

# EU External Action Fragility Security & Development

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Main External Assistance Instruments  
deployed in situations of crisis and fragility

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**DEVCO 07 - Fragility & Crisis Management**

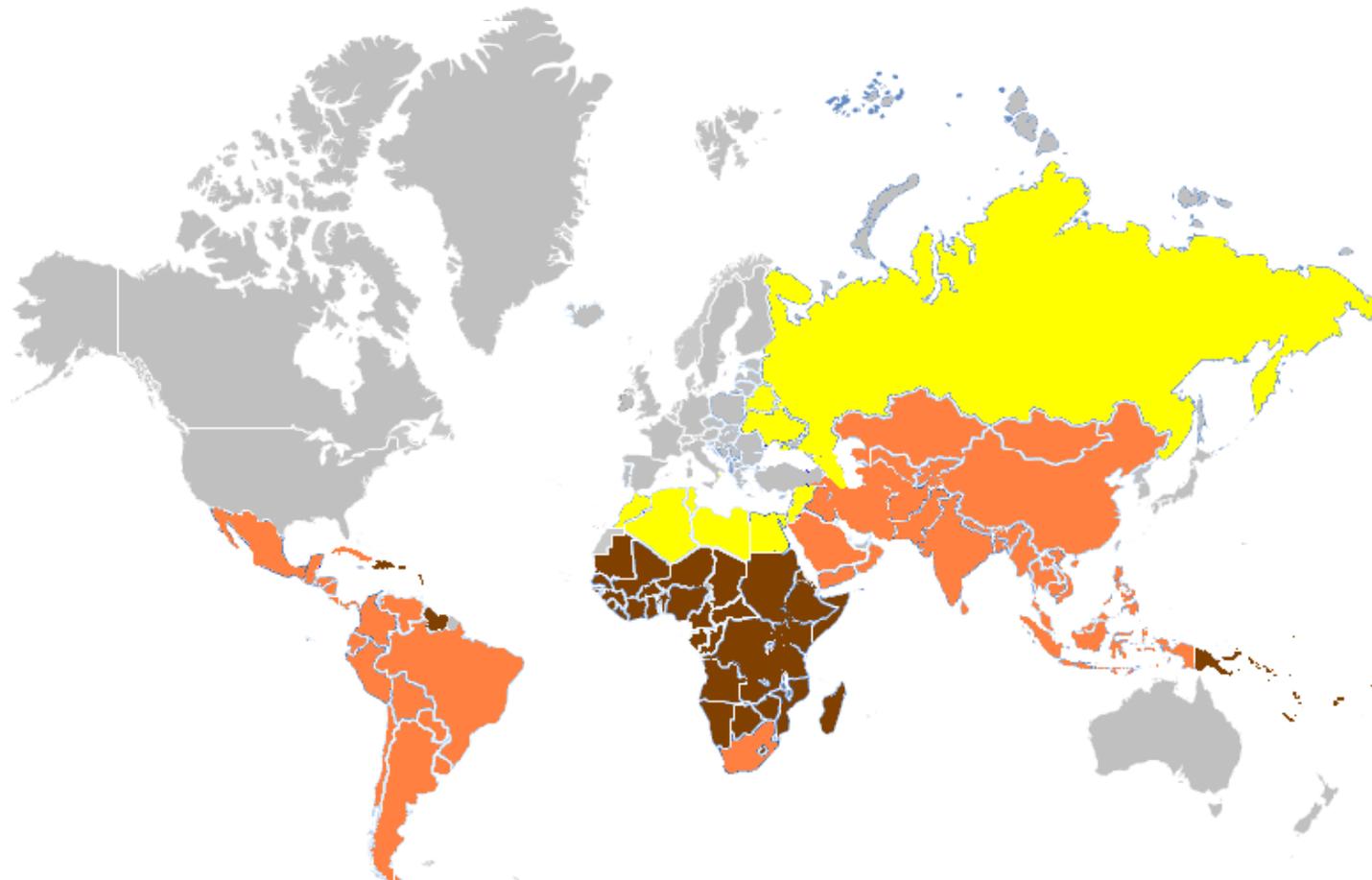
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of crisis/ fragility**

## EuropeAid Managed Geographic Instruments (also applicable to FCAS)

|                 |   |
|-----------------|---|
| Pre-accession:  | <b>IPA</b> , Instrument for Pre-accession Assistance  |
| Non-accession   | Greenland Instrument  |
| Industrialised: | <b>ICI</b> , Instrument for industrialised and higher-income countries                                  |
| Neighbourhood:  | <b>ENI (ENPI)</b> , European Neighbourhood Instrument   |
| Development:    | <b>DCI</b> , Development Co-operation Instrument covering Asia/Gulf - Latin America - Rep. South Africa |
| EDF             | <b>EDF</b> European Development Fund For ACP countries (excl. RSA)                                      |

## Geographical Coverage



-  **Neighbourhood and Partnership Instrument**
-  **Development Cooperation Instrument**
-  **European Development Fund**



European

## European Neighbourhood (formally Partnership) Instrument





European

## EDF - Africa



**NB: Less South Africa**



European

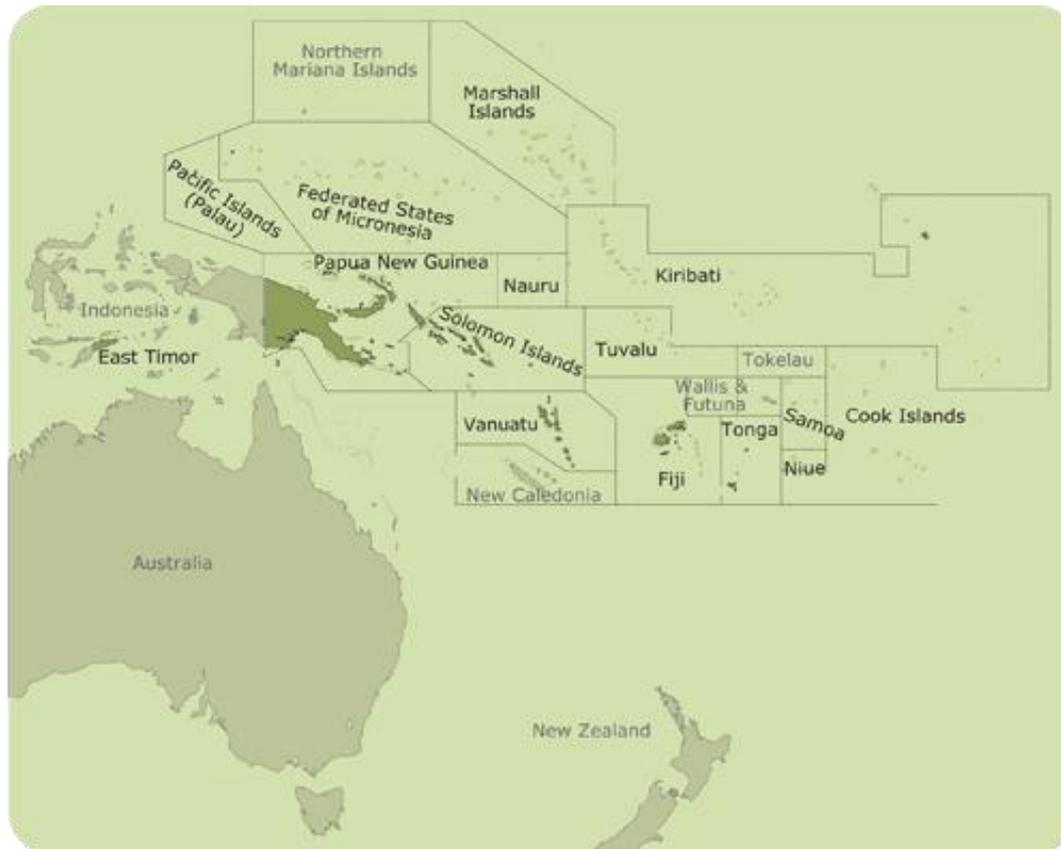
## EDF - Caribbean





European

## EDF - Pacific



## DCI -Geographic programmes - Asia & Central Asia



**NB: Less Timor Leste**



European

## DCI -Geographic programmes - Gulf region





European

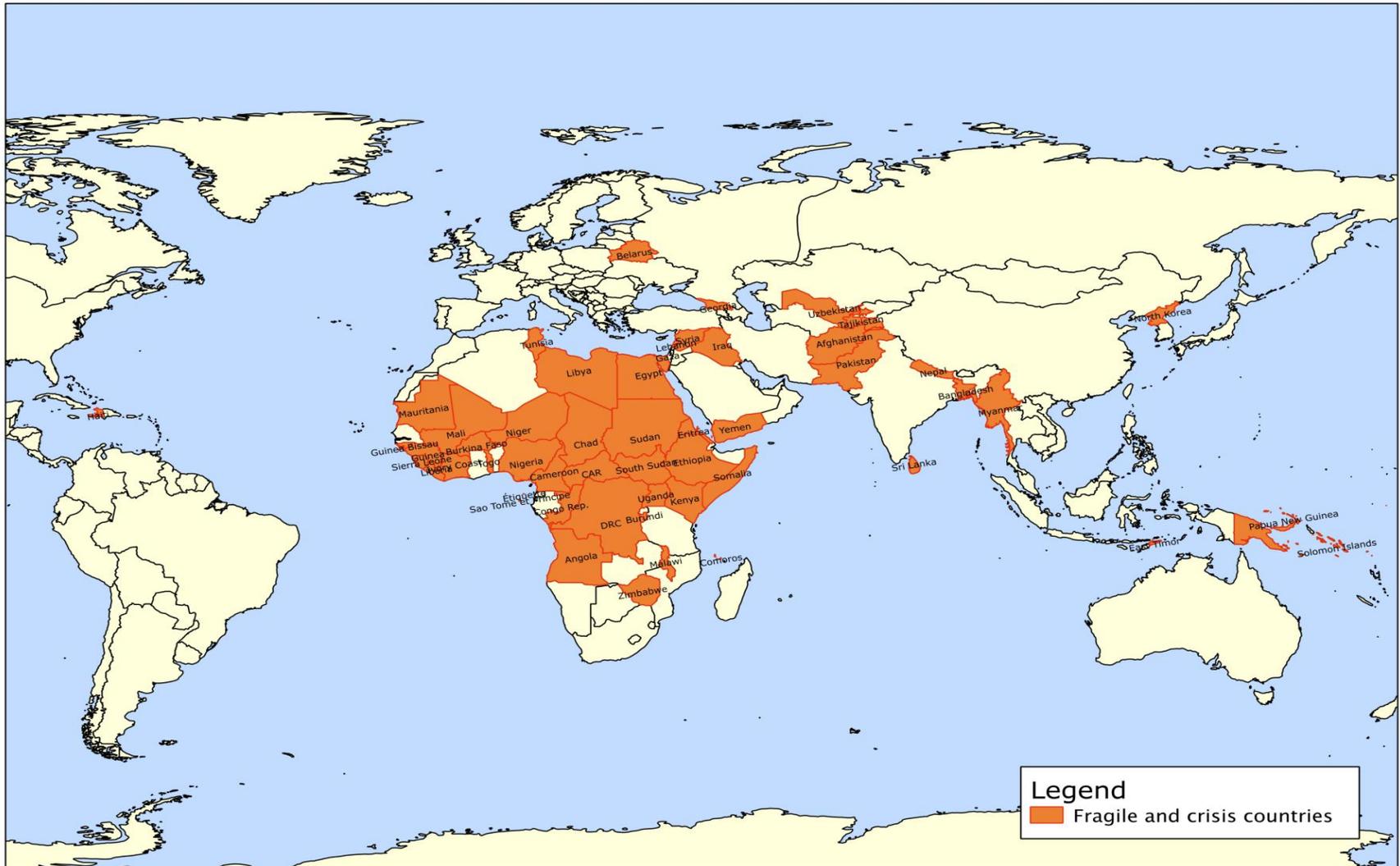
## DCI -Geographic programmes - Latin America





European  
Commission

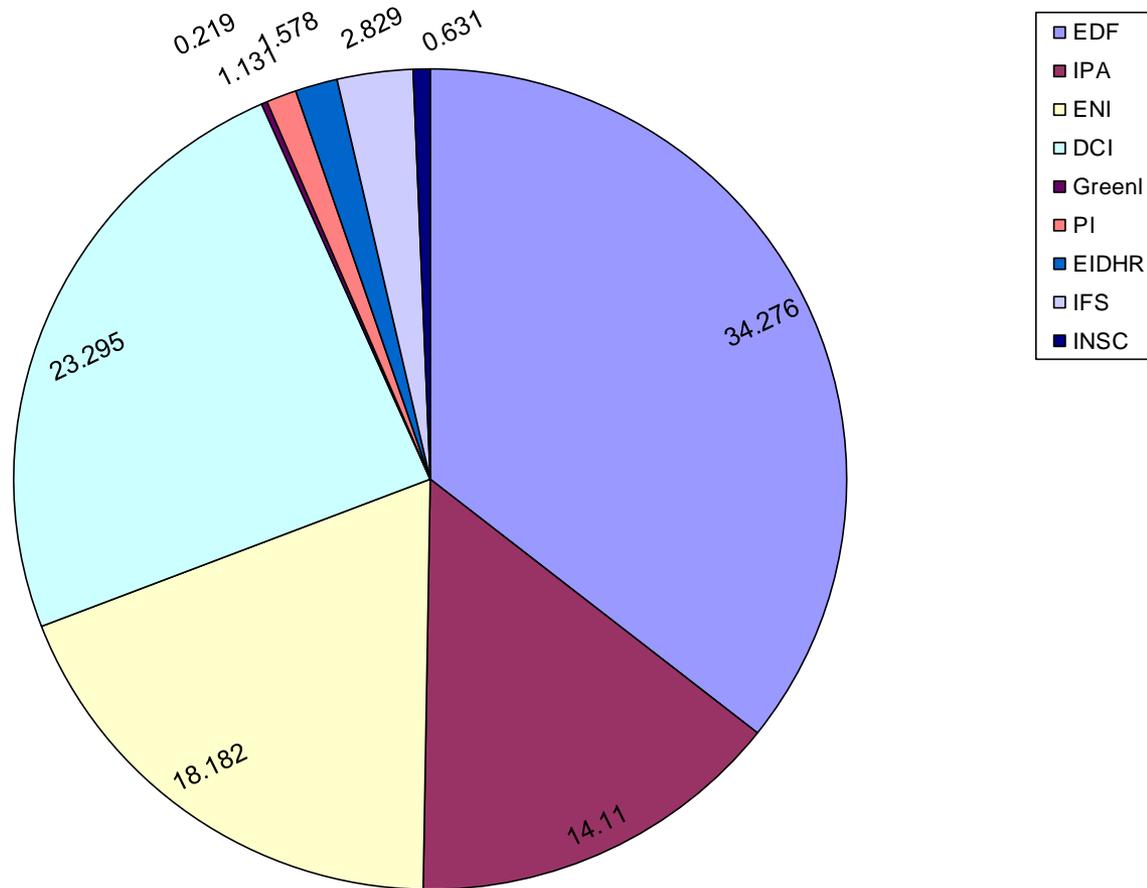
## Fragile states 2012? – moveable perceptions



## Instruments - Thematic

- Human Rights:* **EIDHR**, European Instrument for the promotion of Democracy and Human Rights
- Nuclear Safety:* **NSCI**, Nuclear Safety Co-operation Instrument
- Peace & Stability:* **IcSP**, Instrument for Stability (programmable part -> DEVCO)
- General:* **DCI** Thematic programmes
- Investing in people
  - Environment
  - Non State Actors / Local Authorities
  - Food security
  - Migration / asylum

**Budget & EDF 2014-2020**  
Billion EUR



**MFF 2014-2020**

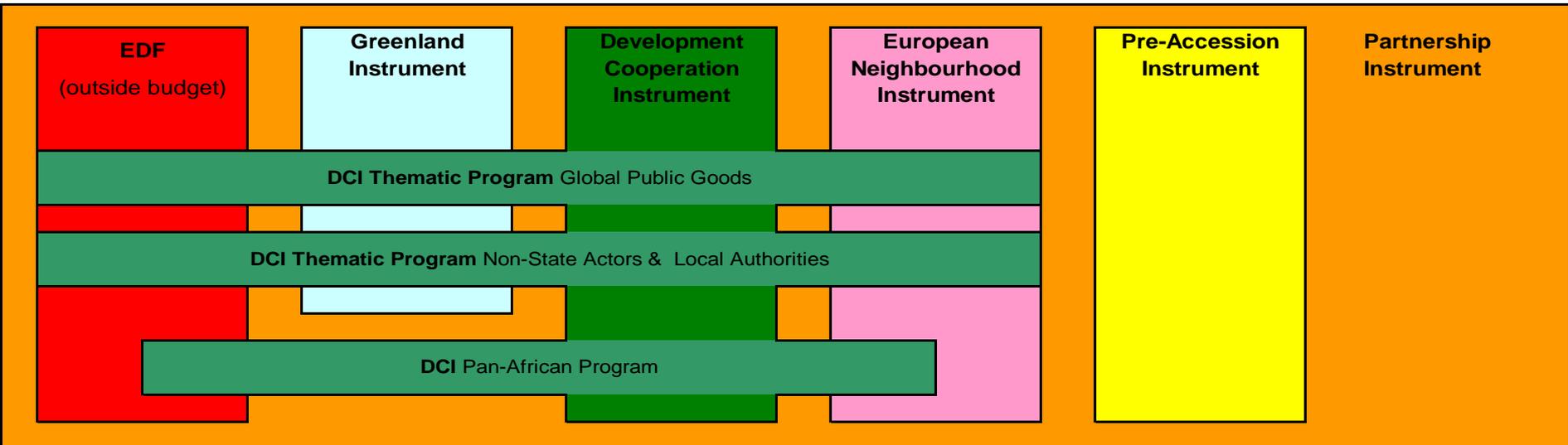
## Heading IV Instruments

*Package adopted on 7 / 12 / 2011 (€ 96,2 bn in current price - 85%)*

**Instrument for Democracy & Human Rights**

**Instrument for Stability**

**Instrument for Nuclear Safety**



*Other instruments NOT in the package 7 / 12 / 2011 (€ 17,2 bn in current price - 15 %)*

HUMA, CFSP, MACRO, EVHAC, CPFI, GUARANTEE FUND, AGENCIES, MARGIN

## DCI 2014-2020

**Differentiation**            no upper MIC but keeps Cuba & RSA;  
   all graduated countries OK regional)

**Simplification of thematic programmes?**

**Provisions for fragility/crisis**

- Art 12- prog in F&C situations; themes (vulnerability, conflict/reconcil; LRRD, disaster, etc.) & procedures & unallocated contingency reserves
- Art 14(3) – modification – programming in context of urgency - expost comitology.

## Commission:

**EuropeAid Development and Cooperation DG** responsible for designing development policy and delivering development aid throughout the world

## EEAS:

**European External Action Service** is aimed at making the EU's external action more coherent and efficient, thereby increasing the EU's influence in the world

## Management:

Programmable instruments (components of) - **EuropeAid**

Non Programmable instruments (components of) **ECHO & FPI**

## MFF 2014-2020 Heading IV – External Relations

Comprehensive package : total **EUR 96,251 million** (incl. EDF)

Furthering reforms MFF 2007-13 (streamlining/reducing No)

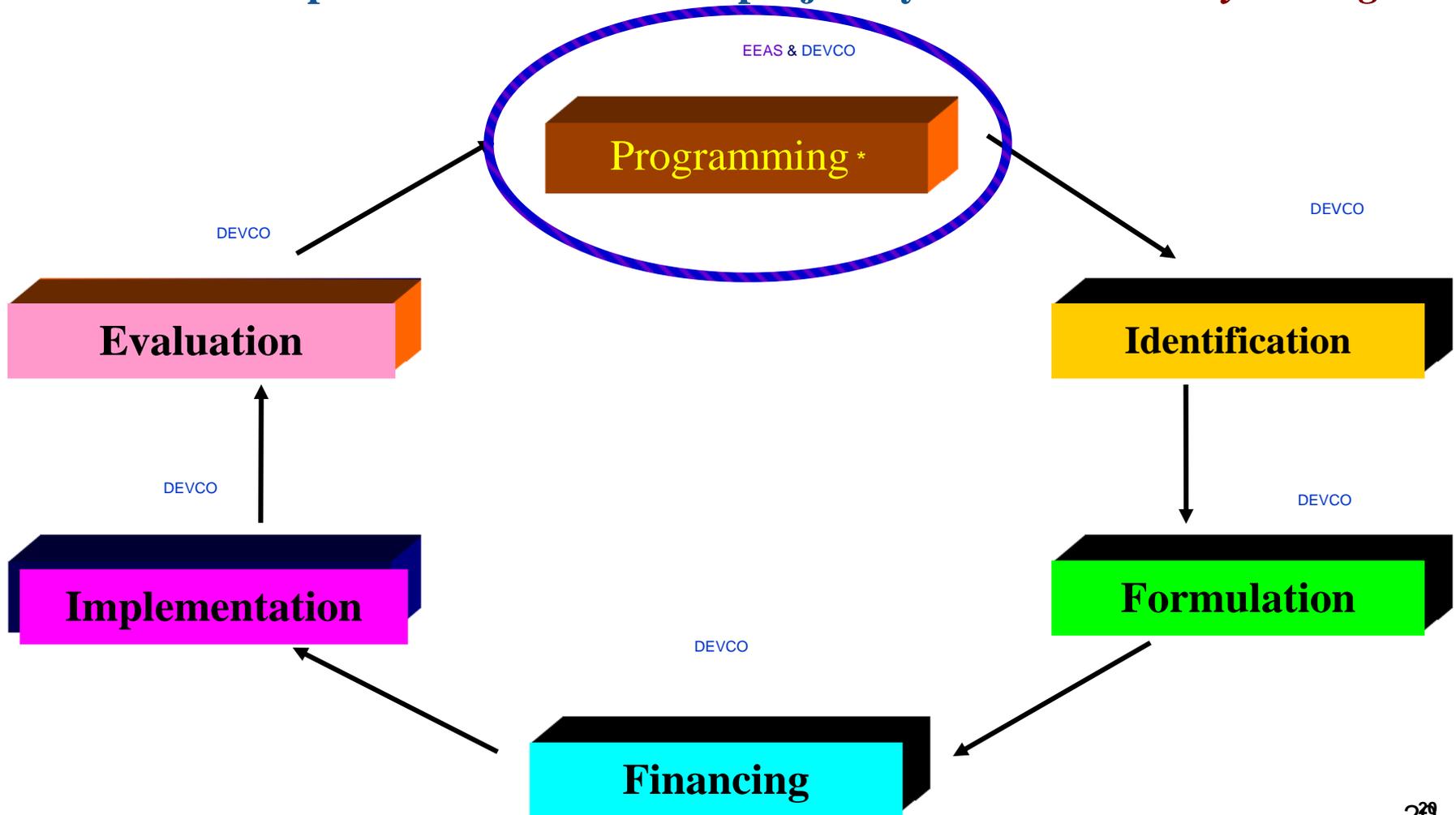
New Context – particularly Lisbon Treaty – EEAS aspirations -  
decisions procedures

- Global Europe as a global actor
- EDF 11
- Legal Framework - Regulations for 8 financing instruments & a
- Common Implementing Regulation (all but Greenland & EDF)
  - => harmonisation /simplification
  - => flexibility (ex-post comitology)

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- II – Programing & new emphasis on Security & Dev**
- III – Implementation of External Aid
- IV – Mobilising our instruments flexibly in situation of crisis/ fragility

## Division of responsibilities within the project cycle – *who is really driving?*



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## Delivery Modalities

- **Budget support:** Transfer of EU funds to the national national budget of the partner country:
  - *SRC , SBC or GGC*
  
- **Project Approach:** supports the resolution of specific problems financing is channelled through donor procedures or country procedures. Two main modalities:
  - *Procurement (services, supplies, works)*
  - *Grants*
  - *Can be delegated to IFI, UN or MS for implementation*

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## What do we mean by “crisis”?

Qualification of crisis **is not focused on its causes**: man-made disasters (armed conflict, revolution...) or natural disasters (flood, drought, tsunami, earthquake...) or other extraordinary circumstances, but on the **consequences**

Crisis has **no limited duration** (as long as cooperation & development policies cannot resume normally).

Can be **sub-national**

## What do we mean by “crisis”?

Different terminology under law applicable to **DCI, ENI, IcSP**, General Budget / non-EDF:

- “Crisis situation” is defined in the Rules of Application of the FR)
- Article 3 of IcSP refers to “crisis and emerging crisis”

But same concept: **impossibility or great difficulty to implement normally co-operation & development policies due to extraordinary circumstances destabilising a country/region.**

It may also cover countries with long term structural instability / protracted crises / **fragility.**

**+/- Equiv. in Art 72 to 73 of Cotonou Agreement**

## What do we mean by “crisis”?

### Article 190(2) RAP of the New FR:

Crisis situations in third countries shall be understood as situations of **immediate or imminent** danger threatening to **escalate into armed conflict or to destabilise the country**.

Crisis situations shall also be understood as situations caused by **natural** disasters, **manmade** crisis such as wars and other conflicts or extraordinary circumstances **having comparable effects** related inter alia to **climate change, environmental degradation, privation of access to energy and natural resources or extreme poverty**

# Practical Guide on Contractual Procedures (PRAG)



- **Single document** containing the legal framework for procurement and grants + templates
- Applicable to **EDF and BUDG** instruments
- **Annex a11a on Crisis Situation**: describe, amongst others, how a crisis situation may be declared and the applicable flexible procedures

[http://ec.europa.eu/europeaid/work/procedures/implementation/practical\\_guide/index\\_en.htm](http://ec.europa.eu/europeaid/work/procedures/implementation/practical_guide/index_en.htm)

# Using EU guidance in FCAS

**Programming guidelines**

**Budget support-fragile states guidance**

**Flexible procedures in situations of crisis – Crisis Declaration List**

**Guidance on conflict analysis/mainstreaming, on security-development**

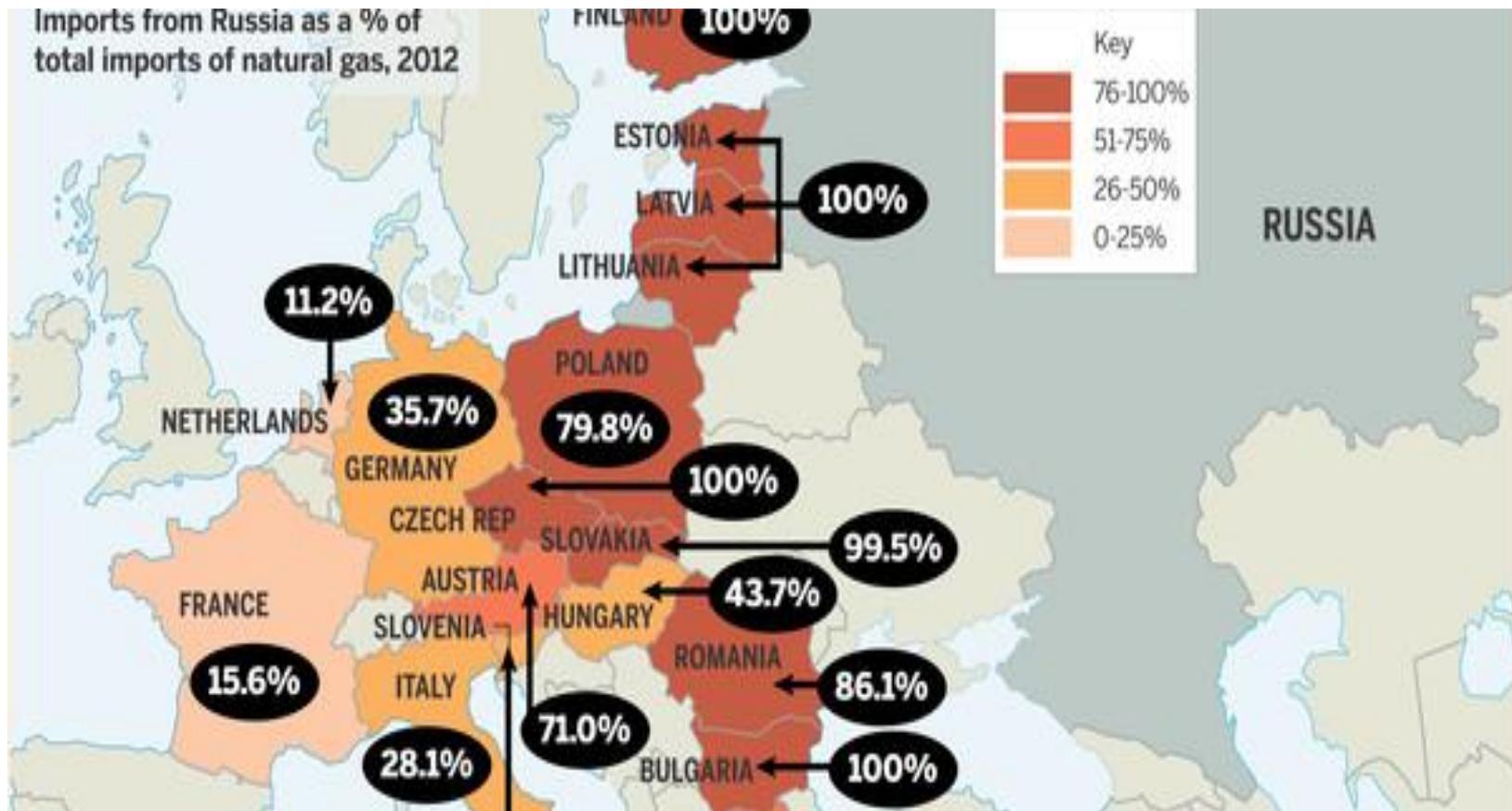
**Resilience operational guidance**

**EU Trust Funds under new FR – Crisis or Thematic – Syria-hood?**

**Joined –up EU actions useful in CA context**

## Coherence between internal & external policies

### How to limit our degrees of freedom



**Thanks!**

