



EUROPEAN FOREST INSTITUTE

Introduction to the methodology & workplan

FLEGT Action Plan Evaluation

TEREA



S-FOR-S

TOPPERSPECTIVE

Name
Date

Ad Hoc
Working
Group on
FLEGT

Purpose of the FLEGT AP Evaluation

- Document and assess progress and achievements, shortcomings and gaps
- Assess and analyze how changes in global context affect relevance and implementation
- Draw lessons and recommendations to guide future action (forward looking evaluation)

Evaluation questions

1. How are the goals and objectives of the FLEGT AP relevant to stakeholders (2003-2015)?
2. How is the design relevant for advancing objectives?
3. To what extent have interventions within the seven Action Areas led to the intended results (output and outcomes)?
4. What gaps or shortcomings have there been in the implementation and management of FAP?

Evaluation questions

5. To what extent have the main FLEGT objectives (supply, demand, trade) been achieved (or will)?
6. What other emergent outcomes/ side effects, have FLEGT actions brought about?
7. To what extent has FAP contributed to forest governance, poverty alleviation in partner countries?
8. To what extent have FLEGT actions been cost-effective?

Evaluation questions

9. How likely are FLEGT mechanisms, systems and positive impacts to be sustained?
10. How and to what extent have FLEGT measures duplicated/ complemented and added value to other EC, MS or other donor policies, strategies and programmes?

Approach of the FLEGT AP Evaluation

Realist principles:

- Focus on WHAT (outputs, outcomes/ both expected and emergent) and HOW
- Who defines success and/or failure: Consultation of various groups of stakeholders besides existing data
- Making the FLEGT AP 'theory' explicit and testing it.

Evaluation methodology

Mix of quantitative and qualitative methods

- Review of documents and data
 - Surveys (MS, EC, partner countries)
 - Stakeholder meetings (single and multi) (outcome harvesting)
 - Interviews
-
- General assessments evaluation questions
 - Country assessments evaluation questions through country visits and teleconferences

Country selection

Country visits

VPAs concluded Ghana, Cameroon, Indonesia

VPAs negotiation Ivory Coast, Guyana, Vietnam

Non VPAs Colombia, Bosnia

Consumer country China

EU MS UK, Germany, Italy, Finland, Romania,
Netherlands, France

Teleconferences

Producers Brazil, Chile, Russia, Canada

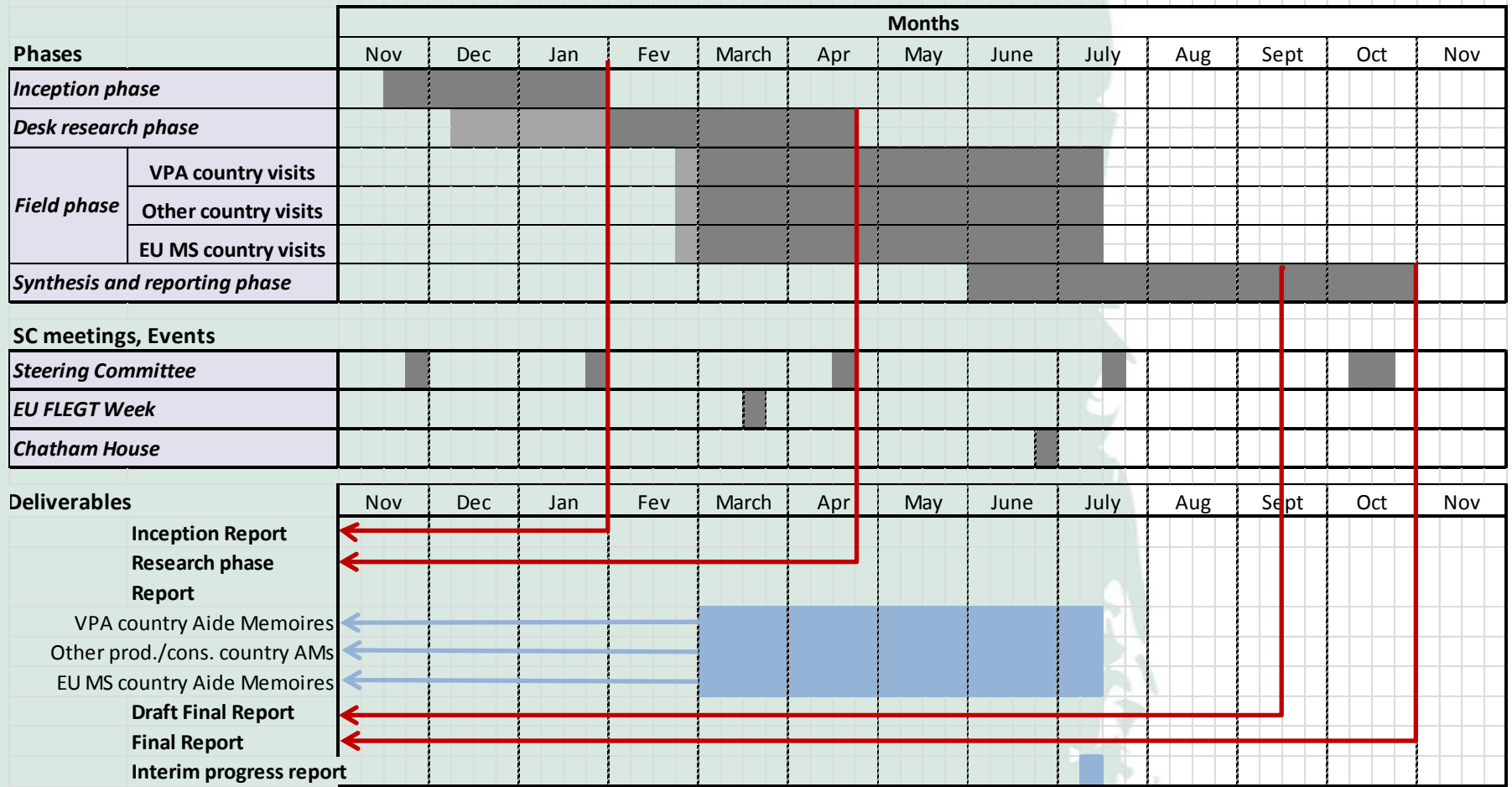
Consumers India, Australia, Japan and USA

Tools for engaging stakeholders

EC + Multilaterals	International NGOs	International PSO	International research
Survey (EC) Interviews	Interviews	Interviews	Interviews
MS	VPA	Non VPA producers	Consumer countries
Survey (all)	Survey (all)		
Country visits (8-9) -Interviews -Group workshops	Country visits (6) -Kick off stakeholders - Interviews -Group workshops -Consolidation meeting	Country visits (3) -Kick off stakeholders - Interviews -Group workshops -Consolidation meeting	Country visit China
		Teleconferences (4)	Teleconferences (4)
Web based consultation mechanism FLEGT week Chatham House			

Overall workplan

EU FLEGT Action Plan Evaluation - Overall work plan



PART I - ACTIONS, BUDGETS & MANAGEMENT OF THE FLEGT ACTION PLAN

FLEGT AP AREA #1: SUPPORT TO TIMBER PRODUCING COUNTRIES (Not VPAs)

Example – N° 6

Has your country given any of the following types of support to one or several timber producing countries within the framework of the FLEGT AP (here we distinguish both direct and indirect support to timber producing countries):

- *In kind bilateral contributions in a timber producing country (short-term assistance)*
- *Posted one or several government (bilaterally) supported experts/officials for a period of at least 3 months in a partner country*
- *Any other in kind bilateral support*
- *Bilateral financial support for institutional strengthening and regulation of forest management*
- *Bilateral financial support for NGO advocacy and capacity building*
- *Bilateral financial support for private sector initiatives*
- *Bilateral financial support to research and think tank initiatives on illegal/legal logging*
- *Financial or in kind indirect support through internal NGOs, research institutions, think tanks etc. to support timber-producing countries (8)*

- FLEGT AP AREA #2: TRADE IN TIMBER (VPAs (partner countries and commission, EUTR and Multilateral framework)

- FLEGT AP AREA #3: PUBLIC PROCUREMENT

- FLEGT AP AREA #4: PRIVATE SECTOR INITIATIVES

- FLEGT AP AREA #5: FINANCING AND INVESTMENT

- FLEGT AP AREA #6: SUPPORTING THE AP WITH EXISTING LEGISLATIVE INSTRUMENTS

- FLEGT AP AREA #7: CONFLICT TIMBER

PART II - ACHIEVEMENTS OF THE FLEGT ACTION PLAN 2003-2014

OVERALL

Example – N° 53

Overall, how advanced do you think the implementation of the FLEGT Action Plan is?

- The AP is fully implemented and delivering good results (1)*
- The AP is fully implemented but needs modification to deliver good results (2)*
- The AP is partially implemented, but already delivering good results – keep it up (3)*
- The AP is partially implemented and promises to deliver good results in the future –(4)*

EACH OF THE 7 AREAS AS IN PART I

Example – N° 56

FLEGT AP Action Area #1: Support to timber producing countries

What are, in your opinion, the observable direct outputs and outcomes (expected and unexpected) of your support to timber producing countries under the FLEGT Action Plan (i.e. those that can be clearly attributed to it)?

ACTORS AND FACTORS INFLUENCING FLEGT AP

Example – N° 66

In your opinion, what have been the main actors and factors that influenced the implementation and achievements of the FLEGT AP and its seven action areas (positively and negatively)?

MS Survey

PART III - FUTURE OF THE FLEGT ACTION PLAN

Example – N° 78

Beyond 2014, does your country plan to maintain or develop actions in support of VPA preparation, negotiation and/or implementation?

- *Yes – in support of partner countries (1)*
- *Yes – in support of the European Commission (2)*
- *Yes – through multilateral initiatives (e.g. FAO) (3)*
- *No (4)*

Questions?

TEREA



S-FOR-S

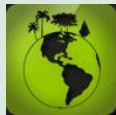
TOPPERSPECTIVE



EUROPEAN FOREST INSTITUTE

Thank you

TEREA



S-FOR-S

TOPPERSPECTIVE



EUROPEAN FOREST INSTITUTE