

# Fragility assessments, indicators, transition compact of the



**NEWDEAL**  
BUILDING PEACEFUL STATES

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January 29th, 2013***

# Fragile and conflict affected states

*Definition of fragile states (OECD, 2012)*

- weak capacity to carry out basic governance functions,
- lacks the ability to develop mutually constructive relations with society.
- more vulnerable to internal or external shocks such as economic crises or natural disasters.

*More resilient states:*

- capacity and legitimacy of governing a population and its territory.
- can manage / adapt to changing social needs and expectations , shifts in elite and other political changes

***Fragility and resilience should be seen as shifting points along a spectrum***

# The New Deal

- *Fragile states, grouped in the g7+ and Donor community - set an International Dialogue on Peacebuilding and Statebuilding*
- *4th High Level Forum on Aid Effectiveness (Busan, 2011) launched the New Deal - a new way of engaging in fragile states, with the statebuilding and peacebuilding at the core*

# The 3 pillars of the New Deal ...

<b>PSGs</b> <i>5 Peacebuilding and Statebuilding Goals</i>	<b>FOCUS</b> <i>terms of engagement</i>	<b>TRUST</b> <i>commitments for results (donors)</i>
<p><b>Inclusive politics</b> Foster inclusive settlements and conflict resolution</p> <p><b>Security</b> Establish and strengthen people's security</p> <p><b>Justice</b> Address injustices and increase people's access to justice</p> <p><b>Economic foundations</b> Generate employment and improve livelihoods</p> <p><b>Revenues and services</b> Manage revenues and build capacity for accountable and fair service delivery</p>	<p><b>Fragility assessment</b></p> <p><b>One vision, one plan</b></p> <p><b>Country compact</b></p> <p><b>Use the PSGs to monitor</b></p> <p><b>Support political Dialogue</b></p>	<p><b>Transparency</b></p> <p><b>Risk sharing &amp; risk management</b></p> <p><b>Use &amp; strengthen country systems</b></p> <p><b>Strengthen capacities</b></p> <p><b>Timely and predictable aid</b></p>

## New Deal Pilot countries and other g7+ member countries



Colour coding: **New deal Pilot countries**, **Possible New Deal Pilot Countries**, **Other New Deal countries (members of g7+)**

# Current status of ND implementation

*Fragility assessment, spectrum, country level indicators drafted:*

- **DRC, Sierra Leone, South Sudan, Timor Leste, Liberia**
- **CAR (?), Somalia is "planning"**

*Next steps:*

- **Agreement on g7+ shared indicators by March 2013 to inform the post-2015 agenda**
- **For these countries: Prioritisation, Transition Compacts and country level implementation**

Pilot Country	Partner
Afghanistan	UK, Netherlands, Denmark
Central African Republic	France, EU
DRC	?
Liberia	Sweden, USA
Sierra Leone	UK, Germany (tbc)
South Sudan	Denmark, UK, Netherlands
Timor Leste	Australia+...?
<b>Not "official" pilot,</b>	<b>but advancing rapidly!</b>
Somalia	Norway +...?

# The New Deal process

## Outputs

Fragility assessment workshop  
Analysing the country's fragility  
along the 5 PSGs and  
their sub-dimensions

Fragility assessment  
text

Fragility spectrum

Draft Indicators

Agree on  
priorities  
and form  
a  
Transition  
compact

Help: Guidance how to conduct  
a fragility assessment

Help: Menu of indicators  
(indicators working group)



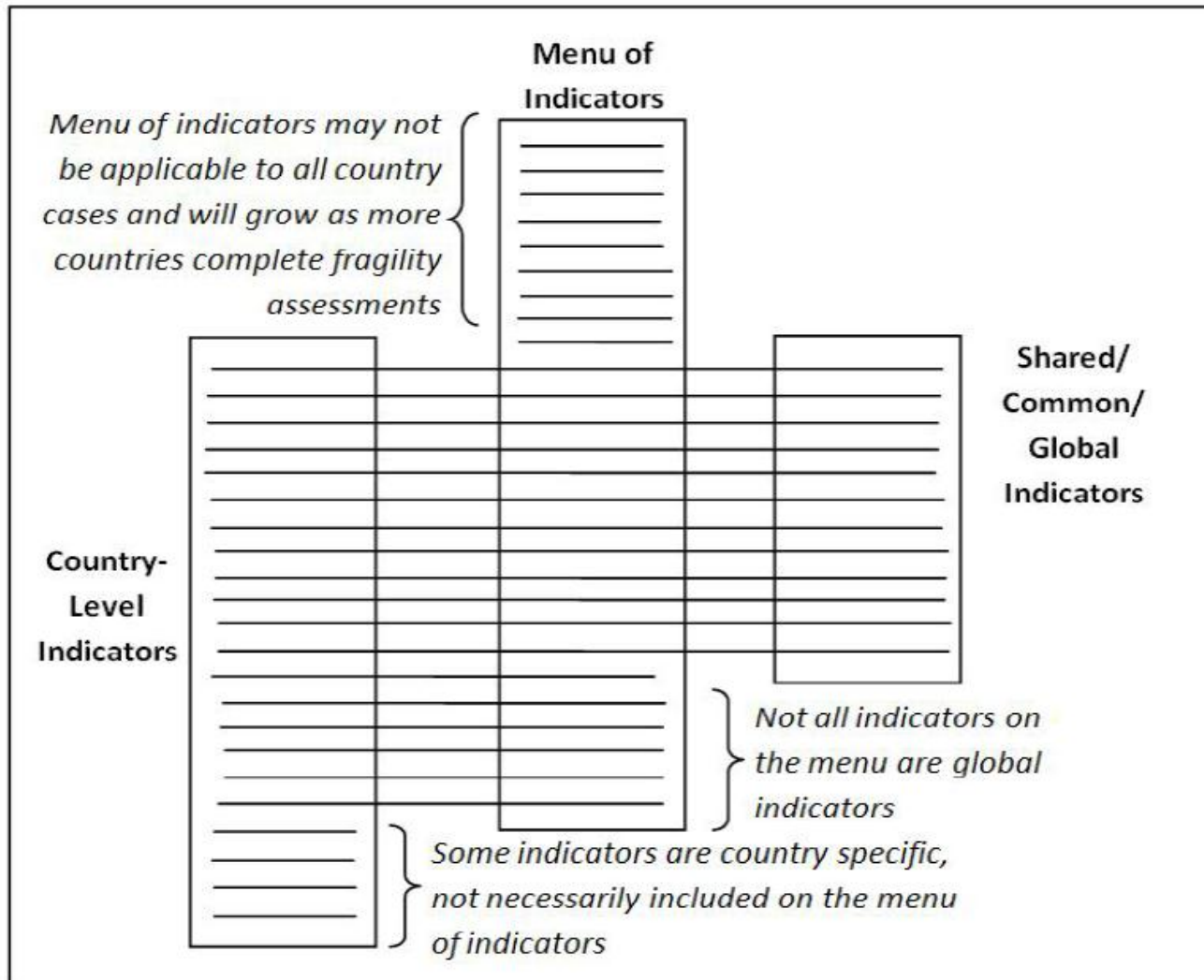
# Fragility assessment and spectrum

## DRC example

PSG	Phase 1 Crisis	Phase 2. Rebuild &reform	Phase 3. Transition	Phase 4. Transfor mation	Phase 5. Resilience
Inclusive politics		2,2			
Security	1,9				
Justice	1,5				
Economic foundations		2			
Revenues and Services	1,7				

# Fragility spectrum with subdimensions

PSG	PSG Dimensions	Stage 1	Stage 2	Stage 3	Stage 4	Stage 5
Legitimate Politics	Political settlement					
	Political processes and institutions					
	Societal relationships					
Security	Security Conditions					
	Capacity of institutions					
	Performance of institutions					
Justice	Justice conditions					
	Capacity of institutions					
	Performance of institutions					
Economic Foundations	Economic conditions					
	Jobs, livelihoods and private sector development					
	Exploitation of natural resources					
Revenue & Services	Revenue generation					
	Public administration					
	Service delivery					



# Criteria for selection of New Deal indicators

1. avoid duplicating MDGs
2. selected based on baseline data collection capabilities
3. reinforce statistical capacity in countries
4. data collection methods should be reliable and transparent.
5. simple, relevant and practical.
6. adaptable to norms and traditions of the country

# Criteria for New Deal indicators

7. demonstrate changes in both government capacity and performance (e.g. a mix of input/output and outcome/impact indicators).
8. also capture population views of progress
9. disaggregated by gender, region and identity group.
10. should reflect short-term progress as well as longer-term institutional reform, consistent with the concept of the fragility spectrum

# The process

*The New Deal indicators working group (ND IWG)*

*-consists of g7+ group representatives + donor countries*

*-draft "menu of indicators" aiming to cover all aspects of fragility are available, but still to be fine-tuned*

*--aims to finish its work by latest May /April 2013, in order to be able to feed in the indicators into **the post-2015 process** – especially **indicators on peace, security, governance and justice***

*-"country-specific indicators" are developed in the course of the ND implementation*

# Indicator Examples: Inclusive politics

Note: examples only, list is not comprehensive

## *1.1 Political Settlement*

**Diversity in representation (by gender, region and social groups) in key-decision making bodies (legislature, government, military, judiciary)**

**Perception of representation (and its effectiveness) in government**

## *1.2 Political Processes and Institutions*

***Participation in elections and political processes by region, gender and social groups***

## *1.3 Societal Relationships*

***Number of intra-group disputes that produce violence***

Note: examples only, list is not comprehensive

# Security

## *2.1 Security Conditions*

- Violent deaths per 100,000 population (including homicides, mob violence, violence against civilians)**
- Incidence of rape and sexual violence**
- % of people that feel safe (perception survey)**

## *2.2 Capacity and Accountability*

- Timely payment of police salaries**
- Recruitment practices and vetting processes**

## *2.3 Performance and Responsiveness*

***Level of confidence in police/security (%,  
disaggregated by gender, region, social  
group)***



Note: examples only, list is not comprehensive

# Justice

## *3.1 Justice Conditions*

- % of victims who reported crimes to the authorities***
- Public confidence in the performance of justice systems (formal and customary) including human rights mechanisms***

## *3.2. Capacity and Accountability of Justice Institutions*

**Prison population in pre-trial detention past the legal limitation**

## *3.3 Access to Justice*

***Proximity to formal and customary justice institutions to the public (basket indicators)***

Note: examples only, list is not comprehensive

# Economic Foundations

## *4.1 Productive Resources and Prospects for Growth*

**% of population with access to useable and serviceable transport networks, communication, water and energy (multiple indicators)**

## *4.2 Jobs, Livelihoods and Private Sector Development*

**% of labour force under- and unemployed (by youth, gender, region)**

## *4.3 Natural Resource Management*

**Existence and enforcement of regulatory framework for natural resource management**

# Revenues and Services

## 5.1 Revenues

Note: examples only, list is not comprehensive

***State monopoly and capacity to collect and administer tax, customs and fees across the territory***

***Tax revenue as a share of GDP***

## 5.2 Public Administration

***Quality of public financial management and internal oversight mechanisms (indicators from PEFA)***

## 5.3 Service Delivery

**Distribution of services by region and social group**

**Public satisfaction with service delivery**

# THANK YOU