



S9 - Flexibility in planning, formulation and in implementation ECHO's perspective

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- Decision-making -

- *legal basis: 1996 Humanitarian Aid Regulation and Financial Regulation*
- *annual SWD on General Guidelines for Operational Priorities on Humanitarian Aid, but no programming (Art. 128.1 FR)*
- *empowerment and delegation framework to facilitate decision-making*
- *funding sources: EU budget, EDF – B envelope, external assigned revenues*
- *Common Understanding with SJ, BUDG and SG on HA financing decisions templates*
- *prior notification of Cabinet to speed up process*



- Decision-making - World Wide Decision (WWD)

- *Since 2011*
- *Objective: to cover all the humanitarian crises which are described in SWD General Guidelines for Operational Priorities on Humanitarian Aid*
- *80% of the annual budget (the remaining 20% in an Operational Reserve)*
- *Duration actions: max 24 months – decoupled from duration of WWD*
- *20% flexibility for the DG to increase total amount and re-allocate between specific objectives*
- *Amended through written procedure 1-2/year*



- Decision-making - WWD Process

Needs Assessment – IAF: March-June year N-1



GGOPHA: July-December year N-1



***Worldwide Decision: September N-1, approval
January N***



***HIPs and HP Technical Annex: from July,
publication from November onwards***



- Decision-making -

Humanitarian Implementation Plans (HIPs)

- ECHO priorities described in detail in HIP, a communication tool with partners:

- HIP (context, needs, proposed response, LRRD/coordination/transition)
- HIP-Technical Annex (assessment criteria, eligible partners, indicative date proposals & action duration, eligibility date, policy and operational guidance etc.)

- types:

- regional HIPs (as of 2016 integrating disaster preparedness)
- thematic HIPs: children of peace, info & comm., response capacity, policy, epidemics, small scale etc.

- Visa Cabinet 48 H



- Decision-making -

Primary emergency decisions

- *Objective: cover the first immediate needs of the people concerned in the hours and days after the outbreak of a crisis.*
- *3 days – approval by Director-General*
- *3 months duration*
- *3 MEUR funding*
- *No ISC, no HAC*
- *Standard template + Supporting document not part of decision*



Decision-making Emergency decisions

- *Objective: to cover both new crises and existing humanitarian crises where humanitarian aid is already under way, but where continuing uncertainty and instability (a 'crisis within a crisis') require an appropriate, rapid and flexible response.*
- *6 months – duration*
- *10 MEUR (DG)/30MEUR (Commissioner), above written procedure*
- *ISC 2-3 days, HAC/EDF Committee above 10 MEUR*
- *Standard template + Supporting document not part of decision*



- Decision-making - Ad hoc decisions

- other situations, such as thematic decisions, EDF decisions*
- 2MEUR (DG); 10MEUR(Commissioner) for maximum 18 months; above written procedure*
- ISC 5 days; HAC above 2 MEUR, EDF Committee above 10 MEUR*
- Standard template + Supporting document not part of decision*



-Contracting - ECHO partnership

- *Eligibility:*
 - **Framework Partnership Agreement with NGOs and IOs**
 - **FAFA UN**
 - **Recognized EUMS Specialized Agencies**
- *no call for proposals (Art. 190.1(1)RAP)*
- *retroactivity possible: submission proposal, start/eligibility date and signature of agreement (Art. 130.1FR)*
- *100% funding possible*



-Contracting -

Single Form/Fichop – electronic exchange system

For Partners

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- Submit proposal
- Explain situation in the field
- Anticipate changes
- Inform of achievements

For ECHO

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- Take a decision to fund an action
- Monitor the action
- Analyse proposed modifications
- Liquidate the agreement
- Report on the actions funded



-Contracting - Internal Benchmarks for contract management

- Appraisal:** 14 working days to analyse the proposals between the reception date of the first proposal and the validation of the pre-selection by the HoU; Target: 95%
- Negotiation:** 30 working days between the pre-selection of the proposal and the approval of the Single Form for the contracting; Target: 95%
- Grant management:** 11 working days to have contract signed by ECHO; Target: 95%
- Liquidation:** 60 calendar days to execute the liquidation payment (first final payment) after the reception of the final report; Target: 100%