

Italian Ministry of Foreign Affairs
DG for Development Co-operation

Monitoring/Reporting of the EUWI: background, methodology and the way forward

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Monitoring/Reporting of the EUWI in brief

- **Mandate:** MSFs March and June 2004 endorsed the creation of a WG
- **Leadership:** the Commission and Italy
- **Overall objective:** outline an effective M/R system in measuring the contribution of the EUWI towards the water-related MDGs
- **Specific objective:** monitoring progress made in implementing the EUWI's set of objectives
- **How:** 4 Phases (3 of which are under the responsibility of the M/R WG)

Background of the M&R Component

- First Terms of Reference of a Monitoring/Reporting system: were included in the first official document established after the launching of the EUWI, in 2002
- Then two workshops (May and October 2003) have set up three important “recommendations” :
 - EUWI should not aim at establishing a new global monitoring regime, but at working with the Joint Monitoring Programme (JMP) in connection with the MDGs.
 - Should support capacity building for monitoring at country level, establishing some guiding principles for monitoring within normal project management and implementation
 - Should work with professional networks to support training

Terms of reference of the M&R Component

- The EUWI M/R system will focus on monitoring progress made in implementing the EUWI's set objectives:
 - Reinforce political Commitment to action
 - Promote better governance arrangements
 - Improve coordination and co-operation in transboundary water-related interventions
 - Encourage IWRM and Regional and sub-regional co-operation on water management issues
 - Catalyse additional funding

Advantages of monitoring the EUWI

- Keep track and reinforce accountability, transparency and visibility
- Strengthen coordination and coherence among working groups
- Highlight possible room for improvement of the overall strategy
- Give evidence of the contribution of the EUWI activities

The four phases of the EUWI M/R System

1. PREPARATORY PHASE

September – December 2004

2. DESIGN PHASE

January – June 2005

3. TEST PHASE

July 2005 – March 2006

4. IMPLEMENTATION PHASE

From March 2006 onwards

Phase
1

Preparatory phase (Sept-Dec 2004)

- **Tasks envisaged**

- Analyzing existing monitoring systems
- Identifying the information needed to monitor the EUWI
- Defining the criteria for monitoring the EUWI

- **Activities undertaken**

- Involved numerous stakeholders and compared different monitoring approaches, experiences and methodologies
- Defined the criteria for monitoring the contribution of the EUWI to the MDGs
- Put forth a strategy for external visibility (CSD13, Stockholm WW) and for reporting (World Water Council's water monitoring alliance website)

Stakeholders invited and methodologies analysed

- **WHO** and **UNICEF**: JMP monitoring on access
- **Global Water Partnership**: monitoring IWRM
- **UNDESA**: UN water monitoring
- **OECD**: financial flows of development assistance
- **EUWI Lat Am and Med Components**: regional monitoring
- **European Commission**: monitoring development projects
- **Japan Water Forum**: portfolio of water actions and IWRM
- **IRC**: quantified participatory monitoring
- **German Development Institute**: impact analysis
- **WWF**: monitoring IWRM

Criteria for assessing the contribution of the EUWI

- The EUWI is a Type II Partnership, aiming at promoting joint efforts and streamlining existing and future interventions
- Therefore, the value added of the EUWI, which the M/R WG has to assess, is the fulfillment of following three criteria (Cs) derived from the EUWI objectives:
 - **1. Consistency**
 - Ability to retain a strong political commitment between stated objectives and implemented activities at all levels
 - **2. Coordination**
 - Ability to stimulate joint donors' actions and participated interventions
 - **3. Complementarity**
 - Ability to stimulate financial involvement of various stakeholders and to leverage additional funds

Phase
2

Design phase (January-June 2005)

- **Tasks envisaged**

- Finding indicators to monitor the EUWI and the links between existing methodologies
- Establishing coordination and cooperation with other Working Groups
- Providing recommendations on monitoring methods

- **Activities undertaken**

- Elaborated a model:
 - for linking the various phases of the policy process in order to assess policy consistency
 - for monitoring coordination and complementarity
- Established a partnership with African working groups
- Defined a first set of indicators for Regional Components

What we mean for "water policy"

- A proposed course of actions intended to reach a specified set of goals
- A complex set of related tasks and activities intended to implement the local, national, and international strategy
- Composed of several programmes, each put in place to reach a specified goal, and endowed with financial resources

The basic logic of nested objectives in the Logframe

Agenda setting	Sector policy-making	Strategic programming	Implementation
Overall objective			
Purpose	Overall objective		
Results	Purpose	Overall objective	
	Results	Purpose	Overall objective
		Results	Purpose
			Results

Source: adapted from EC, PCM Training Handbook, 2004

Can we use exactly the same instrument for assessing the consistency of international water policy-making?

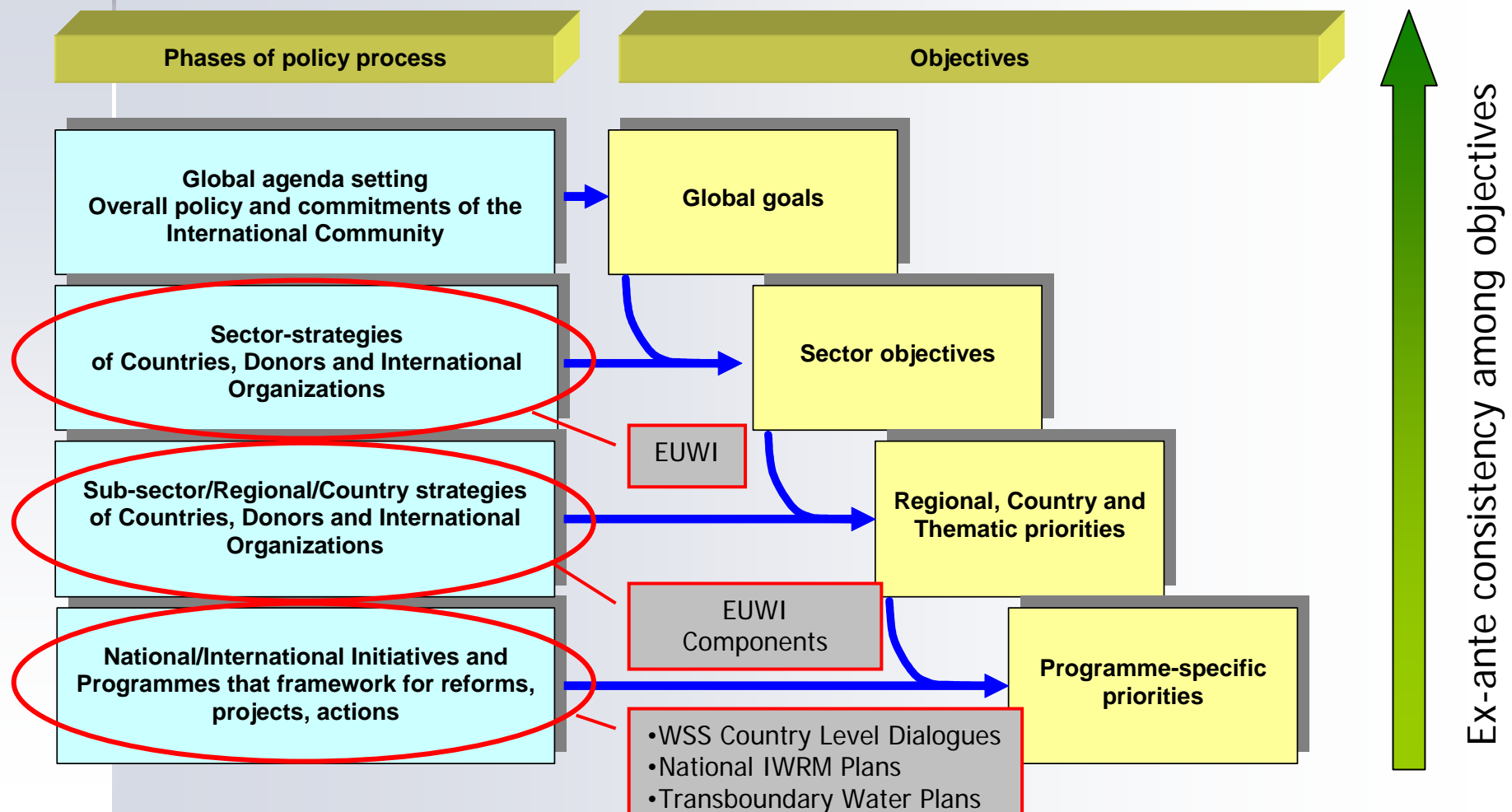
Assessing Policy Consistency

- Identification of the water policy process at the international level
- Make use of “nested matrices”, derived from the LogFrame approach, to relate the objectives of each levels of the EUWI
- Assign a score to each objective of a EUWI level, depending on the expected ability to attain the objectives of the higher level
- Calculate an Index of Consistency for each level of the EUWI policy process

The 4 levels of the international water policy

1. Global agenda setting
 - overall policy-making
2. Sector strategies
 - cross-country policy-making
3. Thematic, regional, country strategies
 - programming
4. Development programmes
 - issue- and country-specific decision-making

The model of international water policy-making



Example: the matrix of the African Component

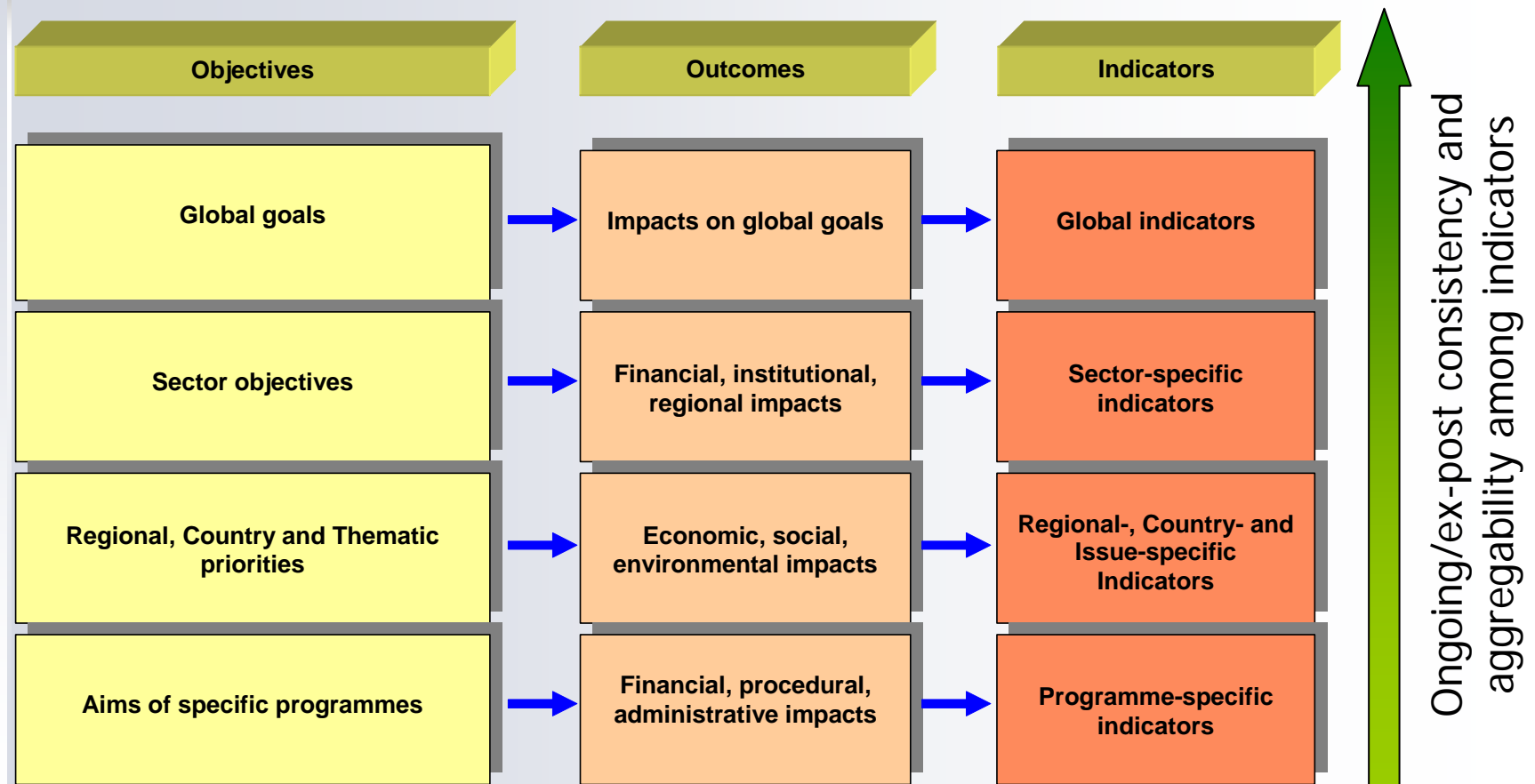
2 nd Policy Level 3 rd Policy Level	Objectives of the EUWI					Score
	1. Reinforce political commitment to action	2. Promote better water governance arrangements	3. Improve co-ordination and co-operation in interventions	4. Encourage regional and sub-regional co-operation	5. Catalyse additional funding	
Programmatic objectives of the Africa-EU Partnership 2004-2005 Work Programme						
1. Increase prioritisation for investment for the poor	++				++	4
2. Initiate programme to support transboundary water resources management		++	+	++		5
3. Initiate national processes for IWRM	+	++	+	++		6
4. Strengthen underlying institutions and build capacity	+	+	+	+	+	5
5. Enhance funding for supply, management and development	+		+		++	4
6. Improve coordination between the actors involved		+	++	+		4
7. Improve the use of existing and new scientific knowledge	+				+	2
Score	6	6	6	6	6	30

Index of Policy Consistency African Component -> EUWI Objectives = **66%**

Assessing Coordination and Complementarity

- Three steps
 - Elaborate a monitoring methodology that retains the logical basis of the policy model:
 - vertically, from the local to the global level
 - horizontally, between the same level of policies
 - Define indicators for each policy level
 - Partly specific and comparable for each level
 - Partly aggregated all along the policy process
 - Define the information requirements at each policy level

1st step: the monitoring methodology



2nd step: set of indicators for Regional Components

- **Indicators of performance**

Measure what and how have been realized by the Component

- **Indicators of effectiveness**

Measure the degree of attainment of the Component's objectives

- **Indicators of impact**

Measure the estimated effects on global goals coming directly from the Component

Indicators of performance

- Financial management
 - Disbursed/planned resources
- Administrative management
 - Updated Work Plans (yes/no)
- Output management
 - National Dialogues on WSS
 - National IWRM Plans
 - Plans for transboundary water management
 - Completed / foreseen

Indicators of effectiveness

- Beneficiary countries coverage
 - assisted / targeted countries (or basins)
- Donors' participation
 - participating EU donors / EU donors
- Additionality
 - additional resources allocated by EU donors other than the leading country / total resources allocated by EU donors

Indicators of Impact

■ Coordination

- number of projects under EU-assisted plans / total number of projects in the countries
- amount of investment under assisted plans / total investment in the countries

■ Multiplier effect

- co-financing of non-EU agencies + private-sector + national / resources allocated by the Component

■ Demographic coverage

- assisted / targeted population

3rd Step: what information will be required

- On activities undertaken
- On internal processes/procedures established
- On stakeholders involved
- On coordinated initiatives
- On financial aspects

Ongoing activities to complete the Design phase

- Completing modeling and elaboration of indicators for the other policy levels of the EUWI
- Interfacing the EUWI M/R System with existing monitoring activities
- Preparing for phase 3

Phase
3

Test phase (July 2005-March 2006)

- **Tasks envisaged**
 - Performing a pilot test
 - Adapting the methodology
 - Preparing a "version 0" of the EUWI Assessment Report
- **Activities to be undertaken**
 - Applying the methodology to the activities of the EUWI at the country or basin level (case study)
 - Prepare a set of deliverables for March 2006:
 - Description of the proposed monitoring tools
 - Recommendations for policy monitoring
 - Results from monitoring the case study

Phase
4

Implementation phase (from March 2006 onwards)

- M/R WG will suggest a methodology to be used voluntarily by Regional Components under the supervision of the SG
- Tasks envisaged
 - Collecting information on a regular basis
 - Aggregating indicators according to the proposed methodology
 - Reporting periodically
- Expected result by March 2006: EUWI M/R System in place

Thank you!

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