

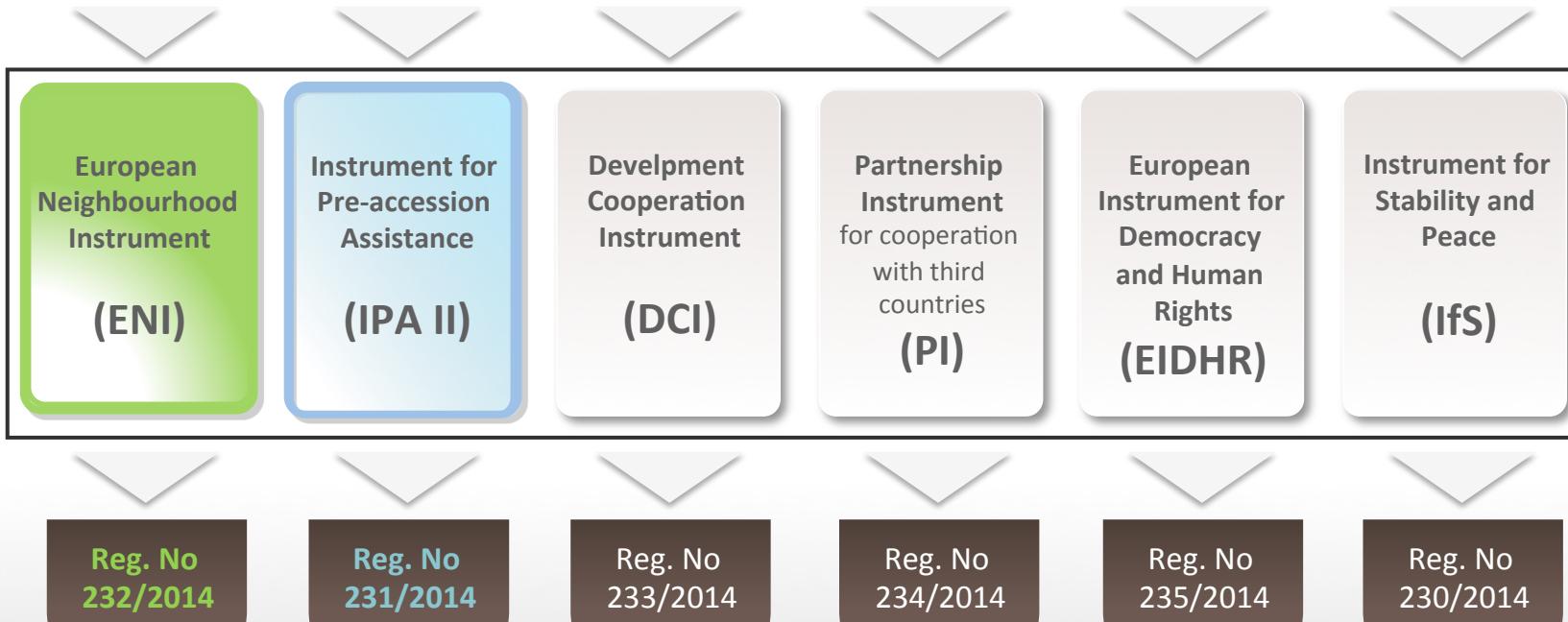
# ENI & IPA II ESSENTIALS

<http://ec.europa.eu/neighbourhood-enlargement/>



# Regulatory framework

## COMMON IMPLEMENTING REGULATION FOR EXTERNAL ACTIONS Reg. No 236/2014

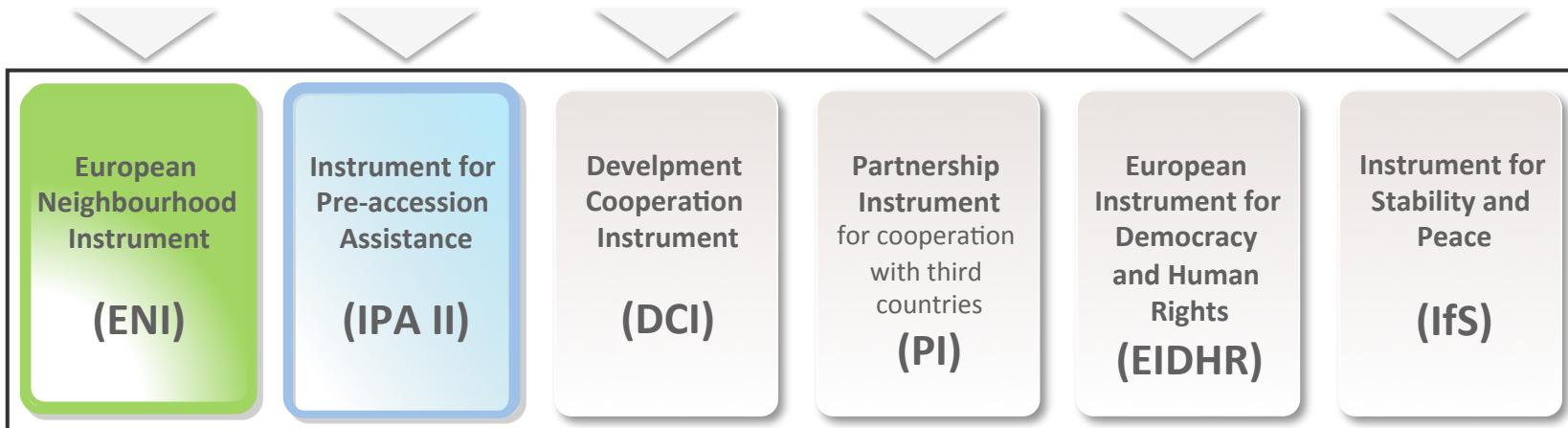


EU EXTERNAL ACTIONS



# Financial framework

## MULTIANNUAL FINANCIAL FRAMEWORK - HEADING 4 (GLOBAL EUROPE) EUR 66.3 bln (5.8% of EU Budget)



EU EXTERNAL ACTIONS



## Partner countries and beneficiaries



ENI

EAST  
Armenia  
Azerbaijan  
Belarus  
Georgia  
Moldova  
Ukraine

SOUTH  
Algeria  
Egypt  
Israel  
Jordan  
Lebanon  
Libya  
Morocco  
Palestine  
Syria  
Tunisia



IPA II

Albania  
Bosnia and Herzegovina  
Kosovo\*  
the former Yugoslav Republic of Macedonia  
Montenegro  
Serbia  
Turkey

(\*) This designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence

SCOPE OF ASSISTANCE



# Objectives

ENI



To advance further towards an area of shared prosperity and good neighbourliness involving the Union and the partner countries by developing a special relationship founded on cooperation, peace and security, mutual accountability and a shared commitment to the universal values of democracy, the rule of law and respect for human rights in accordance with the TEU

art. 1 Reg. 232/2014

IPA II

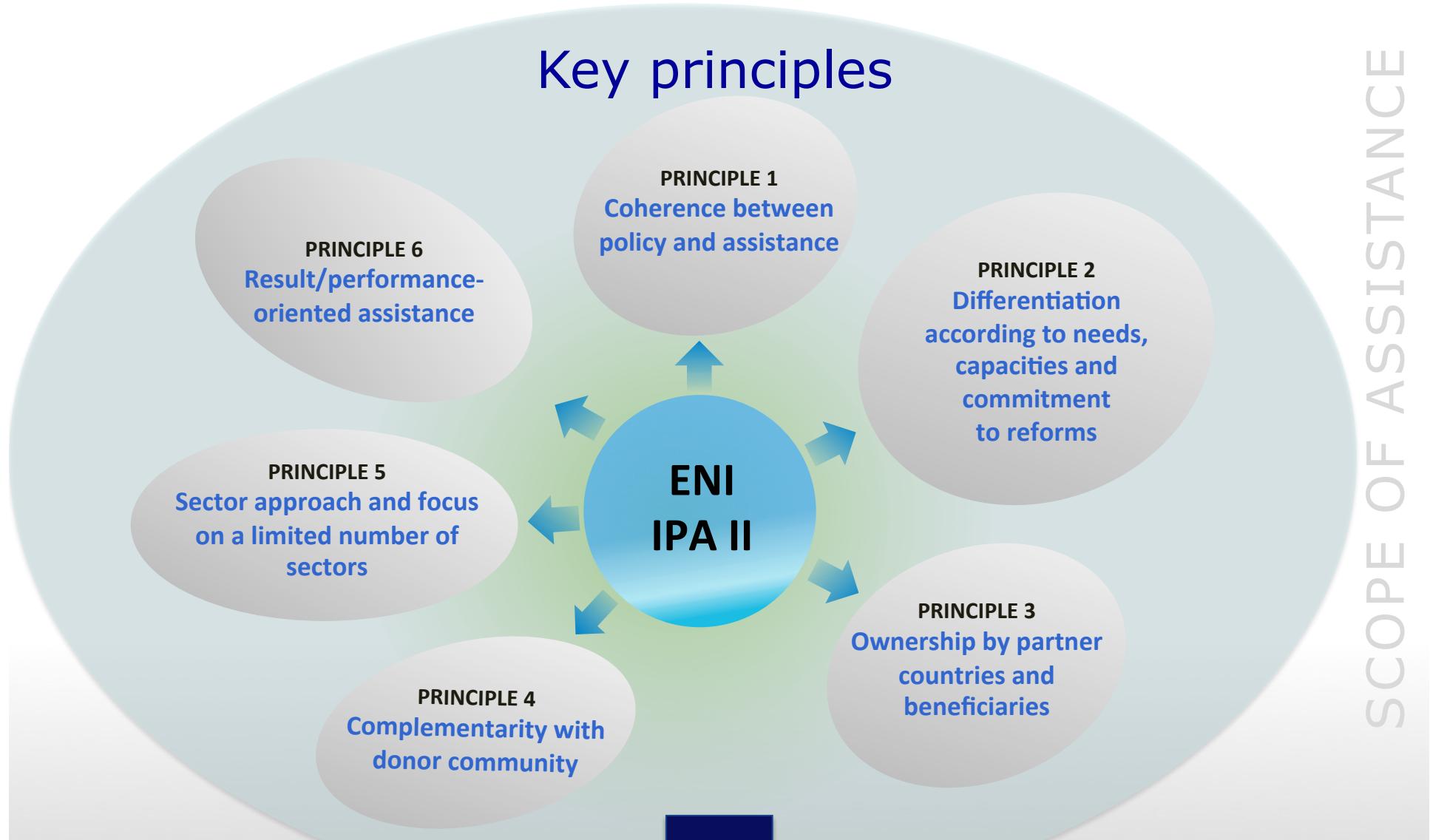


To support the beneficiaries in adopting and implementing the political, institutional, legal, administrative, social and economic reforms required in order to comply with the Union's values and to progressively align to the Union's rules, standards, policies and practices, with a view to Union membership

art. 1 Reg. 231/2014

SCOPE OF ASSISTANCE

# Key principles



# (main) Implementation channels





# Types of financing

Art. 121-137,  
192-193

Art.  
173-210,  
277

## GRANTS

Calls for proposals, direct award,  
Twining ...

Art. 186

Art. 258

## BUDGET SUPPORT

ONLY IN DIRECT MANAGEMENT

For IPA II, only Sector Reform Contracts if  
conditions are met

Art. 101-120,  
190-191

Art. 121-172,  
260-276

## PROCUREMENT

SERVICES | SUPPLIES | WORKS

Art.  
139-140

Art.  
216-226

## FINANCIAL INSTRUMENTS

ONLY IN INDIRECT MANAGEMENT

Equity or quasi-equity investments, loans,  
guarantees, other risk sharing instruments

Art. 138

Art.  
211-215

## PRIZES

Contests

Art. 187

Art. 259

## UNION TRUST FUNDS

e.g. Syria Trust Fund

Financial Regulation No 966/2012

Rules of Application of the Financial Regulation  
No 1268/2012

DELIVERY MECHANISMS



# Indicative programming

## ENI

### Bilateral cooperation

- Single Support Framework (action plans) or Country Strategy Paper + Multi-annual Indicative Programme (no action plan)
- Adequate multi-annual duration
- 3 sectors + complementary support

### Regional cooperation

- 2 Strategy Papers + Multi-annual Indicative Programmes (East + South)
- 4 years (2014-2017)

### Cross-border cooperation

- Specific programming document (2014-2020)

## IPA II

### Country level

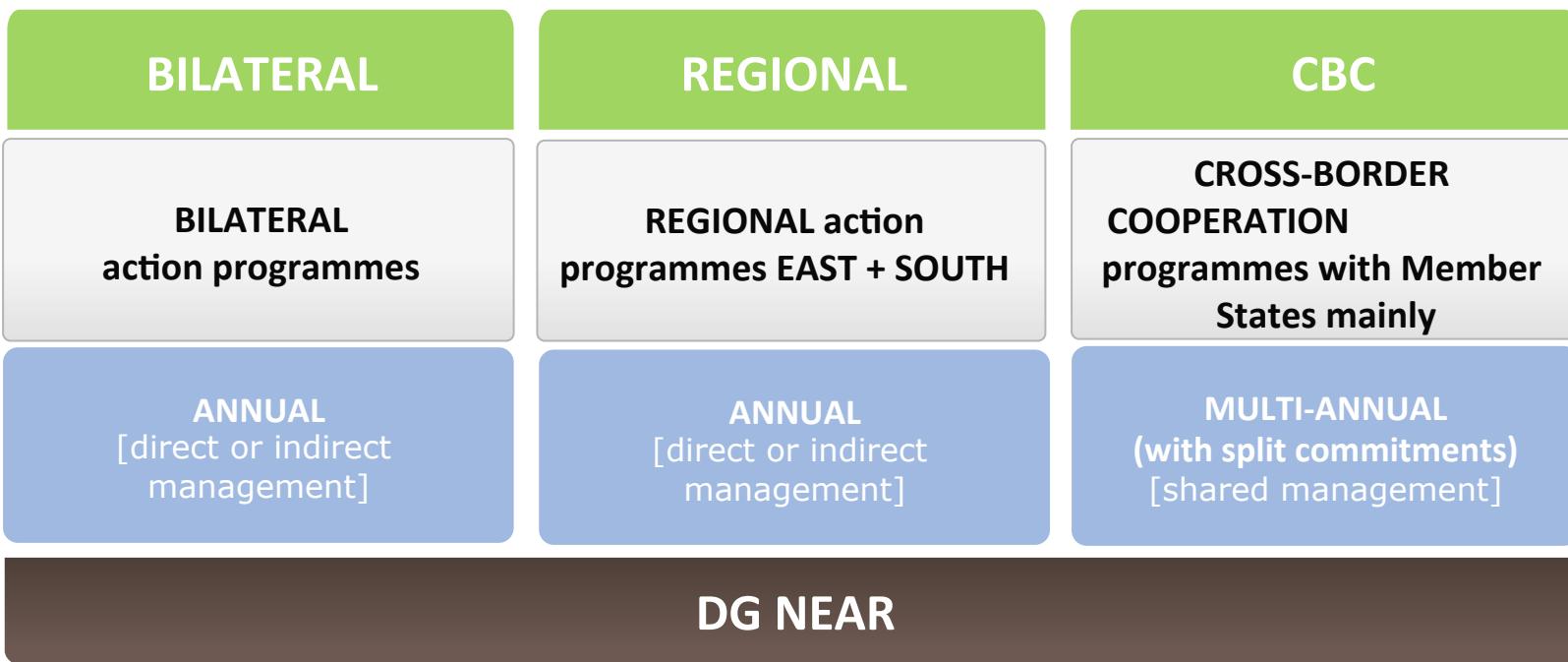
- Country Strategy Papers (one for each IPA II beneficiary)
- 7 years (2014-2020), except for Bosnia and Herzegovina
- 8 sectors + Territorial Cooperation
- Mid-term review in 2017

### Multi-Country level

- One Multi-Country Strategy Paper
- 7 years (2014-2020)
- 4 dimensions, incl. Cross-Border Cooperation
- Mid-term review in 2017



# ENI action programmes





## IPA II action programmes

### COUNTRY / MULTI-COUNTRY

**COUNTRY (1)**  
**(sector) action programmes**

**COUNTRY (2)**  
**Sector Operational  
Programmes\***

**MULTI-COUNTRY**  
**action programme(s)**

**ANNUAL**  
[direct or indirect  
management]

**MULTI-ANNUAL**  
**(with split commitments)**  
[indirect management]

**ANNUAL**  
[direct or indirect  
management]

**MULTI-ANNUAL**  
**(combined annual)**  
[direct or indirect  
management]

**DG NEAR**

(\*) Successor to 'IPA I'  
components III and IV

PROGRAMME ARCHITECTURE



## IPA II action programmes (cont.)

### TERRITORIAL COOPERATION

Cross-border cooperation programmes between IPA II beneficiaries themselves and with ENI countries

**ANNUAL**  
[direct or indirect management]

**(MULTI-ANNUAL (combined annual)**  
[direct or indirect management]

**DG NEAR**

Cross-border cooperation programmes between IPA II beneficiaries and Member States

**Participation in (ERDF) transnational and interregional cooperation**

**MULTI-ANNUAL (with split commitments)**  
[shared management]

**DG REGIO**

### IPARD

Rural Development Programmes (RDP)\*

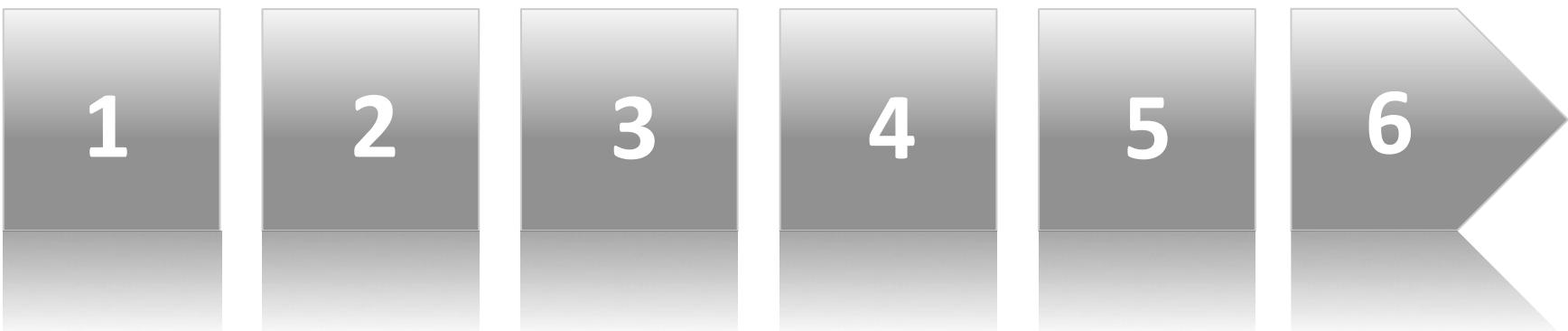
**MULTI-ANNUAL (with split commitments)**  
[indirect management]

**DG AGRI**

(\*) Successor to 'IPA I' Component V



# Action programme preparation



## IDENTIFICATION

Priorities for programmes are identified and discussed (OUTLINE NOTES) and endorsed by DG NEAR's management.

## FORMULATION

Proposals are itemised and formalised in programme documents e.g. ACTION DOCUMENTS and FINANCING DECISION.

## QUALITY REVIEW

Proposals are assessed internally – using thematic expertise. A meeting is organised by the relevant Directorate.

## INTER-SERVICE CONSULTATION

Formal consultation is organised at Commission level (SecGen, Legal Service, BUDG, Line DGs, etc.).

## ENI or IPA II COMMITTEE

Member States provide an opinion on the draft programme. A formal meeting is held (written procedure exceptionally).

## ADOPTION

The programme is adopted – usually through the empowerment procedure.

PROGRAMME ARCHITECTURE



## MORE ABOUT ...

<http://ec.europa.eu/neighbourhood-enlargement/>