the

18

						reduction of inequalities, human rights and democracy, social inclusion and human development, gender equality as well as issues of jobs and growth, migration and climate, are willing and able to engage in dialogue and implementation as per the respective actions under each component.
Output 1 related to Outcome 2	2.1 Ability of Civil society partner's to detect and respond to situations of shrinking and opening space and to address and contribute to structural prerequisites for an enabling environment is strengthened.	2.1.1 Number of short-term actions triggered by the early warning mechanism 2.1.2 Number of CSO staff trained by the EU-funded intervention with increased knowledge and/or skills in strengthening enabling environment, disaggregated by sex	2.1.1: 0 2.1.2 0	2.1.1 TBD 2.1.2 TBD	2.1.1 CSO Partners annual reports 2.1.2 Pre- and post-training tests	

4. IMPLEMENTATION ARRANGEMENTS

4.1 Financing Agreement

In order to implement this action, it is not envisaged to conclude a financing agreement with any partner country /territory.

4.2 Indicative Implementation Period

The indicative operational implementation period of this action, during which the activities described in section 3 will be carried out and the corresponding contracts and agreements implemented, is 108 months from the date of adoption by the Commission of this Financing Decision. Extensions of the implementation period may be agreed by the Commission's responsible authorising officer by amending this Financing Decision and the relevant contracts and agreements. 3

4.3 Implementation Modalities

The Action will primarily be implemented through direct management through grants to global civil society partners and service contracts.

Component 1: The Policy Forum on Development will be implemented through direct management, procurement of service contract.

Component 2: Global Partnership with Civil Society will be implemented through direct management through grants framed by Financial Framework Partnership Agreements with CSO networks and a limited number of grants for global CSO platforms. These will be contracted primarily through call for proposal and a limited number of direct awards (FFPA/Grants).

Component 3: Will be implemented through direct management through grants for Global CSO/-s through call for proposal.

The Commission will ensure that the EU appropriate rules and procedures for providing financing to third parties are respected, including review procedures, where appropriate, and compliance of the action with EU restrictive measures[26].

4.3.1 Direct Management (Grants)

Component 2: Global CSO Partnerships[27]

---

[26] www.sanctionsmap.eu. Please note that the sanctions map is an IT tool for identifying the sanctions regimes. The source of the sanctions stems from legal acts published in the Official Journal (OJ). In case of discrepancy between the published legal acts and the updates on the website it is the OJ version that prevails.

[27] The envisaged assistance to Angola, Benin, Botswana, Burkina Faso, Burundi, Cape Verde, Cameroon, Central African Republic, Chad, Comoros (Union of), Congo (Republic of the), Congo (Democratic Republic of), Djibouti, Ethiopia, Gabon, Sao Tomé and Principe, Gambia, Ghana, Guinea-Bissau, Guinea-Conakry, Ivory Coast, Kenya, Lesotho, Liberia, Madagascar, Malawi, Mali, Mauritania, Mauritius and Seychelles, Mozambique, Namibia, Nigeria, Rwanda, Senegal, Sierra Leone, Somalia, South Sudan, Sudan, Swaziland, Tanzania, Uganda, Zambia, Zimbabwe, South Africa, Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Uruguay, Venezuela, Barbados and Eastern Caribbean Islands, Belize, Cuba, Dominican Republic, Guyana, Haiti, Jamaica, Suriname, Trinidad & Tobago, Afghanistan, Bangladesh, Burma/Myanmar, Cambodia, China, Korea (DPRK – North), India, Indonesia, Laos, Malaysia, Mongolia, Nepal, Pakistan, Philippines, Sri Lanka and Maldives, Thailand, Vietnam, Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan, Fiji, Papouasie Nouvelle Guinée, Solomon Islands, Timor Leste, Iraq, Iran, Yemen, Algeria, Armenia, Azerbaijan, Belarus, Egypt, Georgia, Jordan, Israel, Lebanon, Libya, Moldova, Morocco, Palestine, Syria, Russia, Tunisia, Ukraine must follow the conditions and procedures set out by the restrictive measures adopted pursuant to Article 215 TFEU.

4.3.1.1. Grants (direct management):

(a) **Purpose of the grant(s)**: The Action will aim to support CSOs as actors of good governance and development and strengthen global partnerships. Specifically, it will aim to strengthen institutional and operational capacities of civil society umbrella organisations, including their members as well as its capacity to represent and serve their constituencies. Partnership will take into account mutual interest and common general objectives shared by the Commission and its partners in pursuing the EU policy on development cooperation. Particular attention will be paid to ensure that partners demonstrate their relevance to the priorities of the Commission[28]: Partnerships will be established and regulated through Financial Framework Partnership Agreements (FFPAs), which are structured in two levels:

i) Financial Framework Partnership Agreements will define the respective roles and responsibilities of the Commission and its partner in implementing the partnership.

ii) Specific Action Grant Agreements will define the operational terms of the strategic partnership, instrumental to achieve the common political priorities and objectives between the Commission and its strategic partners.

(b) **Type of applicants targeted**: Financial Framework Partnership Agreements (FFPAs) will cover different types of CSOs, including but not limited to: Non-governmental Organisations (NGOs), Foundations, business associations, trade unions, cooperatives, community and faith-based organisations etc. Specific focus will be given to the inclusion of women's and youth networks and platforms. Trade Unions, organisations of employers and Foundations will be targeted through direct awards as explained below.

4.3.1.2. Grant (direct management): CONCORD

(a) Purpose of the grant(s): This FFPA and corresponding Operating grants aims to support CONCORD annually as a key interlocutor of the EU institutions on development policy through successive annual grants.

(b) Type of applicants targeted: CONCORD is the European confederation of relief and development NGOs. It comprises national NGO platforms from 27 EU Member States and the UK, 25 major international NGOs and NGO networks and 6 associate members, representing thousands of European NGOs.

(c) Justification of a direct grant: Under the responsibility of the European Commission's responsible authorising officer, the grant may be awarded without a call for proposals to CONCORD.

Under the responsibility of the European Commission's responsible authorising officer, the recourse to an award of a grant without a call for proposals is justified because CONCORD is in a situation of de facto monopoly in compliance with Article 195 (c) of Regulation (EU, Euratom) 2018/1046. This is based on the Confederation's wide thematic and geographical coverage, bringing together EU MS national NGO platforms as well as international NGO networks active in an extensive range of development areas. Through its actions and working structures the Confederation has consolidated its role and legitimacy vis-à-vis the EU institutions and vis-à-vis its members and has proven its usefulness and capacity to meaningfully contribute to the debate on development in Europe. Its added value also resides in its capacity to shape common positions and share knowledge and competence in dealing with major issues linked to European development policy. Concord advocates for sustainable economic, social and human development based on respect for human rights, gender equality, justice and democracy. Under its current strategy it has prioritised three core areas of work, namely tackling inequalities, policy coherence and financing for sustainable development. Concord also aims at promoting throughout the EU, across the diverse membership of the confederation, a refreshed and reinvigorated NGO/CSO sector, which succeeds in regenerating citizens' engagement in global solidarity and in promoting an outward-looking Europe that effectively confronts global challenges.

4.3.1.3 Grant (direct management): WINGS

(a) Purpose of the grant(s): This FFPA and corresponding Action grant aims to support to a stronger philanthropic sector that help generate and leverage resources and thus further contribute to the accomplishment of the SDGs.

(b) Type of applicants targeted: Philanthropic sector (Philanthropy Support Organizations/PSO).

---

28 Those priorities are Green Deal Alliances, Alliances for Science, Technology Innovation and Digital, Alliances for Sustainable Jobs and Growth, Partnerships for Migration and Mobility, Partnerships for Governance, Peace and Security, Human Development. Further information available on: https://ec.europa.eu/international-partnerships/our-work_en

(c) Justification of a direct grant: Under the responsibility of the European Commission's responsible authorising officer, the grant may be awarded without a call for proposals to WINGS.

Under the responsibility of the European Commission's responsible authorising officer, the recourse to an award of a grant without a call for proposals is justified because WINGS is in a situation of de facto monopoly in compliance with Article 195 (c) of Regulation (EU, Euratom) 2018/1046. This is because WINGS represents a unique network that unites philanthropic actors from around the world on the issue of promoting effective and innovative philanthropy in cooperation. As such, WINGS is the only global platform of its kind with thematic, regional and national constituencies within philanthropic actors in civil society, in addition to membership and representation at high-level development dialogue processes.

4.2.1.4 Grant: (direct management): The International Organisation of Employers (IOE)

(a) Purpose of the grant(s): This FFPA and corresponding action grant aims to enhance the institutional and the operational capacity of the IOE to engage in policy debates through global exchange, to contribute to improved governance structures, as well as advocacy work through strengthened exchange of knowledge and experience between national employers' organisations at a regional and global level. It also aims to strengthen the capacity of employers' organisations who are members of the IOE and to improve dialogue with the EU on development issues and the 2030 Agenda.

(b) Type of applicants targeted: IOE is the International Organisation of Employers

(c) Justification of a direct grant: Under the responsibility of the European Commission's responsible authorising officer, the grant may be awarded without a call for proposals to IOE. Under the responsibility of the European Commission's responsible authorising officer, the recourse to an award of a grant without a call for proposals is justified because IOE is in a situation of de facto monopoly in compliance with Article 195 (c) of Regulation (EU, Euratom) 2018/1046. IOE being the global voice of business for labour and social policy matters at the international level is a unique international structure of more than 150 national representatives of employers' organisations in more than 140 countries. The organisation is present at the Council of Europe, where the IOE is a partner due to its presence in the Council of Europe's Governmental Committee of the Social Charter. It brings together the representative members for Africa, Asia, the Americas and Europe and Central Asia and enjoys specific working relations with the International Labour Organisation (ILO).

4.3.1.5 Grant (direct management): The International Trade Unions Confederation (ITUC)

(a) Purpose of the grant(s): This FFPA and corresponding action grant aims to contribute to a development paradigm based on social justice and sustainability, by improving trade union development cooperation effectiveness, increasing the influence of trade unions in development policies at national, regional and global levels, also trough enhanced social dialogue, and through coordination and partnership, advocacy and capacity development initiatives to strengthen the Trade Union Development Cooperation Network and the Regional Development Networks in Latin America, Africa and Asia, in terms of representation and coordination of their members. Activities will be defined according to regional and national contexts and needs. They will be implemented by the ITUC and the co-applicants (TUCA, ITUC-Africa and ITUC Asia and Pacific in their respective regions and for the activities that concern their respective Regional Development Networks).

(b) Type of applicants targeted: ITUC is the International Trade Unions Confederation

(c) Justification of a direct grant: Under the responsibility of the European Commission's responsible authorising officer, the grant may be awarded without a call for proposals to ITUC. Under the responsibility of the European Commission's responsible authorising officer, the recourse to an award of a grant without a call for proposals is justified because ITUC is in a situation of de facto monopoly in compliance with Article 195 (c) of Regulation (EU, Euratom) 2018/1046. ITUC has become in a unique trade union platform for development cooperation bringing together the affiliates of the regional organisations from Africa, America, Asia-Pacific and Europe, the European Trade Union Confederation (ETUC), the Trade Union Solidarity Support Organizations, the Global Union Federation, the Trade Union Advisory Committee of the OECD and other interested trade union-based organisations. ITUC

22

represents 200 million workers in 163 countries and territories and has 332 national affiliates. ITUC represents the workers organisation in the International Labour Conference.

4.3.1.6 Grant (direct management): The International Budget Partnership (IBP)

(a) **Purpose of the grant**(s): This Action supports IBP for its interventions in strengthening civil society's ability to analyze budgets, particularly in countries where the EU provides budget support, fostering high standards of transparency and accountability.

(b) **Type of applicants targeted**: CSOs active in public finance management

(c) **Justification of a direct gran**t: Under the responsibility of the European Commission's authorising officer responsible, the grant may be awarded without a call for proposals to IBP. International Budget Partnership (IBP) is a unique CSO platform offering training to local CSOs on how to read and monitor national budgets. The EU refers significantly to the "Open Budget Survey" and in particular the Open Budget Index (OIB) elaborated by IBP. Indeed, the index is indicated in European Commission Budget Support guidelines as a key source of information for assessing budget support eligibility criteria on transparency. In addition, it is used in the development of the Risk management framework and the budget support annual report highlights it as a key reference. Moreover, the Staff Working Document "Collect More Spend Better – Achieving Development in an Inclusive and Sustainable way[29]" foresees it as a key element of the "Improving transparency, accountability and oversight in domestic finance: support international and regional initiatives strengthening the capacities of civil society organisations in their work on domestic revenues, expenditures and illicit financial flows" agenda. The above conditions justify the fact that IBP is in a situation of de facto monopoly in compliance with Article 195(c) of Regulation (EU, Euratom) 2018/1046.

Component 3: Monitoring and Engagement System for an Enabling Environment

4.3.1.7 Grants (direct management): Monitoring and Engagement System

(a) **Purpose of the grant**(s): The purpose of the grant/-s is to establish a coherent Monitoring and Engagement System to strengthen the enabling environment for civil society in INTPA and NEAR partner countries, implemented through global and possibly regional civil society partners, contracted through a global Call for Proposals. The System will be launched in phases, in line with selection criteria[30].

(b) **Type of applicants targeted**: The component will be directly implemented by global and regional Civil Society Organizations and/or networks of Civil Society Organisations (applicant/co-applicants) in close cooperation with CSO partners at country level through the establishment of a network modality. The grant/-s may be awarded to sole beneficiaries and to consortia of beneficiaries (coordinator and co-beneficiaries).

4.3.2 Direct Management (Procurement)

Component 1: Policy Forum on Development

The Action will support a structured dialogue between the EU and the PFD members, the technical and logistical support for the organisation of multi stakeholder meetings (in situ and online) and research initiatives related to the implementation of the 2030 Agenda, EU policies and programmes and other topics on the international development agenda.

---

29 Commission Working Staff Document, Collect More Spend Better – Achieving Development in an Inclusive and Sustainable way SWD (2015) 198 final.

30 The system will not include countries where the enabling environment for civil society is deemed wholly unrestricted without need for intervention (CIVICUS INDEX "open") or where said environment is most severely restricted, preventing the implementation of core activities (CIVICUS INDEX "closed").

23

4.4 Scope of geographical eligibility for procurement and grants

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply, subject to the following provisions:

As applicant: the eligibility of organisations participating in procurement and grant award procedures under the action is restricted to organisations originating from:

I. Member States, beneficiaries listed in the relevant Annex to the IPA III Regulation and contracting parties to the Agreement on the European Economic Area;

II. Neighbourhood partner countries and the Russian Federation when the relevant procedure takes place in the context of the programmes referred to in Annex I of the NDICI-Global Europe regulation in which it participates;

III. developing countries and territories, as included in the list of ODA recipients published by the OECD Development Assistance Committee, which are not members of the G-20 group, and overseas countries and territories;

IV. developing countries, as included in the list of ODA recipients, which are members of the G-20 group, and other countries and territories, when the relevant procedure takes place in the context of an action financed by the Union under the Instrument in which they participate;

V. Countries for which reciprocal access to external funding is established by the Commission; that access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under the Instrument; the Commission shall decide on the reciprocal access and on its duration after consultation of the recipient country or countries concerned;

VI. Member countries of the OECD, in the case of contracts implemented in a LDC or a highly indebted poor country, as included in the list of ODA recipients.

The eligibility of organisations participating in FFPA grant award procedures under the action is restricted to representative regional and global umbrella organisations of CSOs and originating from the above-mentioned countries.

The Commission's authorising officer responsible may extend the geographical eligibility on the basis of urgency or of unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the eligibility rules would make the realisation of this action impossible or exceedingly difficult (Article 28(10) NDICI-Global Europe Regulation).

4.5 Indicative Budget

Indicative Budget components[31]	EU contribution 2021 (amount in EUR)	EU contribution 2022 (amount in EUR)	EU contribution 2023 (amount in EUR)	EU contribution 2024 (amount in EUR)	Total EU contribution 2021-2024 (amount in EUR)
Implementation modalities – cf. section0					
Objective 1/Output 1.1					

---

[31] N.B: The final text on audit/verification depends on the outcome of ongoing discussions on pooling of funding in (one or a limited number of) Decision(s) and the subsequent financial management, i.e. for the conclusion of audit contracts and payments.

24

(Component 1: Policy Forum for Development) *Composed of:*					
Procurement (direct management) – cf. section 04.3.2			2.700.000		2.700.000
Objective 1/ Outputs 1.2 (Component 2: Global CSO Partnership) *Composed of:*					
Grants (direct management) – cf. section **Error! Reference source not found.**4.3.1.1/4.3.1.2/4.3.1.3/4.3.1.4/4.3.1.5/4.3.1.6	5.950.000	66.398.873	3.950.000	950.000	77.248.873
Objective 2/Output 2.1 (Component 2: Monitoring and Engagement System for an Enabling Environment) *Composed of:*					
Grants (direct management) – cf. section 04.3.1.7		50.000.000			50.000.000
Grants – total envelope under section 0	5.950.000	116.398.873	3.950.000	950.000	127.248.873
Procurement – total envelope under section 4.3.2032			2.700.000		2.700.000
Evaluation – cf. section 0 **Audit** – cf. section 0	N/A [33] May be covered by action document 4 of this decision and /or by another decision				

---

[32] In order to avoid details on budgets for individual contracts, it is sufficient to indicate the total envelop for procurement. If budgets for individual contracts are public, tenderers tend to orientate themselves on them and not on the terms of reference.

[33] Where the action is not covered by a financing agreement (see section 0), but 'will be covered by another Decision' as it is unlikely that evaluation and audit contracts on this action would be concluded within N+1. These contracts have to be authorised by another Financing Decision.

25

Totals:	5.950.000	116.398.873	6.650.000	950.000	129.948.873

4.6 Organisational Set-up and Responsibilities

Component 1: The Policy Forum on Development

DG INTPA is in charge of the overall organisation of the Forum and ensuring the smooth undertaking of activities, in close cooperation with all parties involved, through adequate joint agenda-setting and co-chairing mechanisms. As such, DG INTPA maintains overall responsibility for the technical and logistical support facility to assist in the implementation of the PFD work programme. Consultations within the Forum will be done in close collaboration with relevant DG INTPA thematic and geographic units, DG NEAR, the EEAS and the involvement of other Commission services, EU institutions as well as EU Member States as appropriate. A PFD "Task Team" composed of CSOs and LAs members will maintain communication with PFD members between meetings, undertake preparatory work and meet regularly with staff from relevant DG INTPA and other Commission services. The PFD is co-chaired by DG INTPA and two co-chairs representing CSOs and Local Authorities

The Commission will co-chair PFD meetings and convene and chair PFD Task Team meetings. It will maintain overall responsibility for the technical and logistical support facility to assist in the preparation and implementation of activities of the PFD.

Component 2: Global Partnerships with Civil Society

The activities will be managed by European Commission Headquarters.

Component 3: Monitoring and Engagement System for an Enabling Environment

The initiative is to be implemented through a Call for Proposal. It is foreseen that at minimum one global and/or regional partner(-s), working with and through technical and regional co-applicants will be contracted. A consortium of applicants will be encouraged. The initiative will entail forwarding funds to third parties through the set-up of a global network of partner country CSOs, as well as through a flexible support mechanism and long-term grants for capacity building. The Commission will seek to ensure a high level of participation of local, regional and global stakeholders in the design of the initiative, as well as a high level of engagement and inclusion of EU Delegations in respective partner country and participation in the global governing board (or equivalent structure) of the initiative.

The Commission will participate in the global governing board of the initiative.

5. PERFORMANCE MEASUREMENT

5.1 Monitoring and Reporting

A survey to collect baseline and end-line data from EU Delegations will be part of the monitoring of this Action as well as Action 2 under the same MAAP 2021-2024. The surveys will be conducted by the responsible unit G2.

The day-to-day technical and financial monitoring of the implementation of all components under this action will be a continuous process, and part of the implementing partner's respective responsibilities (all Components). To this aim, each implementing partner shall establish a permanent internal, technical and financial monitoring system for the action and elaborate regular progress reports (not less than annual) and final reports. Every report shall provide an accurate account of implementation of the action, difficulties encountered, changes introduced, as well as the degree of achievement of its results (Outputs and direct Outcomes) as measured by corresponding indicators, using as reference the logframe matrix (for project modality) and the partner's strategy, policy or reform action plan list (for budget support). The Commission may undertake additional project monitoring visits both through its own staff

26

and through independent consultants recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).

5.2 Evaluation

Having regard to the nature of the action, a(n) evaluation(s) may be carried out for this action or its components via independent consultants. The evaluation reports may be shared with the partners and other key stakeholders following the best practice of evaluation dissemination[34]. The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate, apply the adjustments necessary.

5.3 Audit and Verifications

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audit or verification assignments for one or several contracts or agreements.

The financing of these audits shall be covered by Action Document 4 – Support measures, constituting of this Decision.

6. STRATEGIC COMMUNICATION AND PUBLIC DIPLOMACY

The 2021-2027 programming cycle will adopt a new approach to strategic communication and public diplomacy activities.

It will remain a contractual obligation for all entities implementing EU-funded external actions to inform the relevant audiences of the Union's support for their work by displaying the EU emblem and a short funding statement as appropriate on all communication materials related to the actions concerned. This obligation will continue to apply equally, regardless of whether the actions concerned are implemented by the Commission, partner countries, service providers, grant beneficiaries or entrusted or delegated entities such as UN agencies, international financial institutions and agencies of EU member states.

However, action documents for specific sector programmes are no longer required to include a provision for communication actions promoting the programmes concerned. Instead, all strategic communication and public diplomacy activities carried out at country level will be resourced through Cooperation Facilities established by support measure action documents, allowing Delegations to plan and execute multiannual strategic communication and public diplomacy actions with sufficient critical mass to be effective on a national scale.

Regional and global strategic communication funds and activities will be managed from headquarters.

---

34 See best practice of evaluation dissemination

27

APPENDIX 1 REPORTING IN OPSYS

An Intervention[35] (also generally called project/programme) is the operational entity associated to a coherent set of activities and results structured in a logical framework aiming at delivering development change or progress. Interventions are the most effective (hence optimal) entities for the operational follow-up by the Commission of its external development operations. As such, Interventions constitute the base unit for managing operational implementations, assessing performance, monitoring, evaluation, internal and external communication, reporting and aggregation.

Primary Interventions are those contracts or groups of contracts bearing reportable results and respecting the following business rule: 'a given contract can only contribute to one primary intervention and not more than one'. An individual contract that does not produce direct reportable results and cannot be logically grouped with other result reportable contracts is considered a 'support entities'. The addition of all primary interventions and support entities is equivalent to the full development portfolio of the Institution.

Primary Interventions are identified during the design of each action by the responsible service (Delegation or Headquarters operational Unit).
The level of the Primary Intervention is defined in the related Action Document and it is revisable; it can be a(n) (group of) action(s) or a (group of) contract(s).

Tick in the left side column one of the three possible options for the level of definition of the Primary Intervention(s) identified in this action.
In the case of 'Group of actions' level, add references to the present action and other action concerning the same Primary Intervention.
In the case of 'Contract level', add the reference to the corresponding budgetary items in point 0, Indicative Budget.

Option 1: Action level		
☐	Single action	Present action: all contracts in the present action
Option 2: Group of actions level		
☐	Group of actions	Actions reference (CRIS#/OPSYS#): <Present action> <Other action>
Option 3: Contract level		
☒	Single Contract 1 Several contracts	<foreseen individual legal commitment (or contract)>
☐	Single Contract 2	<foreseen individual legal commitment (or contract)>
☐	Single Contract 3	<foreseen individual legal commitment (or contract)>

---

35 ARES (2021)4204912 - For the purpose of consistency between terms in OPSYS, DG INTPA, DG NEAR and FPI have harmonised 5 key terms, including 'action' and 'Intervention' where an 'action' is the content (or part of the content) of a Commission Financing Decision and 'Intervention' is a coherent set of activities and results which constitutes an effective level for the operational follow-up by the EC of its operations on the ground. See more on the concept of intervention.

28

☐	Group of contracts 1	\<foreseen individual legal commitment (or contract) 1\>
		\<foreseen individual legal commitment (or contract) 2\>
		\<foreseen individual legal commitment (or contract) #\>

29