

Training course on
fragility, security and development
in the context of EU External Action

27 - 30 May 2013

External assistance instruments deployed
in situations of crisis and fragility

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Fragility & crisis management

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- I – External Assistance Financial Instruments**
- II – Programing cycle
- III – Implementation of External Aid
- IV – Mobilising our instruments flexibly in situation of crisis/ fragility

Instruments - geographic (**DEVCO**)

Pre-accession: **IPA**, Instrument for Pre-accession Assistance
Greenland Instrument

Industrialised c.: **ICI**, Instrument for industrialised and
high-income countries

Neighbourhood: **ENPI**, European Neighbourhood and
Partnership Instrument

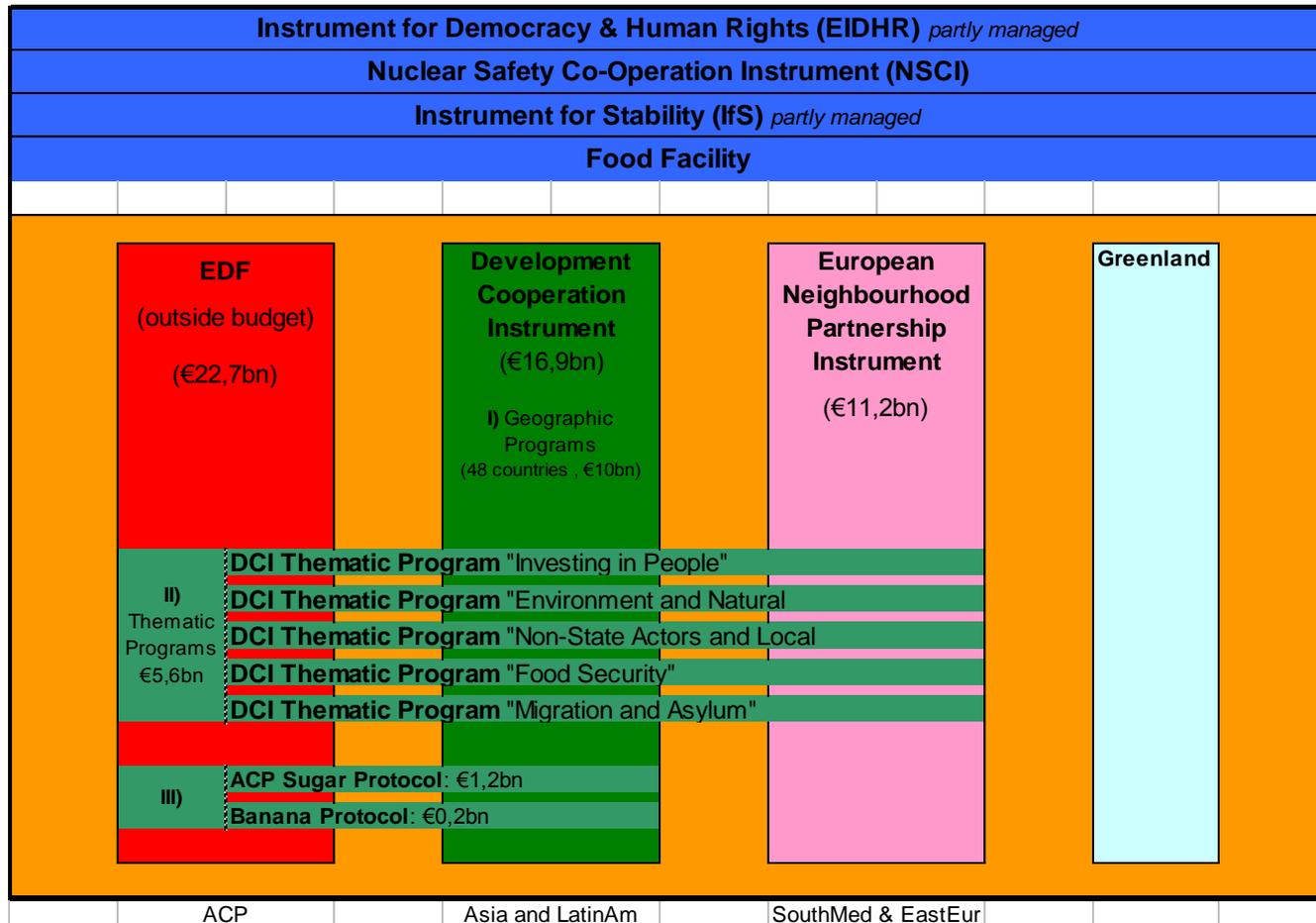
Development: **DCI**, Development Co-operation
Instrument (*Geographic programmes*)

Asia/Gulf - Latin America - Rep. South Africa

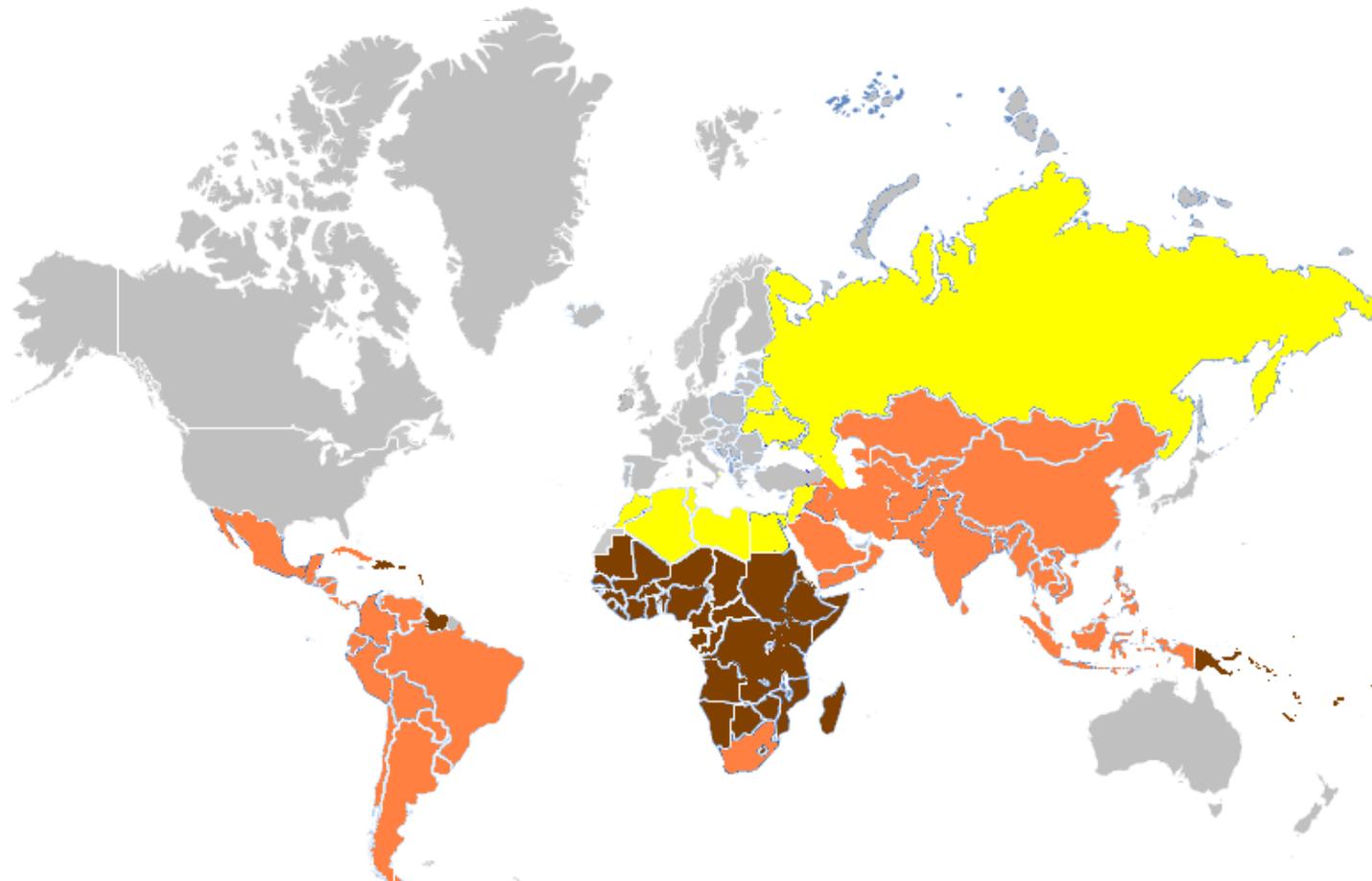
EDF, European Development Fund

For ACP countries (excl. RSA)

Current instruments - DEVCO



Geographical Coverage



-  **Neighbourhood and Partnership Instrument**
-  **Development Cooperation Instrument**
-  **European Development Fund**



European

European Neighbourhood and Partnership Instrument





European

EDF - Africa



NB: Less South Africa



European

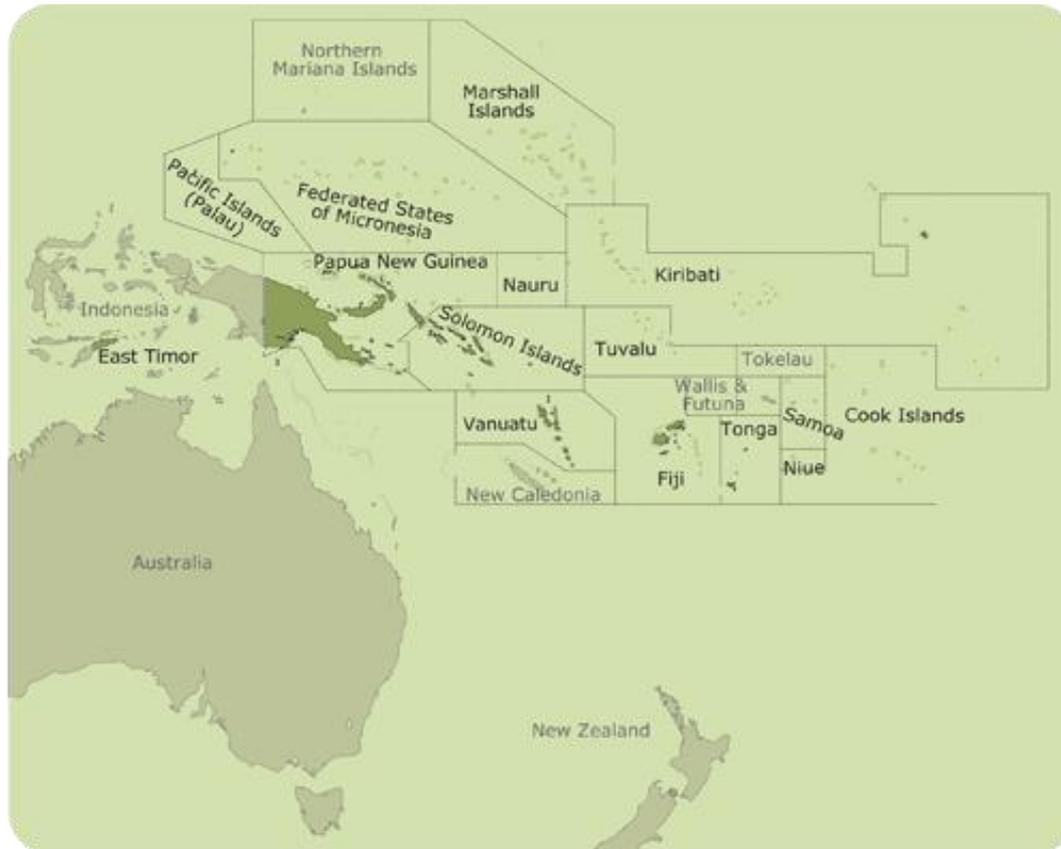
EDF - Caribbean





European

EDF - Pacific





European

DCI -Geographic programmes - Asia & Central Asia



NB: Less Timor Leste



European

DCI -Geographic programmes - Gulf region





European

DCI -Geographic programmes - Latin America



Instruments - Thematic

Human Rights: **EIDHR**, European Instrument for the promotion of Democracy and Human Rights

Nuclear Safety: **NSCI**, Nuclear Safety Co-operation Instrument

Stability: **IFS**, Instrument for Stability (programmable part -> DEVCO)

[Food facility]

General: **DCI** Thematic programmes

- Investing in people
- Environment
- Non State Actors / Local Authorities
- Food security
- Migration / asylum

[- Sugar]

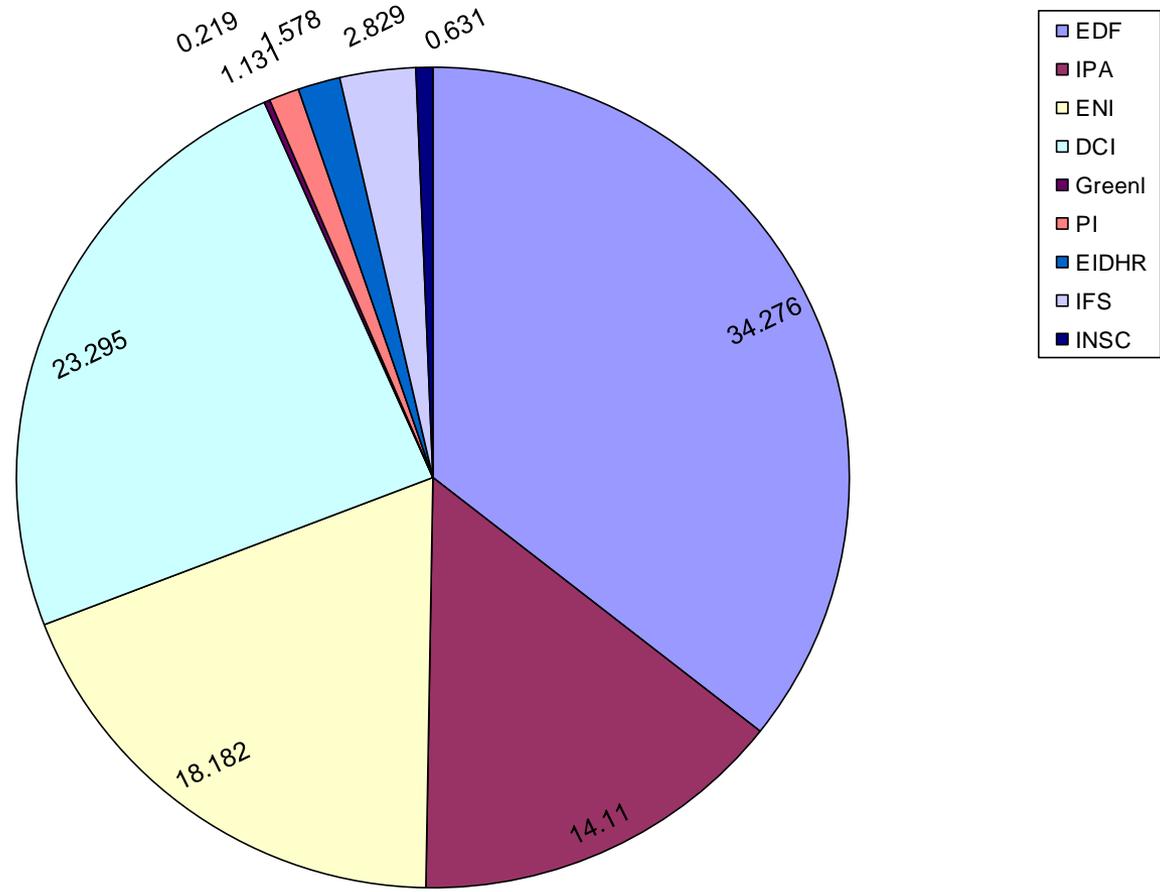
[Bananas]

MFF 2014-2020 Heading IV – External Relations

Comprehensive package : total **96,251 M€** (incl. EDF) 7.12.2011
Furthering reforms MFF 2007-13 (streamlining/reducing No)
Opportunities of Lisbon Treaty (EEAS, decision procedures)
Communications: 7.12.2011

- Global Europe
- EDF11 (draft internal agreement),
- proposals for regulations of 8 financing instruments, and
- a Common Implementing Regulation (all but Greenland & EDF)
 - => harmonisation /simplification
 - => flexibility (ex-post comitology)

Budget & EDF 2014-2020
Billion EUR



DCI Proposal 2014-2020

Differentiation (no upper MIC except Cuba & RSA; all OK regional)

Simplification of thematic programmes

Provisions for fragility/crisis

- Art 12- prg in F/C situations -> **themes** (vulnerability, conflict/reconcil; LRRD, disaster, etc.) & **procedures (unalloc)**
- Art 14(3) – modific. prg grounds of urgency (expost comitology).

IfS Proposal 2014-2020

Three objectives

- (emerging) crises, swift contribution to stability $\geq 65\%$
- prevention conflict, preparedness, peace-building
- global and trans-regional threats (incl. CC)

[Subsidiarity, not country specific, catalytic, not bound to ODA]

EDF 11 Proposal 2014-2020

- NB1: importance of security –fragility – development nexus in 2nd revision of Cotonou.
- [NB2: Peace facility in envelope intra-ACP EDF10]
- Alignment of timeframe, contribution keys on budget; harmonisation of IR => pave way for possible budgetisation beyond 2020
- Fragility/crises: Regional **B-envelopes**, **shock absorbing** measures, **Art 72-73 revised** for faster interventions/LRRD; includes **fragility**; grounds for **ad hoc reviews** extended.
- Internal agreement; Implementing Regulation, Financial Protocol; Entry into force when revised Cotonou is ratified by 2/3 ACP & all EU MS => bridging?
- 34,7 bln of which 32,2 for geo envelopes (27,6 nat/reg; 4,0 intra) & 2,5 interest subsidies for Investment Facility => **30.5 bln agreed**

MFF 2014-2020

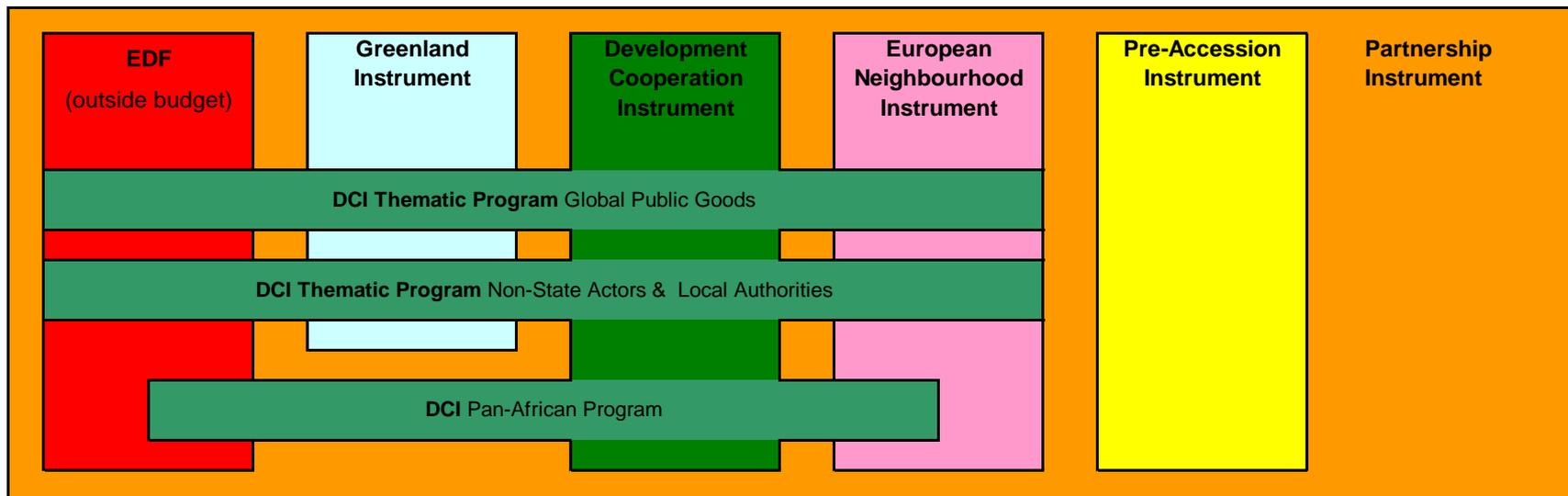
Heading IV Instruments

Package adopted on 7 / 12 / 2011 (€ 96,2 bn in current price - 85%)

Instrument for Democracy & Human Rights

Instrument for Stability

Instrument for Nuclear Safety



Common Implementing Regulation

Other instruments NOT in the package 7 / 12 / 2011 (€ 17,2 bn in current price - 15 %)

HUMA, CFSP, MACRO, EVHAC, CPFI, GUARANTEE FUND, AGENCIES, MARGIN

Commission:

EuropeAid Development and Cooperation DG responsible for designing development policy and delivering development aid throughout the world

EEAS:

European External Action Service is aimed at making the EU's external action more coherent and efficient, thereby increasing the EU's influence in the world

Management:

Programmable instruments (components of) - EuropeAid

Non Programmable instruments (components of) ECHO & EEAS/FPI



European

Directorate General for Development and Cooperation - EuropaAid

Version 15/06/2013

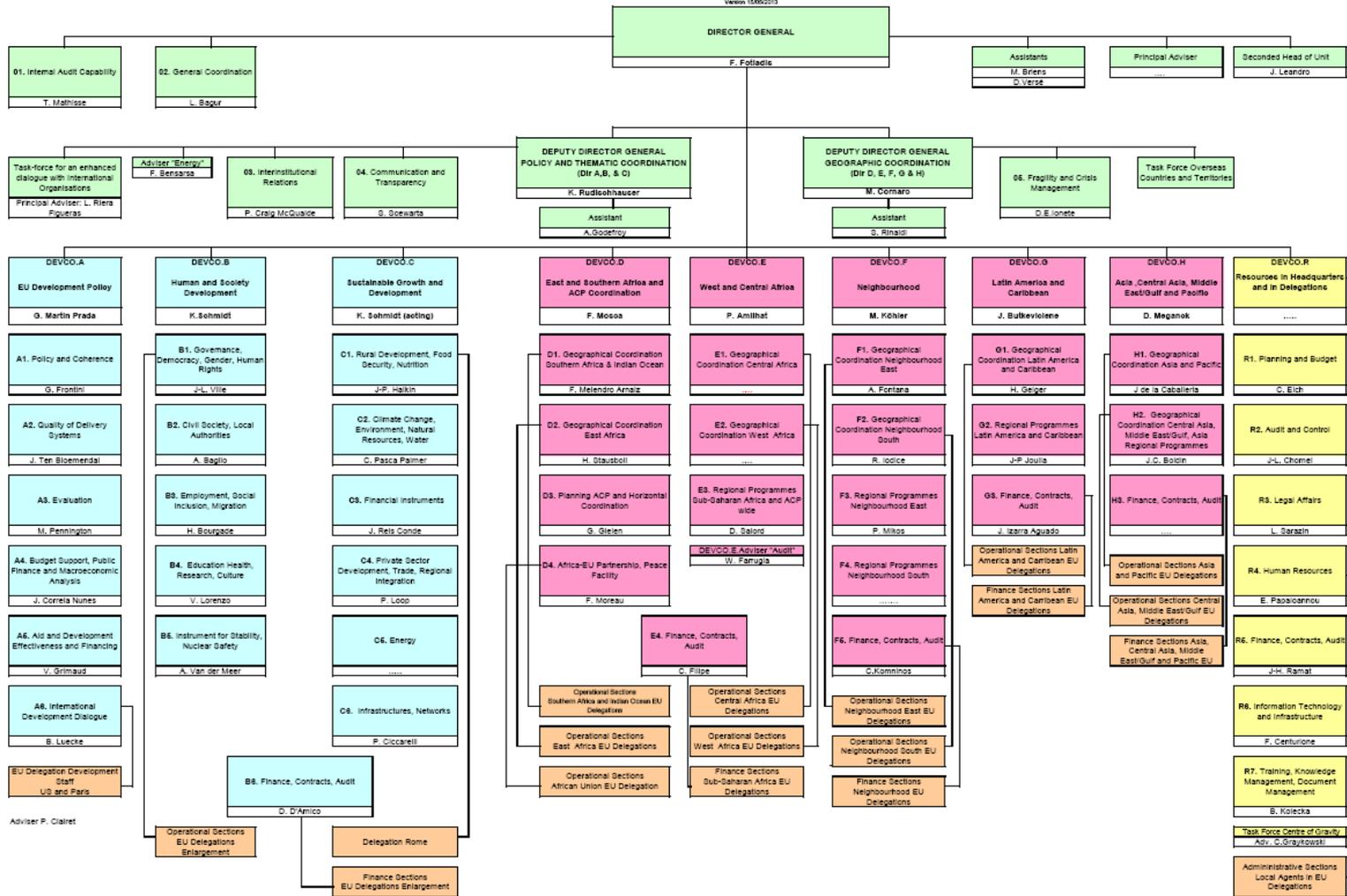


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Division of responsibilities within the project cycle

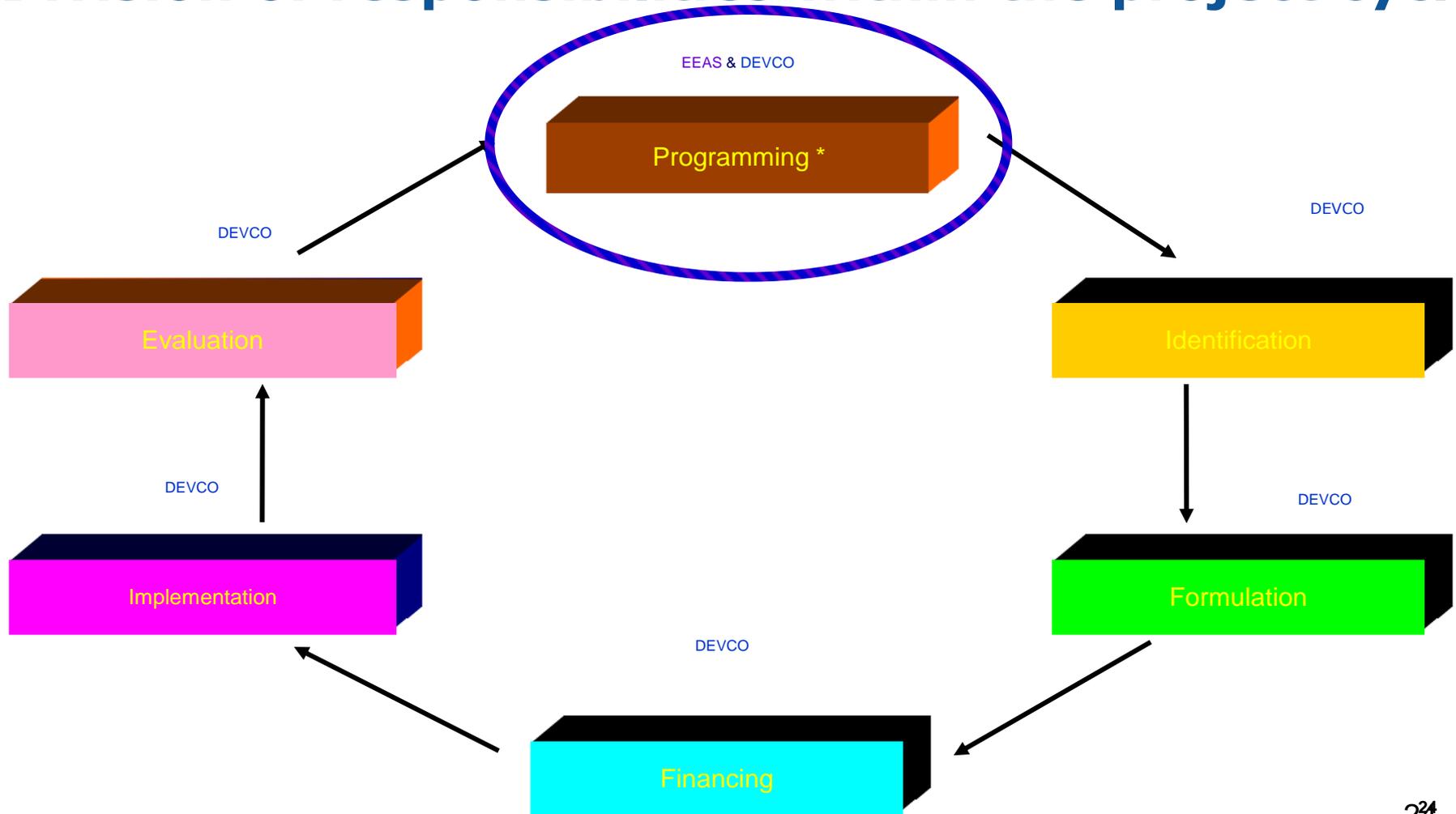


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Aid Modalities

- **Budget support:** Transfer of EU funds to the national national budget of the partner country:
 - *Sectoral or General*

- **Project Approach:** supports the resolution of specific problems financing is channelled through donor procedures or country procedures. Two main modalities:
 - *Procurement (services, supplies, works)*

 - *Grants*

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What do we mean by “crisis”?

Different terminology under EU law applicable to **General Budget**:

- « *Crisis situation* » defined in the legal texts (*Rules of application/implementing rules of the FR*)
- *Article 3 of Instrument for Stability refers to “crisis and emerging crisis”*

But same concept: **impossibility or great difficulty to implement normally co-operation & development policies due to extraordinary circumstances destabilising a country/region.**

It may also cover countries with long term structural instability / protracted crises (or « **fragility** »).

+/- Equiv. in Art 72 to 73 of Cotonou Agreement

What do we mean by “crisis”?

Article 190(2) RAP of the New FR:

Crisis situations in third countries shall be understood as situations of **immediate or imminent** danger threatening to **escalate into armed conflict or to destabilise the country**. Crisis situations shall also be understood as situations caused by **natural** disasters, **manmade** crisis such as wars and other conflicts or extraordinary circumstances **having comparable effects** related inter alia to **climate change, environmental degradation, privation of access to energy and natural resources or extreme poverty**

There are therefore elements of appreciation

What do we mean by “crisis”?

Qualification of crisis **is not focused on its causes**: man-made disasters (armed conflict, revolution...) or natural disasters (flood, drought, tsunami, earthquake...) or other extraordinary circumstances, but on the **consequences**

Crisis has **no limited duration** (as long as normal cooperation & development policies cannot resume normally).

Can be **sub-national**

Possible actions

Suspension (full or partial) of EU assistance – ENPI, DCI, Cotonou

Ad hoc Review of strategy papers

Launching new measures: Special programmes,

Special measures, Ad-hoc decisions

Decision to replace the Contracting Authority

Lighter decision-making process in future (e.g. ex post comitology)

Adapting on-going operations (flexibility built-in AAP/financing decisions)

Flexibility at implementation level, including flexible procedures

Practical Guide on Contractual Procedures (PRAG)



- **Single document** containing the legal framework for procurement and grants + templates
- Applicable to **EDF and BUDG** instruments
- **Annex a11a on Crisis Situation**: describe, amongst others, how a crisis situation may be declared and the applicable flexible procedures

http://ec.europa.eu/europeaid/work/procedures/implementation/practical_guide/index_en.htm

Using efficiently EU guidance

Programming guidelines

Budget support-fragile states guidance

Flexible procedures in situation of crisis

Upcoming guidance on conflict analysis/mainstreaming, on security-development

Upcoming LRRD operational guidance

Thanks!

