

FIRST PROGRESS REPORT OF THE MONITORING / REPORTING (& INFORMATION SYSTEM)

WORKING GROUP

16th November 2004



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Istituto per le Relazioni fra l'Italia e i paesi
dell'Africa, America Latina e Medio Oriente

Agenda

- Mandate of the M&R Working Group
- Where are we now : Phase 1
- Critical Issues
- Methodological Issues
- Test exercise:
the EUWI and ACP Water Facility



Terms of reference of the M&R Component

Background:

- -First Terms of Reference of a Monitoring/Reporting system: were included in the first official document established after the launching of the EUWI, in 2002
- -Then two workshops (May and October 2003) have set up **three important “recommendations”** :
 1. EUWI should not aim at establishing a new global monitoring regime, but at working with the Joint Monitoring Programme (JMP) in connection with the MDGs.
 2. Should support capacity building for monitoring at country level, establishing some guiding principles for monitoring within normal project management and implementation
 3. Should work with professional networks to support training



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Terms of reference of the M&R Component

The EUWI M/R actions will focus on monitoring progress made in implementing the EUWI's set objectives:

- Reinforce political Commitment to action
- Promote better governance arrangements
- Improve Coordination and co-operation among water related interventions
 - Encourage Regional and sub-regional Co-operation on water management issues by using the integrated water management approach
- Catalyse additional funding



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• Overall objective of the M&R Working Group:

Outline an effective M/R system in measuring the contribution of the EUWI towards the water related MDGs.

TO BE REACHED IN 4 PHASES:

- 1) PREPARATORY PHASE
- 2) DESIGN PHASE
- 3) TEST PHASE
- 4) IMPLEMENTATION PHASE



Agenda



Terms of reference of the M&R Working Group



Where are we now : Phase 1



Critical Issues



Methodological Issues



Test exercise:
the ACP Water Facility



Where are we now?

Activities to be developed in phase1:

- Definition of what kind of information should be reported and at what scale
- Analysis of existing M&R systems that could be linked with the EUWI M/R system to be obtained through survey activity to collect :
 - MS M/R Experiences
 - EU M/R methodology (structural funds)
 - Relevant International M/R systems methodologies



Expected outcomes of PHASE 1:

- List of indicators and associated information to be collected to report on and demonstrate EUWI progress
- List of organisations/institutions with whom to develop interfaces



Agenda

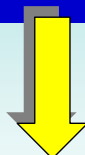
- Terms of reference of the M&R Working Group
- Where are we now : Phase 1
- **Critical Issues**
- Methodological Issues
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the ACP Water Facility



Critical Issues

Two critical issues

1. Establishing a common ground for understanding the definition of terms and using them in a consistent way.



Need to create a shared glossary



Second critical issue:

2. Identification of criteria for selecting reliable indicators:

- relevance
- significance
- comparability
- continuity
- feasibility
- cost-effectiveness



Agenda



Terms of reference of the M&R Working Group



Where are we now : Phase 1



Critical Issues



Methodological Issues



Test exercise:
the ACP Water Facility



Methodological Issues

1. What is policy?

“ ... a proposed course of action of the government within a certain context to reach a goal” (James Anderson, 1998).

2.1 How many levels of policy?

The policy process can be articulated along various dimensions, both at the national and the international levels.

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Methodological Issues

National Policy process

I. Agenda setting (policy statements and commitments)

Expression of political support, definition of societal objectives

II. Formulation and legitimation (policy making)

Enactment of laws and regulations, specification of sector frameworks

III. Articulation (decision-making)

Definition of sub-sector instruments, priorities and targets

IV. Implementation (operational management)



International Policy process

- I. Global agenda setting (international policy and commitments)**
- II. Formulation of strategies (international policy-making)**
- III. Articulation of programmes (international decision-making)**
- IV) Implementation plans (operational management)**



A policy model for EU ODA in water and sanitation :

I. Global agenda setting

Agenda21, Millennium Declaration, Johannesburg PoA, Monterrey Declaration

II. International strategies

EUWI, MSs' strategies, ...

III. International programmes

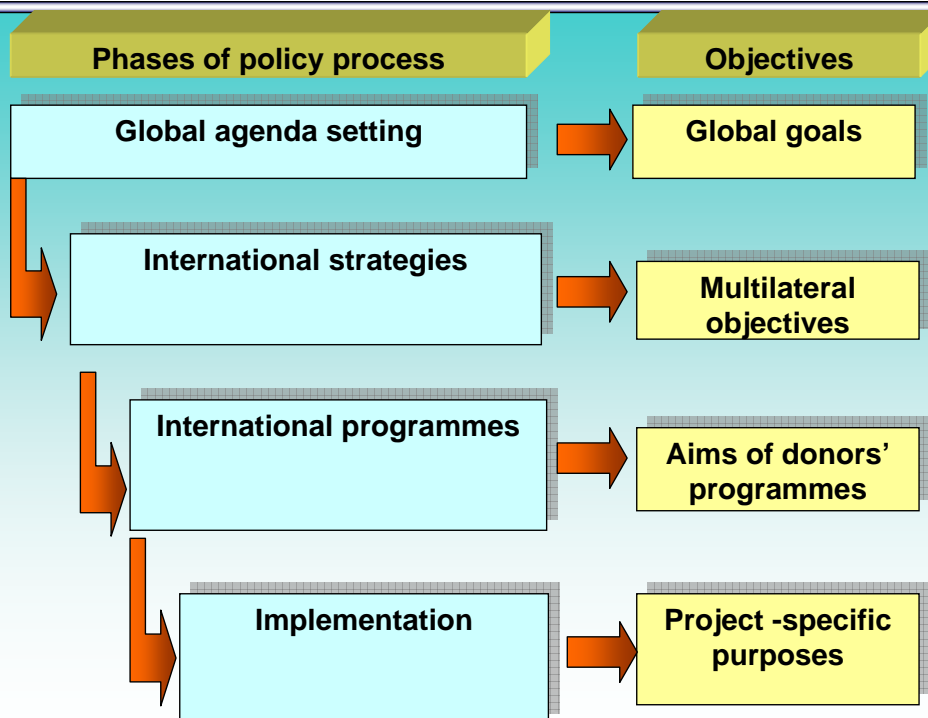
ACP-EU Water Facility, ...

IV. Implementation plans

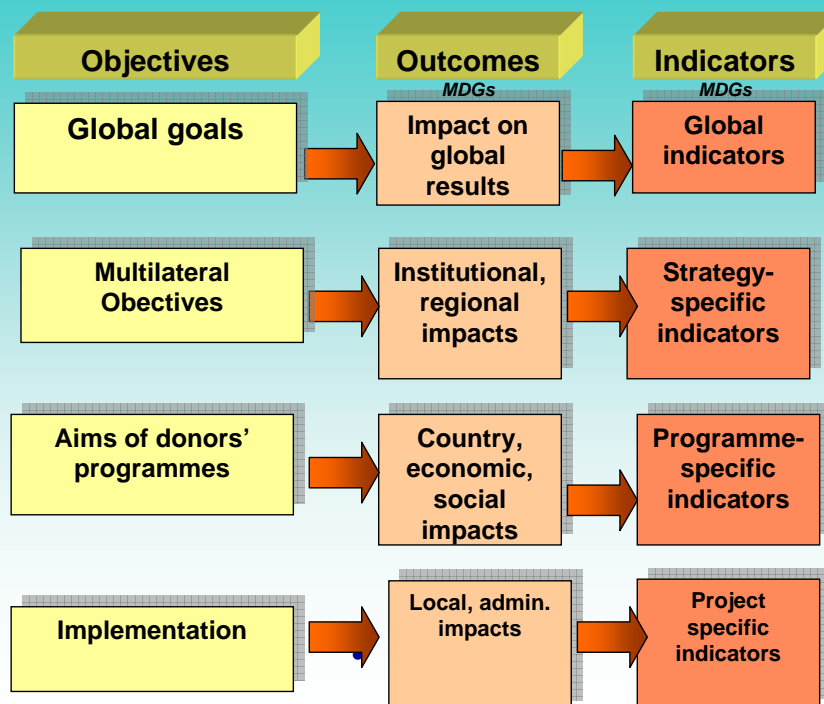
Internal directives, guidelines, ...



Monitoring of ex-ante consistency among objectives



Ongoing and ex-post monitoring



Agenda

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the ACP Water Facility



Test exercise: The ACP –EU Water Facility

First application: monitoring EUWI and ACP-EU Water facility

- The three components of the ACP-EU Water Facility are assessed in terms of their aptitude to attain the objective of the EUWI



- Each phase is assessed against that immediately upper, by means of a system of qualitative scoring .



Test exercise: The ACP –EU Water Facility

1 st policy level	GLOBAL AGENDA SETTING		
	MDGs		
2 nd policy level	Halve the proportion of people without sustainable access to safe drinking water	Halve the proportion of people without sustainable access to safe basic sanitation	Integrate the principles of sustainable development and reverse the losses of environmental resources
INTERNATIONAL POLICY-MAKING			
Objectives of the EUWI			
1. Reinforce political commitment to action and raise the profile of water and sanitation issues in the context of poverty reduction efforts	+	+	
2. Promote better water governance arrangements by strengthening institutional capacity	+	+	
3. Improve co-ordination and co-operation in the way that water-related interventions are developed and implemented (sector wide approaches, multi-stakeholder processes, south-south co-operation)	+	+	+
4. Encourage regional and sub-regional co-operation on water management issues (IWRM and water efficiency plans)	+	+	++
5. Catalyse additional funding (new, flexible and innovative funding mechanisms, establishment of the enabling environment)	• +	+	



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Test exercise: The ACP –EU Water Facility

2 nd policy level	INTERNATIONAL POLICY-MAKING				
	Objectives of the EUWI				
3 rd policy level	1. Reinforce political commitment to action	2. Promote better water governance arrangements	3. Improve co-ordination and co-operation	4. Encourage regional and sub-regional co-operation	5. Catalyse additional funding
INTERNATIONAL DECISION-MAKING					
Components of the ACP-EU Water Facility					
1. Improve the water management and governance		++	+	+	
2. Co-financing water and sanitation infrastructure	+	+			+
3. Co-financing civil-society initiatives		++	+	+	

Not Relevant = Consistent + Very Consistent ++



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Test exercise: The ACP –EU Water Facility

3 rd policy level	INTERNATIONAL DECISION-MAKING		
	Components of the ACP-EU Water Facility		
4 th policy level	1. Improve the water management and governance	2. Co-financing water and sanitation infrastructure	3. Co-financing civil-society initiatives
IMPLEMENTATION			
Project 1		++	
...			
Project N	+		++

Not Relevant = Consistent + Very Consistent ++



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A test exercise : the ACP-EU Water Facility

1 st policy level	GLOBAL AGENDA SETTING													
	MDGs													
	Halve the proportion of people without sustainable access to safe drinking water						Halve the proportion of people without sustainable access to safe basic sanitation						Integrate the principles of sustainable development and reverse the losses of environmental resources	
2 nd policy level	FIRST-LEVEL INDICATORS													
INTERNATIONAL POLICY-MAKING	a	b	c	a	b	c	a	b
Objectives of the EUWI														
1. Reinforce political commitment to action and raise the profile of water and sanitation issues in the context of poverty reduction efforts														
2. Promote better water governance arrangements by strengthening institutional capacity														
3. Improve co-ordination and co-operation in the way that water-related interventions are developed and implemented (sector wide approaches, multi-stakeholder processes, south-south co-operation)														
4. Encourage regional and sub-regional co-operation on water management issues (IWRM and water efficiency plans)														
5. Catalyse additional funding (new, flexible and innovative funding mechanisms, establishment of the enabling environment)														

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A test exercise : the ACP-EU Water Facility

2 nd policy level	INTERNATIONAL POLICY-MAKING																							
	Objectives of the EUWI																							
	1. Reinforce political commitment to action				2. Promote better water governance arrangements				3. Improve co-ordination and co-operation				4. Encourage regional and sub-regional co-operation				5. Catalyse additional funding							
	SECOND-LEVEL INDICATORS																							
3 rd policy level																								
INTERNATIONAL DECISION-MAKING	a	b	c	...	a	b	c	...	a	b	c	...	a	b	c	...	a	b	c	...				
Components of the ACP-EU Water Facility	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R
1. Improve the water management and governance																								
2. Co-financing water and sanitation infrastructure																								
3. Co-financing civil-society initiatives																								



A test exercise : the ACP-EU Water Facility

3 rd policy level	INTERNATIONAL DECISION-MAKING																													
	Components of the ACP-EU Water Facility																													
	1. Improve the water management and governance										2. Co-financing water and sanitation infrastructure										3. Co-financing civil-society initiatives									
	THIRD-LEVEL INDICATORS																													
4 th policy level	a		b		c					a		b		c					a		b		c				
IMPLEMENTATION	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R	P	R
Project 1																														
...																														
Project N																														



Thanks

Torino, 19 Novembre 2004



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