

EU Results Framework Indicator methodology note**1. Indicator name**

Share of EU funded international cooperation and development assistance directed towards supporting Human Development

2. Technical Definition

The indicator is included at Level 3 of the EU Results Framework and aims to report on the mainstreaming of human development in EU funded assistance.

It reports on the percentage of EU funded international cooperation and development assistance commitment that is directed towards human development on an annual basis.

Human development is considered to encompass the following sectors:

- Health
- Education
- Social Protection and Services (including social transfers)
- Gender

3. Rationale (including which policy priority, and how is this indicator linked to that policy priority)

Human development is an important component of the EU aid policies. A 20% benchmark was established in the Agenda for Change (2011) and reiterated in the New European Consensus on Development (2017). In addition, the DCI regulation setting the 2014-2020 financial framework defined a benchmark be it slightly different that the inclusion criteria described in the Agenda for Change

The Agenda for Change indicates that “The Commission proposes an Agenda for Change that would lead to [...] continued support for social inclusion and human development through at least 20% of EU aid”. The Council conclusions further outline that “As for inclusive and sustainable growth, support for inclusiveness will be focused primarily on social protection, health and education. Support to social inclusion and human development will continue through at least 20% of EU aid”. The New European Consensus on Development reminds us of “its commitment to allocating at least 20 % of its Official Development Assistance (ODA) to social inclusion and human development”.

4. Data calculation (including any assumptions made)

Data will be sourced from the internal systems (CRIS/data warehouse) of European Commission’s Directorate General for International Cooperation and Development (DG DEVCO).

Step 1- For a given year, a set of Decisions will be selected using the following criteria:

- Instruments: those managed by DEVCO¹;
- Commitment date (VISA ORDO): between 1st of January and 31st of December of the year to be reported;

The DAC code of the Decision will be one of the following

Human Development Indicator (Agenda for Change Definition)	
Sector	DAC Codes
Health	121, 122, 130 (excluding 13010)
Education	111, 112, 113
Social Protection and Services	16010, 16020, 16050, 16064,
Gender	15170, 15180

Step 2 – A standard extraction is executed following the above criteria from DG DEVCO’s internal data systems (data warehouse) by the relevant unit (R1) every year – except for the identification of Decisions relevant for social transfers (see step 3).

Step 3 - In order to capture social transfers, additional Decisions will be selected amongst those Decisions currently coded under Agriculture (DAC 311) and other relevant sectors. Decisions will be screened by thematic unit responsible for social protection policy (B3) on an annual basis according to the methodology detailed in Annex 1., Those decisions considered to be supporting basic services (social transfers) will be collated and reported to the unit responsible for reporting against the EU results framework (DEVCO 04)

Step 4 – The commitments corresponding to the list of Decisions selected (step 2 and step 3) will be added up in order to compute the absolute value of the EU funded international cooperation and development assistance commitments directed towards supporting Human Development.

Step 5 – The number obtained in step 4 above will be divided by the total EU international cooperation and development assistance commitments for the same year in order to get the share of EU commitments for international cooperation and development assistance that is directed towards supporting Human Development.

5. Level of disaggregation

By sector and region where needed.

6. Is it used by another organisation or in the framework of international initiatives, conventions, etc? If so, which?

n/a

¹ DCI, EDF, EIDHR, Nuclear Safety, IcSP and Greenland

7. Other issues

The OECD introduced the DAC purpose code 15180 on ending violence against women and girls in 2017 for reporting on 2016 ODA flows. This DAC code and another gender related code 15170 on Women's equality organisations and institutions are now within scope of this methodology for the revised EU RF. These two codes were not included as the previous methodology for the first EU RF. However, before the majority of commitments on actions ending violence against women and girls used to be recorded under the other HD DAC purpose codes, namely health. The inclusion of the new code is therefore in line with the previous coverage of the methodology.

DAC code 15170 Women's equality organisations slightly increases the coverage by adding a limited number of commitments supporting women's organisations and transforming gender equality as essential part of measuring women's human development.

Annex 1 – Methodology for the calculation of EU international cooperation and development assistance towards supporting Human Development in the sector of Social Protection and Services through social transfers

As defined in the Reference Document "Social transfers in the fight against hunger"² social transfers embrace a wide range of instruments. There are different ways to classify the types of social transfers, the most common types are cash-based and in-kind social transfers as described at the Table 1 of section 2.2:

Table 1: Common types of social transfers

Cash transfers

Unconditional cash transfer
Conditional cash transfer
Cash-for-work/asset
Labour-intensive public works

Food transfers

School feeding
Take-home rations
Targeted food transfers
Food-for-work/asset
Food-for-training
Preventive supplementary feeding

Near-cash transfers

Value-based vouchers

Commodity vouchers

Food vouchers
Other commodity vouchers

Grants

Lump sum grant

Asset and input transfers

Livestock transfer
Agricultural input transfer
Asset transfer

In addition to those identified in step 1 above (see 4. Data calculation), further Decisions are selected to identify EU actions where social transfers could be used. These Decisions are selected on the basis of the following DAC codes:

Water and sanitation	14030, 14031
Agriculture	31110, 31120, 31130, 31150, 31161, 31162, 31163, 31166, 31181, 31191, 31192, 31193, 31194, 31195
Forestry	31220
Fishery	31320
Multisector aid	43010

² Tools and Methods Series, Reference Document N° 14, April 2012

<http://capacity4dev.ec.europa.eu/t-and-m-series/document/reference-document-nr-14-social-transfers-fight-against-hunger>

Urban development 43030

Non-agriculture 43050

Multisector education 43081

Food aid/security 52010

Actions relating to debt 60061, 60062

For each of these Decisions, the activities described in the Action Documents, Financing Agreements and Contracts documents are analysed in order to identify where social transfers are used as defined in table 1, as well as their value quantified. Where necessary relevant colleagues (e.g. the geo-coordinator and the EU Delegation) are consulted.

Decisions which clearly do not relate to the provisions of social transfers are excluded from the analysis. These include: support measures, studies, policy support, capacity building, technical assistance, transport, infrastructure, audit, monitoring & evaluation, communication & visibility, workshops, seminars.

Only Decisions funded through the financial instruments managed by DG DEVCO are included