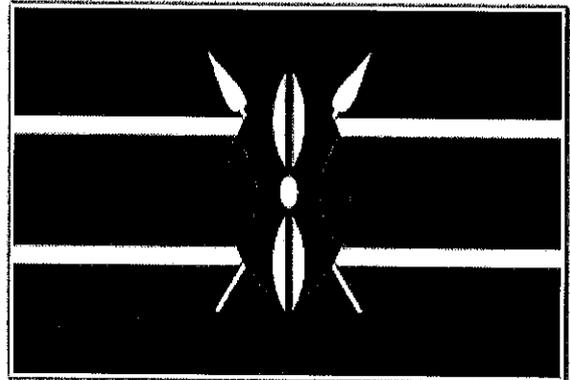
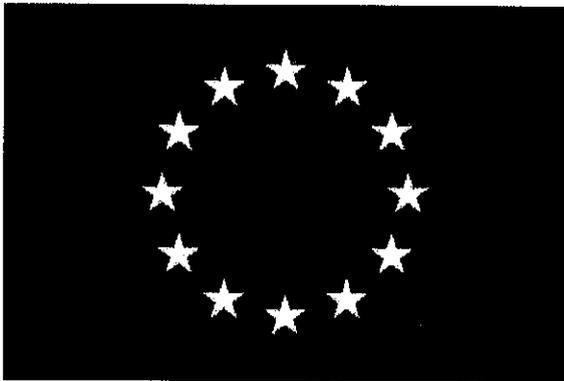


EU ‘+’ Joint Cooperation Strategy for Kenya

Guiding Principles



Nairobi, 9 December 2013

JOINT PROGRAMMING FOR EU+ IN KENYA

EUROPEAN UNION AND MEMBER STATES

Endorsement of Guiding Principles

Introduction

The EU+ group discussions on Joint Programming (JP) for Kenya to date have culminated in the setting of Guiding Principles for the process that remains ahead.

The Guiding Principles cover the five themes of JP: Division of Labour (DoL), Using Country Systems (UCS), Joint Monitoring; Role of the Government of Kenya (GoK) and Joint Communication and Visibility.

General issues

1. Aid in Kenya is fragmented. Fragmentation is prominent among EU+ because initiatives are spread across many sectors and thematic areas with numerous projects, and in the absence of a common framework ensuring division of labour and complementarity.
2. There has not been a coherent DoL in Kenya hitherto. The JP process is an opportunity to work gradually towards a meaningful DoL framework.
3. There is also low use of country systems in Kenya due to high fiduciary risks. Expanding the use of country systems would depend to a considerable extent on improvements in public financial management and accountability frameworks.

Overall Objective

4. Develop a Joint EU+ Cooperation Strategy which shall take the shape of a Joint Response document and an accompanying Road Map in support of the 4 year 2013-2017 MTP-2 cycle.
5. Joint programming is seen as a long-term process, which will be implemented first during MTP-2 and will be further developed later based on the lessons learnt and results of this first implementation phase.

Theme Area; Division of Labour (DoL)

6. The EU+ group acknowledges that DoL in Kenya must build on the existing aid flows and aim at improving their effectiveness. There has been a historical progression of support and there is a large volume of on-going EU+ development assistance and commitments.
7. There is a commitment to improve DoL through a gradual process exploring new forms of joint collaboration.
8. DoL will be considered in two phases: a *short-term phase* covering this first JP exercise and corresponding to the MTP-2 2013-2017 cycle, and a *longer-term phase*,

extending beyond the existing Medium Term Plan, which can further rationalise EU+ development cooperation accounting for changing circumstances and development needs in Kenya.

9. In the short-term, DoL shall be used to define the EU+ response to the MTP II and focus on the design of "frontrunner" joint projects/programmes. These joint interventions can be planned under a common aid instrument (transfer/delegated agreement, basket fund etc.) or by using individual donor mechanisms under a single programme design, management and evaluation structure.
10. Sector definitions for DoL shall align with the structure of the Medium Term Expenditure Framework.

Theme: Using Country Systems (UCS)

11. EU+ recognises the need to work towards strengthening country systems along the lines of the commitments made in Busan. However, there needs to be renewed assurances and efforts on the part of GoK on mutual accountability.
12. As in the case of DoL, UCS is a gradual process. This is a mid-term commitment which can first progress over the implementation of MTP 2.
13. In the short-term, EU+ commits to ensuring that the necessary information on planned expenditure and actual disbursements is made available to Treasury. This should enable GoK to report development aid on budget, and to include it in the national planning and budgeting processes as well as in the appropriations enacted by Parliament. Thus, EU+ aid shall be reflected in the national planning, MTEF and budget documentation, and in the *Appropriations-in-Aid* figures of Kenya as appropriate.
14. Support to the strengthening of country systems in Public Financial Management, monitoring and evaluation frameworks, and public management in general will be provided through technical assistance and other mechanisms as appropriate.
15. In the long-term, assuming systemic improvements and increased accountability, broadening USC will be considered.

Theme: Joint Monitoring Process

16. The EU+ group shall establish a joint monitoring tool with practical value for the country offices. The tool will monitor the state and trends of fragmentation of EU+ aid, the volume of aid delivered through common instruments or joint implementation mechanisms, and UCS. Information and data produced thus far shall be the baseline.
17. An Annual Review process, to be organised by a member of the EU+ group on a rotating basis, shall be used to monitor progress.
18. The Mutual Accountability Framework of the Aid Effectiveness Group, and the Monitoring Framework of the Global Partnership for Effective Development Cooperation agreed in Busan would continue to guide overall performance by EU+ and Kenya.

Theme: Role of the Government of Kenya

19. The EU+ group shall jointly present to GoK the scope and potential benefits from JP.
20. The MTP-2 is agreed as the formal point-of-entry to engage GoK in the JP process.
21. The Aid Effectiveness Group should be regularly updated on the JP process and consulted to explore avenues for involvement of GoK and non-EU DPs.

Theme: Joint Communication & Visibility

22. The EU+ group recognises that joint Communication and Visibility is a critical part of JP.
23. The options for a joint communication and visibility tool shall be explored in the immediate future.
24. The possibility of presenting EU+ aid as one block in the standardised and routine reporting of the External Resources Department (National Treasury) will be explored on a case-by-case basis.

Concluding statement

25. Today on the 9th day of December 2013, the EU+ group agrees to the aforementioned Guiding Principles and pledges to continue participating in, and facilitating the Joint Programming for Kenya.

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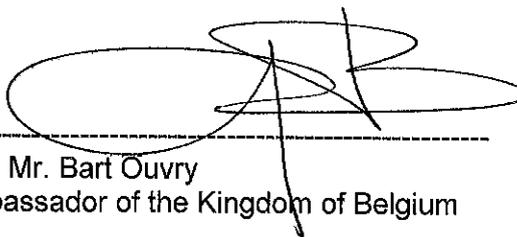
List of Signatures

Austria



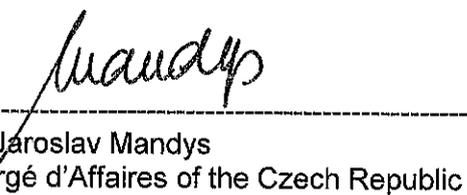
H.E. Mr. Christian Hasenbichler
Ambassador of Austria

Belgium



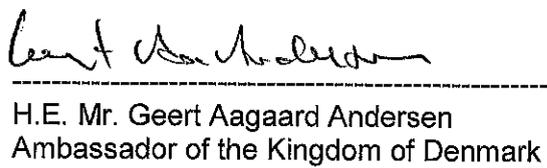
H.E. Mr. Bart Ouvry
Ambassador of the Kingdom of Belgium

Czech Republic



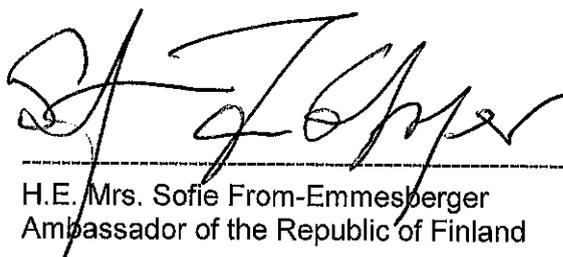
Mr. Jaroslav Mandys
Chargé d'Affaires of the Czech Republic

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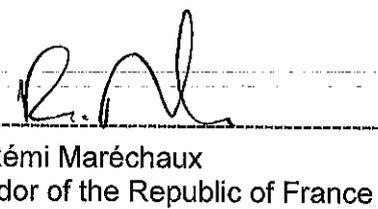
H.E. Mr. Geert Aagaard Andersen
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Finland



H.E. Mrs. Sofie From-Emmesberger
Ambassador of the Republic of Finland

France



H.E. Mr Rémi Maréchaux
Ambassador of the Republic of France

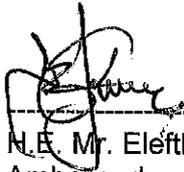
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Germany

for 
H.E. Mr. Andreas Peschke
Ambassador of the Federal Republic of Germany

Greece


H.E. Mr. Eleftherios Kouvaritakis
Ambassador of the Hellenic Republic

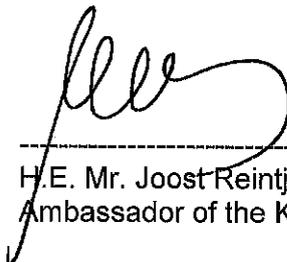
Hungary


H.E. Mr. Sandor Kocsis
Ambassador the Republic of Hungary

Italy


H.E. Mr. Mauro Massoni
Ambassador of the Republic of Italy

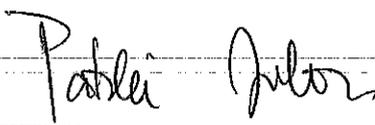
Netherlands


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H.E. Mr. Marek Ziolkowski
Ambassador of the Republic of Poland

Romania


H.E. Mrs. Iulia Pataki
Ambassador of Romania

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Slovakia



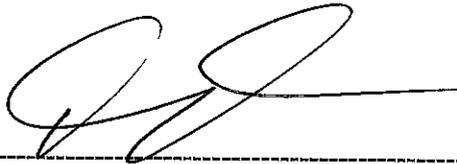
for H.E. Mr. Michal Mlyňár
Ambassador of the Slovak Republic

Spain



H.E. Mr. Javier Herrera
Ambassador of the Kingdom of Spain

Sweden



for H.E. Mr. Johan Borgstam
Ambassador of Sweden

United Kingdom



Ms Lisa Phillips
Head of Department for International Development - Kenya

European Investment Bank



Mr. Kurt Simonsen
Head of Regional Representation of the European Investment Bank

European Union



H.E. Mr. Lodewijk Briët
Ambassador Head of Delegation of the European Union